

1 Title: Shear wave elastography can assess the in-vivo nonlinear mechanical behavior of heel-
2 pad

3

4 Authors: Panagiotis E. Chatzistergos^(*), Sara Behforootan, David Allan, Roozbeh Naemi and
5 Nachiappan Chockalingam

6

7 (1) Staffordshire University, School of Life Science and Education, Stoke-on-Trent, UK

8

9

10

11

12

13

14

15

16

17

18

19

20

21 (*) Corresponding author: Panagiotis Chatzistergos

22 Panagiotis.chatzistergos@staffs.ac.uk

23 Leek road, Stoke-on-Trent, ST4 2 DF, UK

24

25 Abstract:

26 This study combines non-invasive mechanical testing with finite element (FE) modelling to assess for
27 the first time the reliability of shear wave (SW) elastography for the quantitative assessment of the in-
28 vivo nonlinear mechanical behavior of heel-pad. The heel-pads of five volunteers were compressed
29 using a custom-made ultrasound indentation device. Tissue deformation was assessed from B-mode
30 ultrasound and force was measured using a load cell to calculate the indentation test's force –
31 deformation graph. These results were used to design subject specific FE models and to inverse engineer
32 the tissue's hyperelastic material coefficients and its stress - strain behavior. SW speed was measured
33 for different levels of compression (from 0% to 50% compression). SW speed for 0% compression was
34 used to assess the initial stiffness of heel-pad (i.e. initial shear modulus, initial Young's modulus).
35 Changes in SW speed with increasing compressive loading were used to quantify the tissue's nonlinear
36 mechanical behavior based on the theory of acoustoelasticity. Statistical analysis of results showed
37 significant correlation between SW-based and FE-based estimations of initial stiffness, but SW
38 underestimated initial shear modulus by 64% (± 16). A linear relationship was found between the SW-
39 based and FE-based estimations of nonlinear behavior. The results of this study indicate that SW
40 elastography is capable of reliably assessing differences in stiffness, but the absolute values of stiffness
41 should be used with caution. Measuring changes in SW speed for different magnitudes of compression
42 enables the quantification of the tissue's nonlinear behavior which can significantly enhance the
43 diagnostic value of SW elastography.

44

45

46

47 Keywords: soft tissue, acoustoelasticity, validation, ultrasound, mechanical testing, finite element

48

49

50

51 1. Introduction

52 Heel-pad is a highly specialized tissue with nonlinear, visco-elastic mechanical behavior and complex
53 internal structure. It comprises fat globules enclosed within a matrix of fibrous connective tissue
54 (Campanelli et al., 2011) and its primary role is to act as a shock absorber that dampens the effect of
55 impact forces during locomotion and promotes a more even distribution of plantar loading. The internal
56 structure and mechanical properties of heel-pad is affected by aging (Kwan R.L.C., Zheng YP. et al.,
57 2010), injury and disease (Pai and Ledoux, 2012, 2010; Rome et al., 2001) which in turn can make it
58 more vulnerable to trauma (Sara Behforootan et al., 2017b). Being able to reliably assess the mechanical
59 characteristics of heel-pad in the clinic can enhance the clinical management of conditions such as
60 diabetic foot, heel pain syndrome, etc. (C. Y. Lin et al., 2017; Naemi et al., 2017).

61

62 Shear wave (SW) elastography is a non-invasive, ultrasound-based method for the quantitative
63 assessment of the stiffness of soft tissues. It involves the generation of SWs inside the imaged tissue
64 and the measurement of their propagation speed as they expand laterally in the field of view.
65 Measurements of SW speed can be used to detect regional differences in the mechanical properties of
66 tissues and to estimate the tissue's shear modulus (G) and Young's modulus (E) based on the following
67 formula:

$$68 \quad (1) \quad E = 3G = 3\rho C^2,$$

69 Where C is the SW propagation speed and ρ is the tissue's density ($\rho \approx 1000 \text{ kg/m}^3$ for soft tissues).

70

71 The relationship between SW speed and Young's modulus of equation 1, is based on the assumption
72 that the imaged material is incompressible, homogeneous, isotropic and linearly elastic (Bercoff et al.,
73 2004; Widman et al., 2015). Even though these assumptions might seem to be restrictive, SW
74 elastography has been successfully integrated into clinical practice for the diagnosis of conditions that
75 are strongly associated with altered tissue stiffness such as chronic liver disease or breast cancer etc.
76 (Sigrist et al., 2017). However, the fact that no biological tissue fully complies with the aforementioned

77 conditions means that careful validation of SW results in individual tissues is a key prerequisite for any
78 clinical use.

79

80 SW elastography has already been used to investigate the biomechanics of heel-pad and has provided
81 new insight on the heterogeneity of its mechanical characteristics (Lin et al., 2015; C. Lin et al., 2017;
82 C. Y. Lin et al., 2017; Wu et al., 2017) and its possible clinical uses (Lin et al., 2015; C. Lin et al.,
83 2017). In one of the first studies to use SW elastography in the heel-pad, Lin et al. (2015) established
84 that SW is a repeatable measurement. However, the validity of the predicted values of shear modulus
85 or Young's modulus has not been assessed yet.

86

87 Validation of the estimations of SW elastography requires a prior knowledge of the tissue's mechanical
88 properties. This makes validation a very challenging task and for this reason validation studies have
89 been limited to the use of phantoms (Carlsen et al., 2015; Chatelin et al., 2014; Widman et al., 2015) or
90 ex-vivo samples (Aristizabal et al., 2017; Eby et al., 2013). The ability of SW to accurately predict the
91 in-vivo nonlinear mechanical behavior of soft tissues has not been tested yet.

92

93 The combined use of in-vivo testing and computer modelling is the only method for the non-invasive
94 calculation of the material properties of soft tissues. In the case of heel-pad, ultrasound indentation and
95 finite element (FE) modelling were successfully combined in previous studies for the assessment of its
96 material properties and the calculation of its in-vivo stress-strain behavior (Behforootan et al., 2017;
97 Chatzistergos et al., 2015; Erdemir et al., 2006).

98

99 Given that SW speed is affected by the internal stress-state of the imaged tissue (Ateş et al., 2018;
100 Syversveen et al., 2012), the guidelines for the clinical use of SW elastography indicate that the
101 minimum possible compression should be applied to the tissue during imaging (Cosgrove et al., 2013).
102 However, imaging the tissue in an unloaded state provides an assessment of stiffness for very low strains

103 only and cannot provide any information on its nonlinear response to loading (Aristizabal et al., 2017;
 104 Bernal et al., 2016; Latorre-Ossa et al., 2012). Being able to assess the nonlinear mechanical behavior
 105 of soft tissues would significantly enhance the diagnostic potential of elastography (Aristizabal et al.,
 106 2017; Bernal et al., 2016; Latorre-Ossa et al., 2012).

107

108 Acoustoelasticity theory explains the changes in SW propagation speed inside an elastic and quasi-
 109 incompressible material under static compression based on the following formula:

110

$$111 \quad (2) \quad \rho C^2 = G_0^{SW} - \sigma \frac{A}{12G_0^{SW}},$$

112

113 where G_0 is the tissue's shear modulus for zero compression (i.e. initial shear modulus), σ is the
 114 compressive stress inside the tissue and A is the tissue's nonlinear shear modulus. Considering equation
 115 1, equation 2 can be rewritten to estimate the instantaneous shear modulus (G_i^{SW}) based on the
 116 compressive stress of each loading step:

117

$$118 \quad (3) \quad G_i^{SW} = G_0^{SW} - \sigma_i \frac{A}{12G_0^{SW}},$$

119

120 The potential of acoustoelasticity to provide an assessment of the nonlinear mechanical behavior of soft
 121 tissues has been demonstrated in tests involving phantom samples (Bernal et al., 2016; Latorre-Ossa et
 122 al., 2012) or ex-vivo kidney samples (Aristizabal et al., 2017).

123

124 In this context, the aim of this study was to combine non-invasive mechanical testing with FE modelling
 125 to assess the reliability of SW elastography for the assessment of the in-vivo biomechanics of heel-pad.

126 The feasibility of using SW elastography to quantify the nonlinear mechanical behavior of plantar soft
127 tissue was also assessed.

128

129

130 2. Methods

131 2.1 In-vivo testing

132 Five healthy volunteers with average(\pm stdev) age, weight and height of 32(\pm 6) y, 73(\pm 12) kg and
133 168(\pm 9) cm respectively were recruited for this study. The left foot of each participant was subjected to
134 stepwise indentation to study the nonlinear, elastic mechanical response of heel-pad to compression.

135

136 Mechanical testing was performed using a custom-made ultrasound indentation device that enables
137 controlled and repeatable loading of the soft tissues of the sole of the foot (Behforootan et al., 2017;
138 Chatzistergos et al., 2015). After fixing the participant's foot on the device, their heel was covered with
139 coupling gel and the ultrasound probe was positioned perpendicular to the plantar surface to image the
140 apex of the calcaneus in the sagittal plane. A linear array ultrasound probe (4-15 MHz, SL 15-4 Linear
141 transducer, SuperSonic Imagine Ltd), which is acting also as the indenter, was moved slowly towards
142 the foot and the initial thickness of the heel-pad was measured from the first ultrasound image where
143 the calcaneus was visible. The indenter was moved using a motor which could be programmed to realize
144 a predefined loading protocol (Sara Behforootan et al., 2017b).

145

146 During testing, compressive force was recorded at 100 Hz using a load cell (Zemic load cell, L6E, C3)
147 which was in series with the ultrasound probe. B-mode ultrasound images and SW elastography images
148 (elastograms) were recorded at 11Hz by the ultrasound unit (Aixplorer[®], SuperSonic Imagine, Aix-en-
149 Provence, France). A stand-off (Sonokit, Sonogel, Vertriebs, Gmbh, Sonic velocity 1405 m/s,

150 absorption 0.09 dB/MHz.mm and reflection: 2.4%) was used to improve docking between transducer
151 and skin.

152

153 Every test was preceded by seven preconditioning loading/ unloading cycles to maximum compression
154 at 0.5 mm/ s to minimize the effect of loading history (Behforootan et al., 2017). After preconditioning,
155 heel-pad was compressed in five steps to a maximum of 50% of its original thickness. At each step, a
156 displacement equal to 10% of heel-pad's thickness was imposed at a comfortable speed (0.5 mm/ s) and
157 then kept constant for 60 s before the next loading step was imposed. Measurements of force,
158 deformation and SW speed were extracted only for the last second of each relaxation period. The
159 duration of the relaxation period was decided based on previous work on the stress – relaxation behavior
160 of heel-pad (Behforootan et al., 2017). A series of preliminary tests were performed on each participant
161 to verify that 60 s of relaxation time was sufficient to minimize the effect of viscosity on results. More
162 details on these preliminary tests are presented in Supplementary material.

163

164 Preliminary testing indicated that the elastograms of heel-pad can be separated into two layers with
165 relatively uniform and distinctively different SW speeds (figure 1): a more superficial, stiffer layer
166 (layer-1) and a deeper, softer one (layer-2). It is reported in literature that good quality elastograms can
167 be consistently recorded only for the most superficial ≈ 10 mm of the heel-pad (C. Y. Lin et al., 2017).
168 This observation was also verified during the preliminary tests of this study and led to limiting the
169 measurement of SW speed to layer-1 only. More specifically SW speed was measured within a circular
170 area defined by the boundaries of layer-1 and aligned with the apex of the curvature of the calcaneus
171 (Figure 1).

172

173 To measure the deformation of layer 1, the interface between the two layers was identified with the help
174 of SW elastograms for the unloaded heel and then, as the heel was loaded, changes in the thickness of
175 layer-1 were assessed in B-mode images. The measurements of deformation were combined with

176 measurements of force to calculate one force–deformation graph for layer-1 and one for the entire heel-
 177 pad.

178

179 The measured SW speed was used to estimate heel-pad's shear modulus and Young's modulus for the
 180 case of the unloaded heel (G_0^{SW} , E_0^{SW}) and for each loading step (G_i^{SW} , E_i^{SW} : $1 \leq i \leq 5$) using equation
 181 1. The variations of SW speed between different loading steps was used to assess heel-pad's nonlinear
 182 shear modulus (A) based on equation 3. For this purpose, the compressive stress of each loading step
 183 (σ_i) was estimated from Hooke's law using the definition for cumulative stress in incompressible
 184 materials (Aristizabal et al., 2017; Gennisson et al., 2007; Latorre-Ossa et al., 2012):

185

$$186 \quad (4) \quad \sigma_i = \sum_{j=1}^i \Delta\sigma_j = \sum_{j=1}^i 3G_j\Delta\varepsilon_j,$$

187

188 where $\Delta\varepsilon_j$ is the differential strain of each loading step. Shear modulus (G_i^{SW}) was plotted over
 189 cumulative stress (σ_i) for each loading step and a straight line with fixed intercept, equal to G_0^{SW} , was
 190 fitted to the data. According to equation 3, the slope of this straight line was then used to calculate the
 191 nonlinear shear modulus (A).

192

193 2.2 FE modelling

194 A previously validated computational technique for subject-specific FE modeling and the inverse
 195 engineering of heel-pad's material coefficients was used (Behforootan et al., 2017). In its original form
 196 this technique utilized sagittal and frontal ultrasound images of the heel to reconstruct the 3D geometry
 197 of heel-pad assuming that heel-pad consists of a single hyperelastic material. The produced subject-
 198 specific FE models were then used to simulate the indentation test and estimate its force-deformation
 199 graph. The indentation tests were simulated by fixing the areas of the calcaneus and imposing a
 200 displacement to the model of the probe. Frictionless contact was assumed between the probe and the

201 heel. The values of the material coefficients of bulk heel-pad that minimized the difference between the
 202 numerically estimated and the in-vivo measured force-deformation graph were calculated using an
 203 optimization-based iterative process (Behforootan et al., 2017).

204

205 For the purpose of this study, the aforementioned technique was modified to include two layers of
 206 materials with different thickness and material coefficients instead of one (Figure 2). The mechanical
 207 behavior of the two layers of heel-pad was simulated using the Ogden hyperelastic (1st order) material
 208 model:

209

$$210 \quad (5) \quad W = \frac{\mu}{\alpha} (\bar{\lambda}_1^\alpha + \bar{\lambda}_2^\alpha + \bar{\lambda}_3^\alpha - 3) + \frac{1}{d} (J - 1)^2,$$

211

212 where $\bar{\lambda}_p^\alpha$ ($p = 1, 2, 3$) are the deviatoric principal stretches, J is the determinant of elastic
 213 deformation gradient and μ , α and d are material coefficients. Coefficient α is related to the tissue's
 214 nonlinear stress – strain behaviour while μ and α can be used to estimate its initial shear modulus
 215 (G_0^{FE}):

216

$$217 \quad (6) \quad G_0^{FE} = \frac{1}{2} \mu \alpha,$$

218

219 Coefficient d is directly related to Poisson's ratio (ν), therefore assuming that heel-pad is nearly
 220 incompressible ($\nu=0.475$) leaves only material coefficients μ , α that need to be inverse engineered.
 221 More specifically the material coefficients of both layers were inverse engineered to minimise the
 222 difference between the numerical and the in-vivo force-deformation graphs for the entire heel-pad and
 223 for layer-1 at the same time. All FE simulations were performed using ANSYS 16.0 (ANSYS,
 224 Canonsburg, PA, USA).

225

226 The subject-specific material coefficients for layer-1 were used to calculate the tissue's compressive
227 stress-strain behaviour and assess its initial slope (E_0^{FE}) and its slope for the strain of each load step
228 (E_i^{FE} : $1 \leq i \leq 5$).

229

230 2.3 Comparison between SW and FE

231 The difference between the values of initial shear modulus that were calculated from SW speed using
232 equation 1 and those that were calculated from the subject-specific material coefficients using
233 equation 6 was assessed. The relationship between the SW-based nonlinear shear modulus (A) and
234 FE-based material coefficient α was investigated. These two output measures were analysed together
235 because both of them quantify the nonlinear nature of a tissue's mechanical behaviour.

236

237 The method of generalized estimating equations (GEE) was used to investigate the relationship
238 between SW-based calculations of Young's modulus and the FE-based ones for all load steps (Eby et
239 al., 2013). GEE is an extension of the generalized linear model that accounts for repeated
240 measurements and therefore it enables combining, in the same analysis, the repeated measures for
241 different loading steps for all participants. The goodness-of-fit of the linear model was assessed by
242 calculating the coefficient of determination (R^2)(Eby et al., 2013). GEE analysis was performed using
243 IBM® SPSS®v.21.

244

245

246

247

248

249 3. Results

250 3.1 In-vivo testing

251 The average(\pm stdev) heel-pad thickness was 19.7(\pm 3.4) mm and 41%(\pm 3%) of the total thickness was
252 identified as layer-1 (Table 1). The maximum strain for layer-1 was, on average, equal to the
253 46%(\pm 19%) of the strain of layer-2 verifying that layer-1 is stiffer than the rest of the heel-pad.

254
255 The average value of initial shear modulus (G_0^{SW}) was 56(\pm 21) kPa and consistently increased with
256 compression (Table 2). Figure 3 presents the values of shear modulus for each loading step over
257 compressive stress for each of the participants. As it can be seen, their relationship for each
258 participant can be defined using a straight line with a fixed intercept in accordance with equation 3 of
259 acoustoelastic theory ($0.84 \leq R^2 \leq 0.93$). The slope of the aforementioned lines was used to calculate
260 the nonlinear shear modulus (A) for each participant (table 2). The average value of A was -940 kPa
261 (\pm 381 kPa). Considering equation 3, the negative value A indicates that shear modulus increases with
262 loading; a behaviour that is consistent with a hyperelastic material.

263

264 3.2 FE modelling

265 The average values of the material coefficients μ and α and of the initial shear modulus of layer-1 was
266 15.5 (\pm 6.3) kPa, 22.2 (\pm 5.7) and 179 (\pm 104) kPa respectively (table 3). Layer-2 was substantially
267 softer than layer-1. The average difference between the two layers in terms of initial shear modulus
268 was 78%(\pm 16%).

269

270

271

272

273 3.3 Comparison between FE and SW

274 Comparison between the results for initial shear modulus from SW elastography and FE modelling
275 indicated a substantial and systematic underestimation by SW. On average the difference between the
276 two methods was 64% ($\pm 16\%$).

277

278 GEE analysis of measurements for all load steps and all participants revealed a significant correlation
279 between the SW-based calculation of Young's modulus and the FE-based ones ($p=0.002$). The
280 regression coefficient was 3.74 with a 95% confidence interval of 1.43-6.04. The goodness-of-fit of
281 the produced linear relationship was $R^2 = 0.59$ (figure 4).

282

283 Plotting SW-based results over FE-based ones for all participants revealed a linear relationship
284 between the non-linear shear modulus (A) and material coefficient α , namely between the SW-based
285 and FE-based measures respectively of nonlinear behavior (Figure 5). As it can be seen in figure 5,
286 the absolute value of the SW-based measure of nonlinearity (A) increases with the FE-based one (α)
287 showing a high coefficient of determination ($R^2 = 0.91$). In both cases higher absolute values indicate
288 a more nonlinear mechanical behaviour.

289

290

291

292

293

294

295

296 4. Discussion

297 For the first time, the validity of material properties from SW is directly assessed against relevant
298 measurements that reflect the in-vivo mechanical behaviour of heel-pad. The results indicate a
299 significant linear relationship between SW-based and FE-based estimations of initial stiffness.

300

301 The combined use of in-vivo testing and FE modelling enabled the calculation of subject-specific
302 material coefficients and of the actual stress–strain graphs of heel-pad. For this purpose, a previously
303 validated method for subject-specific modelling and inverse engineering was modified and utilised
304 (Behforootan et al., 2017). This enabled the calculation of initial shear modulus and initial Young's
305 modulus as well as of the instantaneous Young's modulus for strains equal to the ones imposed during
306 each loading step.

307

308 Comparison between SW-based and FE-based estimations of initial stiffness of the tissue revealed a
309 systematic underestimation of initial shear modulus by SW, however a significant linear relationship
310 between the two was observed. These findings indicate that SW elastography is capable of reliably
311 identifying tissues with different stiffness. However, the absolute values of the predicted mechanical
312 properties should be used with caution.

313

314 Going beyond the conventional use of SW elastography, the variation of SW-based measurements of
315 shear modulus under different magnitudes of compression was used to quantify heel-pad's nonlinear
316 mechanical behavior. According to the theory of acoustoelasticity, the SW-predicted shear modulus
317 inside an elastic and quasi-incompressible body changes linearly with the magnitude of static
318 compressive stress (equation 3). This hypothesis was validated for the heel-pad by the results of this
319 study (figure 3) which opened the way for the calculation of its in-vivo nonlinear shear modulus (A).
320 Previous studies calculated nonlinear shear modulus only for phantom materials (Gennisson et al., 2007;
321 Latorre-Ossa et al., 2012) or for ex-vivo tissue samples (Aristizabal et al., 2017).

322

323 A comparison between A and the value of material coefficient α , which is the FE-based measure of
324 nonlinear mechanical behavior, revealed a linear relationship between the two (figure 5). This
325 relationship indicates that SW elastography is capable of quantifying the nonlinear nature of the
326 mechanical behavior of soft tissues and it can differentiate between tissues that exhibit a less strong
327 nonlinear behavior from tissues with stronger nonlinear behavior. This unique ability can enhance the
328 diagnostic capacity of SW elastography (Aristizabal et al., 2017; Gennisson et al., 2007; Latorre-Ossa
329 et al., 2012).

330

331 For the purpose of this study, non-invasive testing was performed using a custom-made indentation
332 device which compressed the heel-pad in individual steps with a wait period between them (i.e. stepwise
333 compression). Stepwise compression was used instead of continuous loading, because elastograms need
334 a few seconds to stabilise after movement which makes the reliable measurement of SW speed during
335 continuous loading very challenging (Aristizabal et al., 2017; Wu et al., 2017). At the same time, step-
336 wise compression also reduces the risk of injury by avoiding the prolonged application of concentrated
337 loading of quasi-static testing. In a previous study where stepwise compression was used with SW
338 elastography it was found that the results were influenced by the viscoelastic nature of the imaged tissue
339 (Aristizabal et al., 2017). In the present study a relaxation period of 60 s between loading steps was
340 found to be needed to minimise the effect of viscosity on the results (Suplimentary material).

341

342 The internal structure of the fat-pad comprises a more superficial layer of fatty microchambers, which
343 is relatively thin and stiff, and a deeper layer of macrochambers, which is relatively thick and soft (Hsu
344 et al., 2007; Kelikian and Sarrafian, 2011). These two parts of the heel are divided by a thin fibrous
345 layer (Kelikian and Sarrafian, 2011). In the present study heel-pad was divided into two layers, but
346 these layers do not correspond to the aforementioned anatomical layers of microchambers or
347 macrochambers. This is because preliminary testing indicated that the boundary between

348 microchambers and macrochambers could not be reliably tracked between loading steps. To overcome
349 this difficulty and to enhance the reliability of the measurement of strain, two layers with relative
350 uniform SW speed were defined based on the SW elastograms (figure 1). The boundary between these
351 two layers could be identified easily in the images of the unloaded heel and could be reliably tracked
352 between loading steps.

353

354 Like microchambers and macrochambers, in this case the more superficial layer (layer-1) was thinner
355 and stiffer than the deeper one (layer-2). The measured initial shear modulus for layer-1 was 56 kPa
356 (± 21 kPa) which is very similar to relevant measurements from literature for microchamber layer (Wu
357 et al., 2017). A previous SW-based investigation of the mechanical properties of the two anatomical
358 layers in young healthy individuals indicated that the initial shear modulus of microchambers is 60.1
359 kPa (± 9.8 kPa) and the initial shear modulus of macrochambers is 27.7 kPa (± 4.9 kPa) (Wu et al., 2017).
360 Layer-1 was thicker than the reported thickness of microchambers in literature (Hsu et al., 2007). More
361 specifically, the thickness of layer-1 was around 70% of the thickness for layer-2. According to
362 literature the thickness of the microchamber layer is around 30% of the thickness of the macrochamber
363 layer (Hsu et al., 2007). Based on these, it can be concluded that the definition of layers in this study
364 was not aligned with the anatomical layers of heel-pad, but the results for layer-1 appear to be relevant
365 to the microchambers layer.

366

367 This apparent discrepancy between the definition of layers from B-mode or SW images can also be
368 observed in previously published results of SW elastography of the heel-pad (Wu et al., 2017). More
369 specifically in the ultrasound images presented by Wu et al., 2017, the area of relatively stiff tissue
370 appears to penetrate the area defined as macrochambers. A possible explanation for these observations
371 is that the superficial stiff layer defined from SW elastography (layer-1) expands beyond the
372 microchamber layer and into the transitional fibrous tissue that separates the two layers of adipose
373 tissue.

374

375 In this study the predictions of SW elastography about the mechanical properties of heel-pad were
376 compared against relevant FE-based measurements using a previously validated technique (Sara
377 Behforootan et al., 2017a). Even though FE modelling has its own limitations and it could not be
378 considered as a “gold standard” method, it is the only method for the non-invasive assessment of in-
379 vivo mechanical properties of tissues (Akrami et al., 2018; Sara Behforootan et al., 2017a). In the case
380 of this study the reliability of FE-based calculations is significantly enhanced by the combined use of
381 in-vivo testing and FE modelling.

382

383 Moreover, because of the relatively small number of participants, drawing generalizable conclusions
384 about heel-pad biomechanics from the results of this study is very difficult. At the same time, the
385 comparison between SW-based and FE-based predictions presented here can give new insight on the
386 validity and clinical relevance of the results of SW elastography.

387

388 The proposed method is significantly easier to be implemented in a clinic compared to existing methods
389 for the assessment of the nonlinear mechanical behavior of plantar soft tissue and could significantly
390 enhance research and clinical practice (Sara Behforootan et al., 2017a; Erdemir et al., 2006; Williams
391 et al., 2017). Particularly in the area of computer modelling the proposed method can support the use
392 of subject-specific material properties which would significantly improve the reliability and clinical
393 relevance of FE models of the foot (Akrami et al., 2018). Moreover, previous research has highlighted
394 the potential value of measuring plantar soft tissue biomechanics in the clinic for the management of
395 conditions such as diabetic foot (Akrami et al., 2018; Naemi et al., 2017). With regards to clinical use,
396 the main disadvantage of this method against previous ultrasound-based techniques (Sara Behforootan
397 et al., 2017a; Erdemir et al., 2006) is the cost of SW elastography which remains relatively high
398 compared to conventional ultrasound.

399

400 The results of this study indicate that SW elastography can be used to quantify differences in the initial
401 shear modulus and Young's modulus of heel-pad as well as in its nonlinear mechanical behavior. The
402 methods presented here can influence the protocols and procedures for the clinical use of SW
403 elastography in foot-related applications and beyond with a view to increase diagnostic accuracy.

404

405

406 **5. Conflict of interest:** None

407

408

409 **6. Acknowledgments:**

410 Technical support from SuperSonic Imagine Ltd. is kindly acknowledged.

411

412

413

414

415

416

417

418

419

420

421

422

423 **References**

- 424 Akrami, M., Qian, Z., Zou, Z., Howard, D., Nester, C.J., Ren, L., 2018. Subject-specific finite element
425 modelling of the human foot complex during walking: sensitivity analysis of material properties,
426 boundary and loading conditions. *Biomech. Model. Mechanobiol.* 17, 559–576.
427 <https://doi.org/10.1007/s10237-017-0978-3>
- 428 Aristizabal, S., Amador, C., Nenadic, I.Z., Greenleaf, J.F., Urban, M.W., 2017. Application of
429 Acoustoelasticity to Evaluate Nonlinear Modulus in ex vivo Kidneys. *IEEE Trans. Ultrason.*
430 *Ferroelectr. Freq. Control* 65, 188–200. <https://doi.org/10.1109/TUFFC.2017.2781654>
- 431 Ateş, F., Andrade, R.J., Freitas, S.R., Hug, F., Lacourpaille, L., Gross, R., Yucesoy, C.A., Nordez, A.,
432 2018. Passive stiffness of monoarticular lower leg muscles is influenced by knee joint angle.
433 *Eur. J. Appl. Physiol.* 0, 1–9. <https://doi.org/10.1007/s00421-018-3798-y>
- 434 Behforootan, S., Chatzistergos, P., Naemi, R., Chockalingam, N., 2017a. Finite Element Modelling of
435 the Foot for Clinical Applications: a Systematic Review. *Med. Eng. Phys.* 39, 1–11.
436 <https://doi.org/10.1016/j.medengphy.2016.10.011>
- 437 Behforootan, S., Chatzistergos, P.E., Chockalingam, N., Naemi, R., 2017b. A clinically applicable
438 non-invasive method to quantitatively assess the visco-hyperelastic properties of human heel
439 pad, implications for assessing the risk of mechanical trauma. *J. Mech. Behav. Biomed. Mater.*
440 68, 287–295. <https://doi.org/10.1016/j.jmbbm.2017.02.011>
- 441 Behforootan, S., Chatzistergos, P.E., Chockalingam, N., Naemi, R., 2017c. A Simulation of the
442 Viscoelastic Behaviour of Heel Pad During Weight-Bearing Activities of Daily Living. *Ann.*
443 *Biomed. Eng.* 45, 2750–2761. <https://doi.org/10.1007/s10439-017-1918-1>
- 444 Bercoff, J., Tanter, M., Fink, M., 2004. Supersonic shear imaging: a new technique for soft tissue
445 elasticity mapping. *IEEE Trans. Ultrason. Ferroelectr. Freq. Control* 51, 396–409.
446 <https://doi.org/10.1109/TUFFC.2004.1295425>
- 447 Bernal, M., Chamming's, F., Couade, M., Bercoff, J., Tanter, M., Gennisson, J.-L., 2016. In Vivo
448 Quantification of the Nonlinear Shear Modulus in Breast Lesions: Feasibility Study. *IEEE*

- 449 Trans. Ultrason. Ferroelectr. Freq. Control 63, 101–9.
450 <https://doi.org/10.1109/TUFFC.2015.2503601>
- 451 Campanelli, V., Fantini, M., Faccioli, N., Cangemi, A., Pozzo, A., Sbarbati, A., 2011. Three-
452 dimensional morphology of heel fat pad: an in vivo computed tomography study. *J. Anat.* 219,
453 622–631. <https://doi.org/10.1111/j.1469-7580.2011.01420.x>
- 454 Carlsen, J.F., Pedersen, M.R., Ewertsen, C., Săftoiu, A., Lönn, L., Rafaelsen, S.R., Nielsen, M.B.,
455 2015. A comparative study of strain and shear-wave elastography in an elasticity phantom. *Am.*
456 *J. Roentgenol.* 204, W236–W242. <https://doi.org/10.2214/AJR.14.13076>
- 457 Chatelin, S., Bernal, M., Deffieux, T., Papadacci, C., Flaud, P., Nahas, A., Boccara, C., Gennisson, J.-
458 L., Tanter, M., Pernot, M., 2014. Anisotropic polyvinyl alcohol hydrogel phantom for shear
459 wave elastography in fibrous biological soft tissue: a multimodality characterization. *Phys. Med.*
460 *Biol.* 59, 6923–6940. <https://doi.org/10.1088/0031-9155/59/22/6923>
- 461 Chatzistergos, P.E., Naemi, R., Chockalingam, N., 2015. A method for subject-specific modelling and
462 optimisation of the cushioning properties of insole materials used in diabetic footwear. *Med.*
463 *Eng. Phys.* 37, 531–538. <https://doi.org/10.1016/j.medengphy.2015.03.009>
- 464 Cosgrove, D., Piscaglia, F., Bamber, J., Bojunga, J., Correas, J.-M., Gilja, O., Klauser, A., Sporea, I.,
465 Calliada, F., Cantisani, V., D'Onofrio, M., Drakonaki, E., Fink, M., Friedrich-Rust, M.,
466 Fromageau, J., Havre, R., Jenssen, C., Ohlinger, R., Săftoiu, A., Schaefer, F., Dietrich, C., 2013.
467 EFSUMB Guidelines and Recommendations on the Clinical Use of Ultrasound
468 Elastography. Part 2: Clinical Applications. *Ultraschall der Medizin - Eur. J. Ultrasound* 34, 238–
469 253. <https://doi.org/10.1055/s-0033-1335375>
- 470 Eby, S., Song, P., Chen, S., Chen, Q., Greenleaf, J., An, K.-N., 2013. Validation of shear wave
471 elastography in skeletal muscle. *J. Biomech.* 46, 2381–2387.
472 <https://doi.org/10.1016/j.jbiomech.2013.07.033>
- 473 Erdemir, A., Viveiros, M.L., Ulbrecht, J.S., Cavanagh, P.R., 2006. An inverse finite-element model of
474 heel-pad indentation. *J. Biomech.* 39, 1279–1286.

- 475 <https://doi.org/10.1016/j.jbiomech.2005.03.007>
- 476 Gennisson, J.-L., Rénier, M., Catheline, S., Barrière, C., Bercoff, J., Tanter, M., Fink, M., 2007.
- 477 Acoustoelasticity in soft solids: Assessment of the nonlinear shear modulus with the acoustic
- 478 radiation force. *J. Acoust. Soc. Am.* 122, 3211–3219. <https://doi.org/10.1121/1.2793605>
- 479 Hsu, C.-C., Tsai, W.-C., Wang, C.-L., Pao, S.-H., Shau, Y.-W., Chuan, Y.-S., 2007. Microchambers
- 480 and macrochambers in heel pads: are they functionally different? 102.
- 481 <https://doi.org/10.1152/japplphysiol.01137.2006>
- 482 Kelikian, A.S., Sarrafian, S.K., 2011. Functional Anatomy of the Foot and Ankle, in: Sarrafian's
- 483 Anatomy of the Foot and Ankle : Descriptive, Topographical, Functional. Wolters Kluwer
- 484 Health/Lippincott Williams & Wilkins, p. 633.
- 485 Kwan R.L.C., Zheng Y.P., C.G.L., Kwan, R.L.-C., Zheng, Y.-P., Cheing, G.L.-Y., 2010. The effect of
- 486 aging on the biomechanical properties of plantar soft tissues. *Clin. Biomech. (Bristol, Avon)* 25,
- 487 601–5. <https://doi.org/10.1016/j.clinbiomech.2010.04.003>
- 488 Latorre-Ossa, H., Gennisson, J.L., De Brosses, E., Tanter, M., 2012. Quantitative imaging of
- 489 nonlinear shear modulus by combining static elastography and shear wave elastography. *IEEE*
- 490 *Trans. Ultrason. Ferroelectr. Freq. Control* 59, 833–839.
- 491 <https://doi.org/10.1109/TUFFC.2012.2262>
- 492 Lin, C.-Y., Lin, C.-C., Chou, Y.-C., Chen, P.-Y., Wang, C.-L., 2015. Heel Pad Stiffness in Plantar
- 493 Heel Pain by Shear Wave Elastography. *Ultrasound Med. Biol.* 41, 2890–8.
- 494 <https://doi.org/10.1016/j.ultrasmedbio.2015.07.004>
- 495 Lin, C., Wu, C., Özçakar, L., 2017. Restoration of Heel Pad Elasticity in Heel Pad Syndrome
- 496 Evaluated by Shear Wave Elastography. *Am. J. Phys. Med. & 96*, e96.
- 497 <https://doi.org/10.1097/phm.0000000000000655>
- 498 Lin, C.Y., Chen, P.Y., Shau, Y.W., Tai, H.C., Wang, C.L., 2017. Spatial-dependent mechanical
- 499 properties of the heel pad by shear wave elastography. *J. Biomech.* 53, 191–195.
- 500 <https://doi.org/10.1016/j.jbiomech.2017.01.004>

- 501 Naemi, R., Chatzistergos, P., Suresh, S., Sundar, L., Chockalingam, N., Ramachandran, A., 2017. Can
502 plantar soft tissue mechanics enhance prognosis of diabetic foot ulcer? *Diabetes Res. Clin. Pract.*
503 126, 182–191. <https://doi.org/10.1016/j.diabres.2017.02.002>
- 504 Pai, S., Ledoux, W.R., 2012. The shear mechanical properties of diabetic and non-diabetic plantar soft
505 tissue. *J. Biomech.* 45, 364–70. <https://doi.org/10.1016/j.jbiomech.2011.10.021>
- 506 Pai, S., Ledoux, W.R., 2010. The compressive mechanical properties of diabetic and non-diabetic
507 plantar soft tissue. *J. Biomech.* 43, 1754–60. <https://doi.org/10.1016/j.jbiomech.2010.02.021>
- 508 Rome, K., Webb, P., Unsworth, A., Haslock, I., 2001. Heel pad stiffness in runners with plantar heel
509 pain. *Clin. Biomech. (Bristol, Avon)* 16, 901–5.
- 510 Sigrist, R.M.S., Liao, J., Kaffas, A. El, Chammas, M.C., Willmann, J.K., 2017. Ultrasound
511 Elastography: Review of Techniques and Clinical Applications. *Theranostics* 7, 1303–1329.
512 <https://doi.org/10.7150/thno.18650>
- 513 Syversveen, T., Midtvedt, K., Berstad, A.E., Brabrand, K., Strøm, E.H., Abildgaard, A., 2012. Tissue
514 elasticity estimated by acoustic radiation force impulse quantification depends on the applied
515 transducer force: an experimental study in kidney transplant patients. *Eur. Radiol.* 22, 2130–7.
516 <https://doi.org/10.1007/s00330-012-2476-4>
- 517 Widman, E., Maksuti, E., Larsson, D., Urban, M.W., Bjällmark, A., Larsson, M., 2015. Shear wave
518 elastography plaque characterization with mechanical testing validation: A phantom study. *Phys.*
519 *Med. Biol.* 60, 3151–3174. <https://doi.org/10.1088/0031-9155/60/8/3151>
- 520 Williams, E.D., Stebbins, M.J., Cavanagh, P.R., Haynor, D.R., Chu, B., Fassbind, M.J., Isvilanonda,
521 V., Ledoux, W.R., 2017. A preliminary study of patient-specific mechanical properties of
522 diabetic and healthy plantar soft tissue from gated magnetic resonance imaging. *Proc. Inst.*
523 *Mech. Eng. Part H J. Eng. Med.* 231, 625–633. <https://doi.org/10.1177/0954411917695849>
- 524 Wu, C.H., Lin, C.Y., Hsiao, M.Y., Cheng, Y.H., Chen, W.S., Wang, T.G., 2017. Altered stiffness of
525 microchamber and macrochamber layers in the aged heel pad: Shear wave ultrasound
526 elastography evaluation. *J. Formos. Med. Assoc.* 4–9. <https://doi.org/10.1016/j.jfma.2017.05.006>

527 **Tables:**

528 Table 1: The measured thickness of the entire heel pad and of layer-1 when the heel is not subjected to
 529 any compression and under maximum compression.

530

531

532

533

534

535

536

537

538

539

540

541

542

543

544

545

546 Table 2: The SW-predicted shear modulus (G_i) for all participants and all loading steps ($0 \leq i \leq 5$).

547 The values of the nonlinear shear modulus (A) that is calculated based on the variation of shear
 548 modulus between loading steps is also presented.

549

550

Participant	G_0 (kPa)	G_1 (kPa)	G_2 (kPa)	G_3 (kPa)	G_4 (kPa)	G_5 (kPa)	A (kPa)
#1	88	90	104	112	132	149	-1145
#2	58	62	72	85	98	106	-1494
#3	49	50	58	66	74	88	-813
#4	53	64	71	76	83	104	-718
#5	29	72	88	106	123	139	-533
Average	56	68	79	89	102	117	-941
STDEV	21	15	18	20	25	26	381

551

552

553

554

555 Table 3: The values of the subject-specific material coefficients for the two layers (μ , α). The FE based
 556 calculation of initial shear modulus is also presented for all participants.

557

558

559

560

561

562

563

564

565

566

567

568

569

570

571

572

573

574

575

576

577

578

579

580

581

582

Participant	Layer-1			Layer-2		
	μ (kPa)	α	G_0 (kPa)	μ (kPa)	α	G_0 (kPa)
#1	24.3	24.3	296	14.4	20.2	145
#2	19.3	30.0	290	9.2	10.0	46
#3	9.7	23.3	114	4.1	10.1	21
#4	9.7	18.0	88	2.0	13.0	13
#5	14.2	15.3	109	1.0	23.0	11
Average	15.5	22.2	179	6.1	15.3	47
STDEV	6.3	5.7	104	5.6	6.0	56

583 **Figure legends:**

584

585 Figure 1: A typical SW elastography image of the heel-pad under minimum compression. The
586 interface between the more superficial, stiffer layer-1 and the deeper, softer layer-2 is highlighted
587 using a horizontal dotted line. This interface was used to define the cyclic area where SW speed was
588 assessed and to measure the deformation of layer-1.

589

590 Figure 2: The FE model of the indentation test under no compression (top) and under maximum
591 compression (down).

592

593 Figure 3: The variation of SW-based measurements of shear modulus (G^{SW}) with compressive stress
594 (σ) for all participants. Straight lines with fixed intercepts were fitted to the data in accordance to
595 equation 3. The value of R^2 that quantifies goodness-of-fit of the linear relationships is also presented
596 for each participant.

597

598 Figure 4: Scatter-plot of the FE-based calculations of Young's modulus (E^{FE}) over the SW-based ones
599 (E^{SW}) for all loading steps and for all participants. The linear relationship that was calculated from
600 GEE is also presented along with the value of R^2 for goodness-of-fit.

601

602 Figure 5: The relationship between the SW-based and the FE-based parameters that quantify the
603 nonlinearity of the mechanical behavior of heel-pad, namely between the nonlinear shear modulus (A)
604 and the unitless material coefficient (α) of the Ogden model.

605

606

607

608

609

610

611 **Figures:**

612 Figure 1

613

614

615

616

617

618

619

620

621

622

623

624

625

626

627

628

629

630

631

632

633

634

635

636

637

638

639

640

641

642

643

644

645

646

647

648

649

650

651

652

653

654

655

656

657

658

659

660

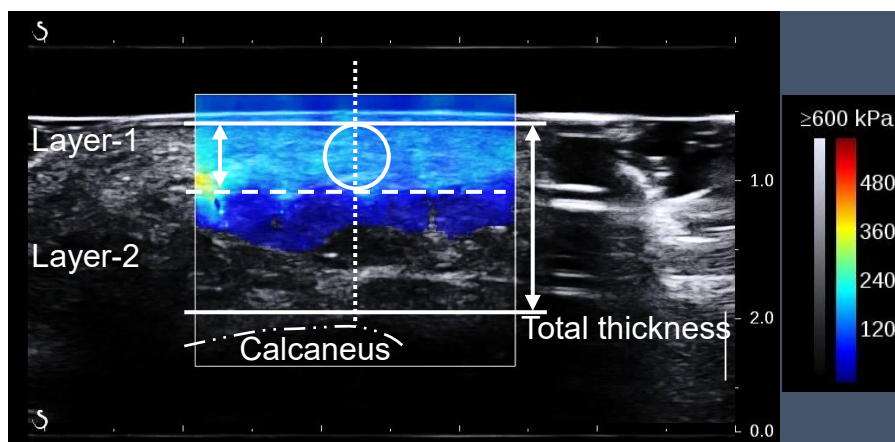
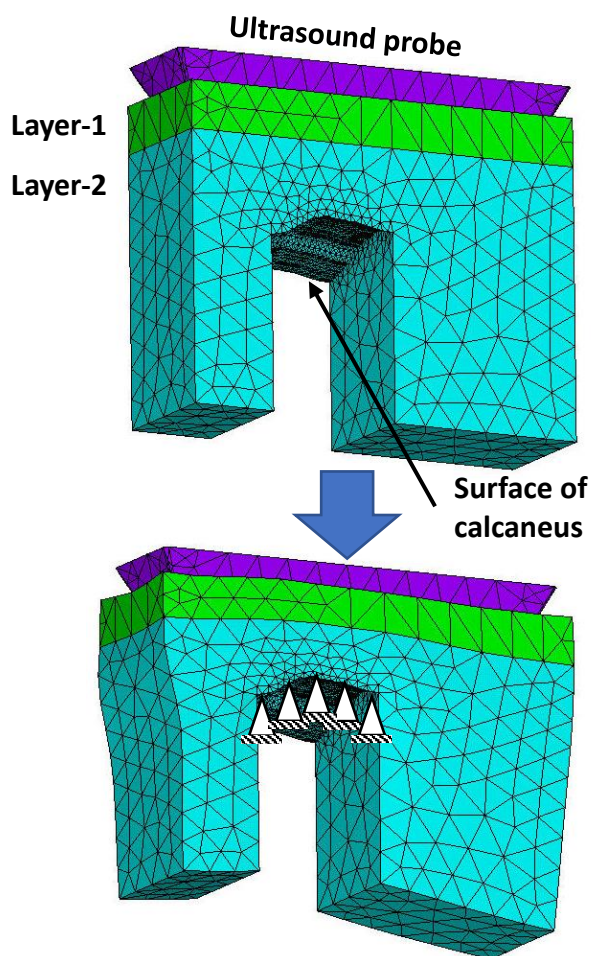
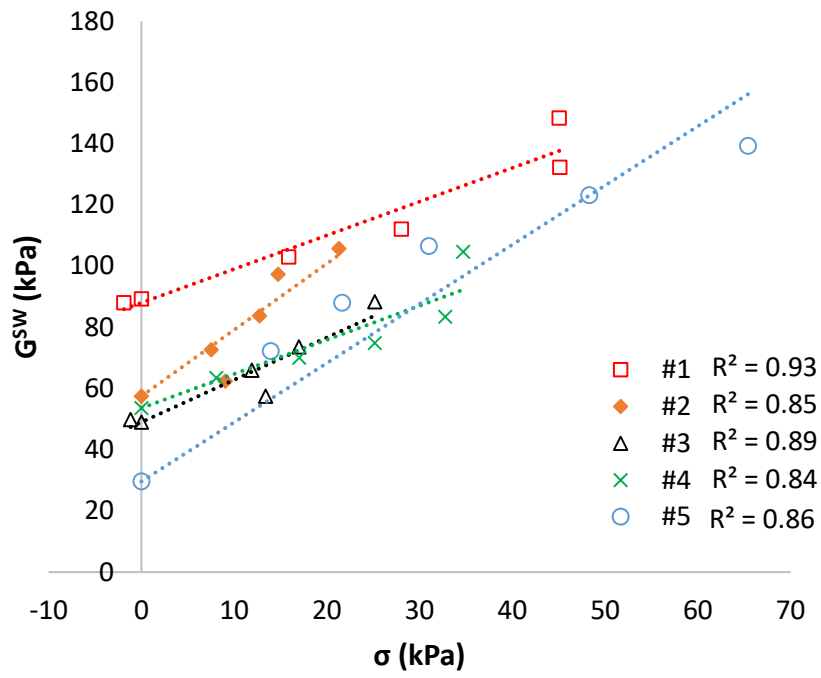


Figure 2

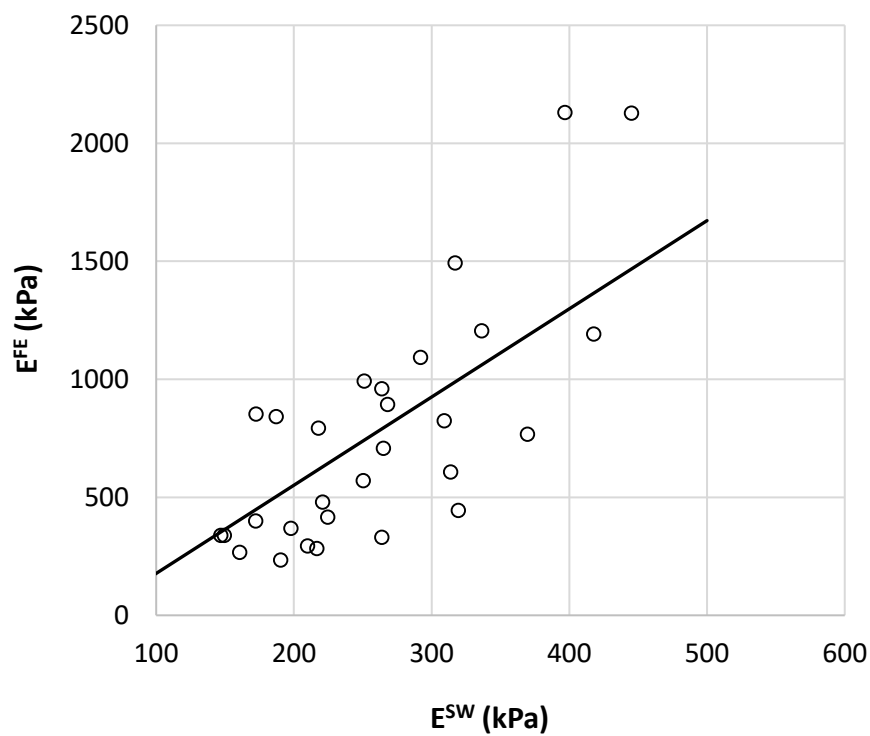


716 Figure 3:
717



718
719
720
721
722
723
724
725
726
727
728
729
730
731
732
733
734
735
736
737
738
739
740
741
742
743
744
745
746
747
748
749
750

751 Figure 4:
752
753
754



755
756
757
758
759
760
761
762
763
764
765
766
767
768
769
770
771
772
773
774
775
776
777
778
779
780
781
782
783

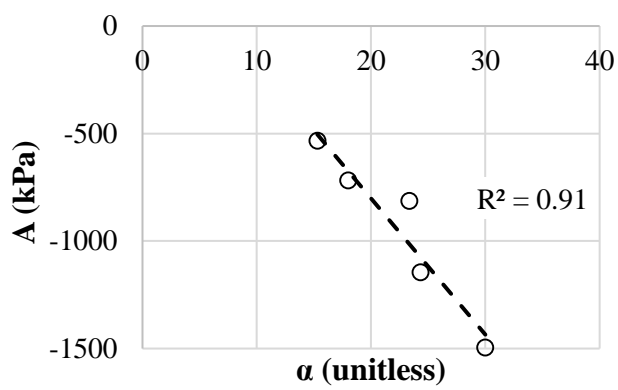
784 Figure 5

785

786

787

788



789

790

791

792

Accepted manuscript