EFFECTS OF FOOTWEAR VARIATIONS ON THREE-DIMENSIONAL KINEMATICS AND TIBIAL ACCELERATIONS OF SPECIFIC MOVEMENTS IN AMERICAN FOOTBALL

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American football is associated with a high rate of non-contact chronic injuries. Players are able to select from both high and low cut footwear. The aim of the current investigation was to examine the influence of high and low cut American football specific footwear on tibial accelerations and three-dimensional (3D) kinematics during three sport specific movements. Twelve male American football players performed three movements, run, cut and vertical jump whilst wearing both low and high cut footwear. 3D kinematics of the lower extremities were measured using an eight-camera motion analysis system alongside tibial acceleration parameters which were obtained using a shank mounted accelerometer. Tibial acceleration and 3D kinematic differences between the different footwear were examined using either repeated measures or Friedman’s ANOVA. Tibial accelerations were significantly greater in the low cut footwear in comparison to the high cut footwear for the run and cut movements. In addition, peak ankle eversion and tibial internal rotation parameters were shown to be significantly greater in the low cut footwear in the running and cutting movement conditions. The current study indicates that the utilization of low cut American football footwear for training/performance may place American footballers at increased risk from chronic injuries.

Keywords: American football; footwear; chronic injuries; lower extremity; biomechanics.

1. Introduction

American football is one of the world’s most popular sports, particularly in North America and Canada although a strong following and professional structure now also exists in Europe. Currently, over one million high school and 70,000 college athletes take part in this sport annually in the USA.1

‡Corresponding author.
American football is known to be associated with a high rate of lower extremity injuries when compared to other team-based sports. Aetiological work has demonstrated that in excess of 61% of athletes will suffer from an injury over the course of one playing season. Although American football is recognized as a high contact sport, 25–36% of all reported injuries have been demonstrated as non-contact in nature. Injuries to the lower extremity are the most prevalent in American football, with injuries to the ankle and knee joint being the most common.

It has been recognized that one of the key mechanisms by which non-contact American football injuries occur, is the interaction between the shoe and surface. In a number of studies, the effects of different American football surface conditions on the biomechanical mechanisms linked to the aetiology of injury have been investigated. However, despite being potentially important in terms of the mechanisms by which lower extremity injuries are considered to occur, there is currently a paucity of research concerning American football specific footwear. American football footwear are specifically designed to use in a game of American football and feature cleated outsoles which serve the purpose of enhancing traction on the synthetic surfaces that American football is typically played on. American football players are able to select from both high and low cut footwear for their training and performance requirements. High and low cut footwear are typically designed for different playing positions. Running backs and wide receivers typically utilize low cut footwear, whilst tackles, guards and linebackers typically select higher cut footwear. Low cut footwear have a lower mass, whereas higher cut footwear are heavier but provide additional support. Although the effects of high and low cut footwear in other sports have been investigated previously, these effects have not been examined in American football.

There is a clear lack of published work investigating the effects of different footwear on the parameters linked to the aetiology of injury development in American footballers. Currently, both high and low cut shoes are utilized for American football performance, yet there is no published information regarding the 3D kinematic and tibial acceleration parameters linked to the aetiology of lower extremity injuries. Therefore, the aim of the current investigation was to examine the influence of high and low cut American football specific footwear on the 3D kinematics and tibial accelerations of three sport specific movements. An investigation of this nature can provide players with information regarding selection of appropriate footwear, which may help to attenuate the high incidence of lower extremity injuries in this sport.

2. Methods

2.1. Participants

Twelve experienced university first team level male American football players took part in the current investigation. All participants habitually wore low cut footwear.
and played at “offense” positions, which included wide receiver, running back, quarter back, offensive tackle and tight end. All were free from lower extremity injuries at the time of data collection and provided written informed consent. The Mean (± Standard Deviation) anthropometric characteristics of the participants were: Age = 22.47 (± 1.13) years, Height = 1.77 (± 0.08) m, Mass = 80.32 (± 6.33) kg. Ethical approval was sought and granted by the University Ethics Committee for the procedure utilized in this investigation.

2.2. Procedure

Participants completed five trials of three movements specific to American football; run, cut and vertical jump in both footwear conditions. These movements were selected based on previous recommendations as being fundamental to most sports. Participants performed their trials on a synthetic grass surface which overlaid the laboratory floor. Kinematics and tibial acceleration data were collected synchronously using an analogue to digital interface board (Qualisys Medical AB, Goteburg, Sweden). Kinematic information was obtained from the lower extremities using an eight camera optoelectronic motion capture system (Qualisys Medical AB, Goteburg, Sweden) using a capture frequency of 250 Hz. Dynamic calibration of the camera system was performed before each data collection session. To control for any order effects the order in which participants performed in each footwear and movement condition was randomized. As ground reaction force information was not available, the stance phase for running and cutting trials and the impact phase for jumping trials were determined using kinematic information.

A uni-axial (Biometrics ACL 300, Cwmfelinfach, Gwent United Kingdom) accelerometer which collected data at 1000 Hz was used to measure vertical accelerations at the tibia. The accelerometer was positioned onto a piece of carbon-fiber in accordance with the protocol used by Sinclair et al. The device was mounted to the antero-medial aspect of the tibia, 0.08 m above the malleolus. This location served to decrease the influence that sagittal plane motion about the ankle can have on the acceleration signal. To reduce the influence of movement artifact a strong adhesive tape was placed over the device and the lower leg.

To quantify lower extremity joint kinematics in all three planes of rotation, the calibrated anatomical systems technique was utilized. Retroreflective markers (19 mm) were positioned unilaterally allowing the right; foot, shank and thigh to be defined. The foot was defined via the first and fifth metatarsal heads, medial and lateral malleoli and tracked using the calcaneus, first metatarsal and fifth metatarsal heads. The shank was defined via the medial and lateral malleoli and medial and lateral femoral epicondyles and tracked using a cluster positioned onto the shank. The thigh was defined via the medial and lateral femoral epicondyles and the hip joint center and tracked using a cluster positioned onto the thigh. To define the pelvis, additional markers were positioned onto the anterior (ASIS) and posterior (PSIS) superior iliac spines and this segment was tracked using the same
markers. The hip joint center was determined using a regression equation that uses the positions of the ASIS markers.\(^{22}\) The centers of the ankle and knee joints were delineated as the mid-point between the malleoli and femoral epicondyle markers.\(^{21,23}\) Each tracking cluster comprised four retroreflective markers mounted onto a thin sheath of lightweight carbon-fiber with length to width ratios in accordance with Cappozzo et al.\(^{24}\) Static calibration trials were obtained allowing for the anatomical markers to be referenced in relation to the tracking markers/clusters. The \(Z\)-(transverse) axis was oriented vertically from the distal segment end to the proximal segment end. The \(Y\)-(coronal) axis was oriented in the segment from posterior to anterior. Finally, the \(X\)-(sagittal) axis orientation was determined using the right hand rule and was oriented from medial to lateral. All retroreflective markers were positioned via manual palpation by the lead author.

Data were collected during run, cut and jump movements as follows:

### 2.3. Run

Participants ran at \(40\ \text{m}\cdot\text{s}^{-1} \pm 5\%\), running velocity was monitored using infra-red timing gates (SmartSpeed Ltd. UK). Footstrike was determined as the point at which the vertical velocity of the calcaneus marker changed from negative to positive and toe-off was delineated using the second instance of peak knee extension.\(^{25}\)

### 2.4. Cut

Participants completed \(45^\circ\) sideways cut movements using an approach velocity of \(4.0\ \text{m}\cdot\text{s}^{-1} \pm 5\%). Cut angles were defined using masking tape so that it was clearly evident to participants.\(^{26}\) Once again, footstrike was delineated as the point at which the vertical velocity of the calcaneus marker changed from negative to positive and toe-off was delineated using the second instance of peak knee extension.\(^{25}\)

### 2.5. Jump

Participants completed counter movement vertical jumps in which they were required to use full arm swing. The impact phase of the jump movement was quantified and was considered to have begun when the vertical velocity of the metatarsal markers changed from negative to positive and ended at the point of maximum knee flexion.\(^{27}\)

### 2.6. Experimental footwear

The footwear used during this study consisted first of a high cut shoe (Nike Lunar code pro) that have a seven cleat outsole and a mass range across sizes of 387–396 g. In addition, a low cut shoe (Nike Vapor pro low TD) which features a 16 cleat outsole and a mass range of 285–296 g across sizes was considered. Both footwear were available in sizes 8–10 UK. Each participant performed the run, cut and jump movements in both footwear conditions.
2.7. Data processing

Trials were processed in Qualisys Track Manager in order to identify anatomical and tracking markers and were then exported as C3D files. Kinematic parameters were quantified using Visual 3D (C-Motion Inc, Gaithersburg, USA) after marker data were smoothed using a low-pass Butterworth fourth-order zero-lag filter at a cut off frequency of 12 Hz. Kinematics of the hip, knee, ankle and tibial segment were quantified. Segmental rotations were calculated using an XYZ cardan sequence of rotations ($X$ = sagittal plane; $Y$ = coronal plane and $Z$ = transverse plane). All data were normalized to 100% of the stance (run and cut movements) and impact phases (jump movement) of the examined movements. 3D kinematic measures from the hip, knee, ankle and tibia that were extracted for statistical analysis were (1) angle at footstrike, (2) peak angle during stance and (3) relative range of motion (ROM) from footstrike to peak angle.

The acceleration signal was filtered using a 60 Hz Butterworth zero-lag fourth-order low pass filter to prevent any resonance effects on the acceleration signal. Peak tibial acceleration was defined as the highest positive acceleration peak measured during each movement. Jump height during the vertical jump trials was also quantified using the technique adopted by Read and Cisar, via the vertical rise of the iliac crest marker. The vertical height rise of the iliac crest was determined as the difference between iliac crest during the standing static trial and the height attained at the peak of the flight phase.

2.8. Statistical analysis

Descriptive statistics (means and standard deviations) were obtained for each footwear and movement condition. Shapiro–Wilk tests were used to screen the data for normality. Depending on whether the data exhibited a normal distribution, footwear mediated differences in 3D kinematic and tibial acceleration parameters from each movement were examined using either repeated measures or Friedman’s ANOVA. Statistical significance was accepted at the $p < 0.05$ level. Effect sizes were calculated using partial Eta$^2$ ($\eta^2_p$). All statistical actions were conducted using SPSS v22.0 (SPSS Inc, Chicago, USA).

3. Results

3.1. Run

Tables 1 and 2 present the discrete 3D kinematic information obtained during running as a function of footwear. Figures 1 and 2 show the 3D kinematic curves during the stance phase as a function of footwear.

3.1.1. Tibial accelerations

Peak tibial accelerations were significantly ($F_{(11)} = 12.59$, $p < 0.05$, $\eta^2_p = 0.53$) lower in the high (6.81 ± 2.51 g) compared to the low cut footwear (9.73 ± 3.33 g).
Table 1. Hip, knee and ankle joint kinematics (means ± standard deviation) during running.

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<th>Hip</th>
<th>Knee</th>
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<tr>
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<td>X (+ = flexion/− = extension)</td>
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<tr>
<td>Angle at Footstrike (°)</td>
<td>51.68</td>
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<td>Z (+ = internal/− = external)</td>
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<tr>
<td>Angle at Footstrike (°)</td>
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<td>11.04</td>
<td>9.88</td>
</tr>
<tr>
<td>Peak Range of Motion (°)</td>
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<td>4.25</td>
<td>16.98</td>
</tr>
<tr>
<td>Peak Internal Rotation (°)</td>
<td>−11.03</td>
<td>14.73</td>
<td>−7.24</td>
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</table>
3.1.2. 3D Kinematics

Peak eversion was shown to be significantly ($F_{11} = 11.22$, $p < 0.05$, $\eta^2 = 0.48$) larger in the low cut compared to the high top footwear. In addition, peak tibial internal rotation was significantly ($X^2_{11} = 10.65$, $p < 0.05$, $\eta^2 = 0.42$) greater in Table 2. Tibial internal rotation (means ± standard deviation) during running.

<table>
<thead>
<tr>
<th>Transverse plane</th>
<th>Tibia</th>
<th>High Mean</th>
<th>High SD</th>
<th>Low Mean</th>
<th>Low SD</th>
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</thead>
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<td>Angle at Footstrike (°)</td>
<td>8.04 5.45</td>
<td>10.35 5.78</td>
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<tr>
<td></td>
<td>Peak Range of Motion (°)</td>
<td>7.35 3.65</td>
<td>6.70 2.44</td>
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<td></td>
<td>Peak Internal Rotation (°)</td>
<td>13.39 5.77</td>
<td>16.54 5.66</td>
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</table>

Fig. 1. Hip, knee and ankle joint angles measured during running in the (a) sagittal, (b) coronal and (c) transverse planes (black = low, dash = high) (FL = flexion, DF = dorsiflexion, AD = adduction, IN = inversion, INT = internal, EXT = external).
the low compared to the high top footwear. Peak ankle external rotation was shown to be significantly ($F_{(11)} = 9.88$, $p < 0.05$, $p_{17}^2 = 0.40$) greater in the high top footwear compared to the low cut condition (Figs. 1 and 2 and Tables 1 and 2).

3.2. Cut

Table 3 presents the discrete 3D kinematic information obtained during the cut movement as a function of footwear. Figure 3 shows the 3D kinematic curves during the stance phase as a function of footwear.

3.2.1. Tibial accelerations

Peak tibial accelerations were significantly ($X^2_{(1)} = 24.88$, $p < 0.05$, $p_{17}^2 = 0.69$) lower in the high (8.32 ± 2.14 g) compared to the low cut footwear (12.49 ± 2.89 g).

3.2.2. 3D Kinematics

Peak eversion was shown to be significantly ($F_{(11)} = 9.45$, $p < 0.05$, $p_{17}^2 = 0.39$) larger in the low compared to the high top footwear (Fig. 3 and Table 3).
Table 3. Hip, knee and ankle joint kinematics (means ± standard deviation) during the cut movement.

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<td>High</td>
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<td><strong>Sagittal plane</strong></td>
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<td>$X$ ($+ = \text{flexion} / - = \text{extension}$)</td>
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<tr>
<td>Angle at Footstrike ($^\circ$)</td>
<td>53.36 ± 12.27</td>
<td>56.43 ± 11.31</td>
<td>20.28 ± 7.89</td>
</tr>
<tr>
<td>Peak Range of Motion ($^\circ$)</td>
<td>2.22 ± 1.74</td>
<td>0.37 ± 0.69</td>
<td>30.81 ± 8.25</td>
</tr>
<tr>
<td>Peak Flexion ($^\circ$)</td>
<td>54.83 ± 11.94</td>
<td>56.80 ± 11.04</td>
<td>51.09 ± 8.50</td>
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<tr>
<td><strong>Coronal plane</strong></td>
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<td>$Y$ ($+ = \text{adduction/inversion} / - = \text{abduction/eversion}$)</td>
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<tr>
<td>Angle at Footstrike ($^\circ$)</td>
<td>-3.66 ± 6.46</td>
<td>-3.55 ± 8.41</td>
<td>-0.79 ± 4.37</td>
</tr>
<tr>
<td>Peak Range of Motion ($^\circ$)</td>
<td>15.08 ± 2.97</td>
<td>15.42 ± 2.68</td>
<td>6.80 ± 3.13</td>
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<tr>
<td>Peak Angle ($^\circ$)</td>
<td>11.55 ± 6.06</td>
<td>12.08 ± 6.92</td>
<td>-7.60 ± 4.48</td>
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<tr>
<td><strong>Transverse plane</strong></td>
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<td>$Z$ ($+ = \text{internal} / - = \text{external}$)</td>
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<tr>
<td>Angle at Footstrike ($^\circ$)</td>
<td>7.51 ± 12.48</td>
<td>16.75 ± 16.01</td>
<td>-1.07 ± 8.60</td>
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<tr>
<td>Peak Range of Motion ($^\circ$)</td>
<td>2.97 ± 3.57</td>
<td>0.74 ± 0.92</td>
<td>14.57 ± 5.56</td>
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<tr>
<td>Peak Internal Rotation ($^\circ$)</td>
<td>-11.87 ± 7.90</td>
<td>-8.49 ± 7.43</td>
<td>13.51 ± 8.71</td>
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</table>
3.3. Vertical jump

Table 4 presents the discrete 3D kinematic information obtained during the jump movement as a function of footwear. Figure 4 shows the 3D kinematic curves during the impact phase as a function of footwear.

3.3.1. Tibial accelerations and jump height

No significant differences ($p > 0.05$) were found between the two footwear for tibial accelerations (high = $10.45 \pm 3.28$ g and low = $11.92 \pm 3.31$ g) or jump height (high = $0.32 \pm 0.04$ m and low = $0.32 \pm 0.04$ m).
Table 4. Hip, knee and ankle joint kinematics (means ± standard deviation) during the jump movement.

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<td>X (+ = flexion/ − = extension)</td>
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<tr>
<td>Angle at Footstrike (°)</td>
<td>23.15</td>
<td>13.17</td>
<td>24.34</td>
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<tr>
<td>Peak Range of Motion (°)</td>
<td>26.13</td>
<td>6.27</td>
<td>24.84</td>
</tr>
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<td>Peak Flexion (°)</td>
<td>47.02</td>
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<td>49.17</td>
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<td>Y (+ = adduction/inversion − = abduction/eversion)</td>
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<td>Peak Angle (°)</td>
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<td>Z (+ = internal/ − = external)</td>
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<tr>
<td>Angle at Footstrike (°)</td>
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<td>9.83</td>
<td>−5.91</td>
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<tr>
<td>Peak Range of Motion (°)</td>
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<td>1.84</td>
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<tr>
<td>Peak Internal Rotation (°)</td>
<td>0.59</td>
<td>9.57</td>
<td>−4.02</td>
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</table>
3.3.2. 3D Kinematics

No significant differences ($p > 0.05$) were found between footwear (Fig. 4 and Table 4).

4. Discussion

This study aimed to examine the influence of high and low cut American football specific footwear on the 3D kinematics and tibial accelerations of three sport specific

Fig. 4. Hip, knee and ankle joint angles measured during the vertical jump in the (a) sagittal, (b) coronal and (c) transverse planes (black = low, dash = high) (FL = flexion, DF = dorsiflexion, AD = adduction, IN = inversion, INT = internal, EXT = external).
movements. This represents the first comparative analysis of high and low cut
footwear on the 3D kinematics and tibial accelerations of American football specific
movements.

The important finding from the current investigation is that the low cut footwear
were associated with significant increases in tibial accelerations for both the running
and cutting movements. Given the positive association between the magnitude of
transient accelerations and the development of degenerative chronic pathologies, this
observation may have clinical relevance for the pathogenesis of impact related
injuries. Therefore, based on the analysis of tibial accelerations it appears that the
low cut footwear may place American footballers at an increased risk from injuries
related to excessive impacts. It is proposed that this finding relates to the addi-
tional cleats that are typically associated with low cut American football footwear
which serve to stiffen the midsole in these footwear. Greater stiffness leads to an
increase in the rate at which foot decelerates upon landing, increasing the magni-
tude of the impact transient associated with footstrike.

A further important finding from this study is that the low cut footwear were
associated with significantly larger peak ankle joint eversion and tibial internal
rotation parameters in relation to the high top footwear during the running and
cutting movements. This observation may have further relevance clinically as
increases in eversion/tibial internal rotation have been associated with the aetiology
of a number of chronic pathologies. This also suggests that when performing
running and cutting movements' American football players who wear low cut
footwear are more susceptible to chronic injuries relating to excessive motions of the
ankle and tibia in the coronal and transverse planes. It is proposed that this finding
may be caused by the high cut nature of these footwear which provide a much more
pronounced medial support mechanism when contrasted against the low cut foot-
wear. This observation is in agreement with the findings in relation to tibial ac-
celeration in that low cut footwear may facilitate an increase in chronic injury
aetiology related to excessive ankle eversion and tibial internal rotation parameters.

The current investigation also confirms that there were no differences between
high and low cut footwear for the vertical jump. This concurs with the findings of
Sinclair et al. who also showed no kinematic differences between footwear when
examining this movement. It is proposed that this observation related to the fact
that vertical jumping is a more explosive movement than either running or cut-
ting, thus the perceptual effects of the footwear on lower extremity movement are
vastly reduced. During running and cutting, the body receives feedback from
mechanoreceptors concerning the movement, allowing kinematic adaptations to be
made in response to external factors such as footwear. During singular explosive
movements like the vertical jump there is no opportunity for kinematic alterations
to be mediated by the external environment, thus there were no footwear effects for
this motion.

A limitation to the current investigation is that it utilized an all-male sample.
Although American football is played predominantly by males, both amateur and
professional female participation has expanded considerably in recent years.25
Females are known to be associated with distinct loading mechanics and lower body
kinematics in comparison to age matched males and thus it is unlikely that the
findings from the current investigation can be generalized to females.36,37 It is
recommended that the current investigation to be repeated using a female sample in
order to determine appropriate footwear characteristics for female American foot-
ball players.

A further potential drawback of the current study is that the running and cutting
movements were not performed at velocities that are representative of American
football performance.38 Therefore, differences between the different footwear at
game specific velocities were not extrapolated from this investigation. This was
necessary due to the laboratory-based nature of the current work. Nonetheless,
future biomechanical research may wish to examine the mechanics of running and
cutting at velocities more replicable of American football performance in order to
improve ecological validity.

In conclusion, the current investigation adds to the current knowledge in the area
of American football biomechanics by providing a comprehensive evaluation of the
3D kinematics and tibial accelerations of movement in high and low cut footwear
during three sport specific movements. The significant increases in both impact
loading and rearfoot eversion for the running and cutting movements in the low cut
footwear indicates this type of shoe may place American footballers at an increased
risk from the mechanisms linked to the development of chronic injuries. The current
study concludes that it may be prudent for American footballers to utilize high cut
footwear for their training/performance needs.

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**AQ: Reference 35 is not cited in text. Please check.**