

1 **Ferrocenyl Chalcone Derivatives as Possible Antimicrobial Agents**

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21 **Abstract**

22 The swift spread of infections caused by drug-resistant bacteria, such as methicillin-resistant
23 *Staphylococcus aureus* (MRSA), has quickly become a worldwide concern as infections spread
24 from healthcare settings to the wider community. While ferrocenyl chalcones, which are
25 chalcone derivatives with antimicrobial activity, have gained attention from researchers,
26 further study is needed to assess their cytotoxicity. Ten newly developed chalcones, in which
27 ring A was replaced with a ferrocenyl moiety and ring B contained increasing alkyl chain
28 lengths from 5-10 carbons, were assessed. Using 2-fold broth microdilution, the minimum
29 inhibitory concentration (MIC) of five of the ten compounds were lower against Gram-positive
30 organisms (MICs from 0.008 mg/ml to 0.063 mg/ml) than Gram-negative organisms (MICs =
31 0.125 mg/ml). These novel ferrocenyl chalcone compounds were effective against 3 types of
32 clinically isolated drug-resistant *S. aureus*, including a MRSA, and against other non-resistant
33 clinically isolated and laboratory-adapted Gram-positive bacteria. The same compounds
34 inhibited growth in non-resistant bacteria by potentially obstructing cellular respiration in
35 Gram-positive bacteria. Images obtained through scanning electron microscopy revealed fully
36 lysed bacterial cells once exposed to a selected compound that showed activity. The results
37 indicate that these newly developed compounds could be important antimicrobial agents in
38 the treatment of infections from clinically resistant bacteria.

39 Keywords: Antimicrobial agents/antimicrobial drug resistance/cellular
40 respiration/chalcones/mechanism of action/scanning electron microscopy

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49 Introduction

50 The increasing resistance of microorganisms to antibacterial agents is a global threat to public health
51 and the over-prescription and misuse of antibacterial drugs have been identified as key factors in the
52 development of bacterial antibiotic resistance [1]. In 2019, the World Health Organisation (WHO)
53 published a working paper, which outlined the implementation and coordination of six strategies for
54 a successful approach towards combatting antimicrobial resistance [2].

55 Resistance in bacteria can be influenced by the minimum inhibitory concentration (MIC) of a
56 particular antibiotic at lethal or, more often, at sub-lethal levels. Bacteria that select for resistance
57 because of sub-lethal antibiotic levels may use various mechanisms of drug resistance including
58 mutations in bacterial genes responsible for antimicrobial susceptibility, which may be integrated or
59 transferable [3], or mutations in efflux pumps in bacterial cells [4]. Lack of bioavailability leading to
60 low blood and tissue levels can lead to suboptimal drug exposure leading to microbes becoming
61 resistant. Raising dose levels could lead to enhanced toxicity and adverse events [5], [6].

62 The initial focus of the prevalence of antimicrobial drug resistance was limited to nosocomial
63 infections caused by methicillin-resistant *Staphylococcus aureus* (MRSA) and glycopeptide-resistant
64 *Enterococci* (GRE) but similar infections began to emerge in the community and in non-clinical
65 environments [7]. Subsequently, the WHO [8] reported the existence of other multidrug-resistant
66 (MDR) bacteria including carbapenemase-producing Enterobacteriaceae (CPE), the most common
67 being *Klebsiella pneumoniae* and *Escherichia coli* [9]. A report by Allegranzi *et al.* [10] indicated that
68 MDR-associated nosocomial infections in developing countries (15.5 per 100 patients) were higher
69 than the mean incidence in European health institutions (7.1 per 100 patients) because of unsafe
70 surgeries that may include surgical instruments contaminated with resistant bacteria. This increased
71 risk suggests the need for greater scrutiny of surgical procedures and related infection control
72 practices.

73 The emergence of CPEs has prompted an increase in the use of colistin (polymixin E), which is
74 considered to be the 'last line of defence' and is a critical agent against some common multidrug-
75 resistant Gram-negative aerobic bacilli, including CPEs. The mechanism of action of colistin is to
76 damage the integrity of the outer envelope of Gram-negative bacilli by causing instability of
77 membrane-bound lipopolysaccharides (LPS) [11]. This damage allows cellular contents to escape,
78 resulting in apoptosis but the toxic effects of colistin on the human kidney prevented its use in
79 routine antimicrobial therapy [12]. Overuse of colistin has now resulted in infections caused by
80 colistin-resistant CPEs. Initially, resistance was thought to result from chromosomal mutations but
81 recent reports have shown that resistance can be mediated by the transfer of plasmids containing
82 the colistin-resistant gene known as *MCR-1* [13]. A 2016 report by the European Centre for Disease
83 Prevention and Control (ECDC) described infections caused by this type of colistin resistance as a
84 critical public health issue [14].

85 Even as resistance to available antibacterial agents increases, the number of new, effective agents
86 being discovered particularly for Gram-negative infections remains small [15]. Poor financial returns
87 of approved antibiotics, combined with a reduction of regulatory approval for new drugs to treat
88 drug-resistant bacteria, has affected progress in the development of new antibiotics [16], [17]. A

89 clear need exists for development of novel antibacterial drugs with increased efficacy, particularly
90 against infections caused by MDR Gram-negative organisms [18].

91 **Chalcones**

92 One avenue of research into antimicrobial drug development is the use of flavonoids [19]. These
93 organic compounds, synthesised by plants, contribute to the colour in flowers making them alluring
94 to pollinators, increase survival by protecting them from fungal infection and ultra violet radiation,
95 and are involved in essential cellular processes such as energy transfer, respiration and
96 photosynthesis [19]. Another key role is as an antioxidant. Chalcones (Figure 3), a class of flavonoids,
97 have attracted the attention of researchers as they show reduced cytotoxicity to humans and
98 increased antibacterial potency [19]. Specifically, ferrocene-containing chalcones have been shown
99 to be attractive potential antimicrobial agents due to their favourable characteristics such as
100 lipophilicity and ease of chemical modification [20] suggesting that they may also be potential
101 scaffold molecules for other new potent antimicrobial drugs [19], [21]–[24].

102 **Ferrocenyl chalcones**

103 Researchers are focussed on ferrocene-type drugs because of their benefits such as their small size,
104 comparative lipophilicity, a key feature allowing diffusion across cell membranes, ease of chemical
105 modification, as mentioned above, and accessible one-electron-oxidation potential. Classes of
106 ferrocenyl chalcones are primarily of two types, as seen in Figure 1; Type 1 where the carbonyl group
107 is at the α -position adjacent to the ferrocenyl ring and Type 2 where the carbonyl group is at the α -
108 position adjacent to the phenyl ring.

109 However, these compounds require further study to determine their efficacy and any possible
110 toxicity to mammalian cells [20].

111 Ferrocenyl chalcones have been altered to produce sulfones [25]. These sulphur-based compounds
112 were synthesized using the meta-chloroperbenzoic acid, catalysed oxidation of ferrocenyl chalcone
113 sulfides (Figure 2). Much like the ferrocenyl chalcone derivatives used in the current study, several of
114 the compounds described by Ahmed *et al.* reportedly inhibited bacterial growth at minimum
115 inhibitory concentrations (MIC) lower than amikacin and ampicillin [26].

116 The research described in this paper involved the testing of novel functionalised ferrocenyl
117 chalcones (Chart 1). The overall synthesis of these Type 2 ferrocenyl chalcones, which were
118 produced by Crouch [27], are shown in Figure 3.

119 The lipophilicity of these compounds increased as alkyl chain length of the R group increased (from
120 methyl to decyl). In the current study, the antimicrobial activity of these highly lipophilic chemicals
121 were investigated as well as their mode(s) of action, which possibly involved the reduction of
122 bacterial cell viability.

123 The newly developed ferrocenyl chalcones used in this study will be investigated to determine if one
124 potential mechanism was to block bacterial dehydrogenases involved in respiration, which would

125 interrupt the bacterial electron transport chain necessary for energy and growth as described by
126 Haddock & Jones [28]. The current study used 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium
127 bromide (MTT) to indicate bacterial cell viability in terms of the amount of formazan product formed
128 [29]. In actively respiring cells, these respiratory dehydrogenases were considered to reduce the
129 yellow MTT compound to insoluble purple formazan [30]. The MTT assay is an inexpensive method
130 that has been used to demonstrate inhibition of bacterial cell respiration as an indicator of cell
131 viability of *Escherichia coli* [31] and *Mycobacterium tuberculosis* H37Rv [29]. Inhibition of this vital
132 cellular process can result in cellular lysis.

133 Scanning electron microscopy (SEM) was used to gain images to obtain an insight into possible
134 external morphological changes to the cells. These changes could include visible pores on the
135 envelope like that seen in antimicrobial peptide activity against *E. coli* 25922 and *Staphylococcus*
136 *aureus* 25923 [32], or fully lysed cells like those seen in the treatment of *Bacillus cereus* with sucrose
137 monocaprate [33].

138 ***Aims and objectives***

139 The principle aim of this study was to identify any antimicrobial activity of the ferrocenyl chalcone
140 derivatives on non-resistant laboratory-adapted bacteria, followed by identifying if there was also
141 antimicrobial activity against a panel of drug-resistant and non-resistant clinical isolates from the
142 Royal Chesterfield Hospital, UK. The organisms used in the current study were selected based on
143 availability and to represent a broad spectrum of bacteria. The proposed method was based on the
144 2-fold broth microdilution method described by Andrews [34]. Another aim of the current study was
145 to determine the potential mechanism of action of the ferrocenyl chalcone derivatives using MTT
146 assay as described by Moodley *et al.* [29]. Finally, the project aimed to obtain micrographic evidence
147 of possible external damage to bacterial cells that may result from exposure to these compounds
148 using SEM as detailed by Hartmann *et al.* [32].

149 **Material and Methods**

150 ***Preparation of ferrocenyl chalcones and control antibiotics***

151 Ferrocenyl chalcones of increasing alkyl chain lengths (methyl to decyl) were provided by Crouch
152 [27]. Fresh stock solutions of each compound were prepared at 1 mg/ml in dimethyl sulfoxide
153 (DMSO) (Alfa Aesar, Lancashire, UK) for each assay. Stock 250 mg/ml antibiotic solutions of
154 penicillin-G (Sigma, Dorset, UK) and oxytetracycline were prepared in sterile deionised water
155 according to the standard method described by Andrews [34]. Each solution was divided into 1 ml
156 aliquots in sterile microcentrifuge tubes and then stored at -20oC.

157 ***Preparation of inocula***

158 Inocula of *S. aureus* NCIMB 8244, *E. faecalis* NCTC 12697, *K. kristinae* NCIMB 8884, *E. coli* NCIMB
159 9483, *K. pneumoniae* (IH) and *Salmonella serotype Manchester* NCTC 7832 were prepared by

160 suspending at least 3-4 colonies of each organism into individual sterile 10 ml aliquots of sterile
161 Oxoid MH broth (Fisher Scientific, Loughborough, UK) and incubated for 15-20 minutes at 37°C in air
162 while stirring. Suspensions were diluted 1:100 in sterile MH broth to gain starting inocula of 10⁵ per
163 BSAC standards. Clinical isolates of non-resistant *E. coli*, *S. aureus*, resistant *S. aureus* (penicillin;
164 erythromycin, penicillin, clindamycin) and a MRSA were prepared as described in the previous
165 sentence. *K. kristinae* NCIMB 8884 were prepared 1:10 also per BSAC standards.

166 **Minimum inhibitory concentration assay**

167 Minimum inhibitory concentrations (MICs) were determined using 2-fold serial broth microdilution
168 of each ferrocenyl chalcone compound with sterile MH broth. Each prepared inoculum (75 µl) was
169 added to equal volumes of diluted ferrocenyl chalcone solution in Nunc 0.2 ml flat bottom 96-well
170 12-column microtitre plates (Fisher Scientific, Loughborough, UK). This was repeated for each
171 ferrocenyl chalcone compound. Column 11 was treated with antibiotic (penicillin-G and
172 oxytetracycline) and column 12 was left untreated. Plates were then incubated at 37°C for 18-24
173 hours. Absorbance values were measured using a Rosys Anthos 2010 microplate reader (Salzberg,
174 Austria) at 620 nm adapted from Medu [35].

175 **Bacterial MTT assay**

176 Bacterial cell viability of resistant and non-resistant bacteria at MIC was determined by inoculating
177 96-well microplates as described above, followed by the addition of 10 µl of MTT solution (5 mg/ml)
178 (Sigma-Aldrich, Dorset, UK). Plates were incubated at room temperature for 3 hours followed by
179 addition of 50 µl of DMSO. Absorbance values were measured at 570 nm.

180 **Bacterial Scanning Electron Microscopy (SEM)**

181 Treated non-resistant bacteria, *S. aureus* NCIMB 8244, *K. kristinae* NCIMB 8884 and *E. faecalis* NCTC
182 12697 were exposed to decyl ferrocenyl chalcone solution at MIC value and incubated for 18-24
183 hours at 37°C, whilst untreated cells were incubated under the same conditions in the absence of
184 chalcone. Treated and untreated cells were incubated with 2% w/v glutaraldehyde for 1 hour then
185 washed with sterile phosphate buffer saline (PBS) by centrifugation [32]. The cells were then
186 dehydrated with a graded series of ethanol (20% v/v, 40% v/v, 60% v/v, 80% v/v, 95% v/v, 100% v/v,
187 100% v/v, 100% v/v) and re-suspended in sterile deionised water. Re-suspended cells (10 µl) were
188 pipetted on to 0.2 µm Cyclopore Track Etch polycarbonate membrane filter discs (Whatman
189 International Limited, Maidstone, UK) and sputter-coated with gold. Secondary electron images
190 were taken using the JEOL JSM 6610V SEM (Herts, UK).

191 **Statistical analysis**

192 The Kolmogorov-Smirnoff test was used to determine data normality of the MTT assay data.
193 Statistical analysis of the MTT assay data in the study was performed using a One-Way ANOVA to
194 determine if the mean percentage of actively respiring cells differed between the hexyl to decyl

195 ferrocenyl chalcones treatments.

196 **Results**

197 ***MIC assay***

198 Methyl to pentyl ferrocenyl chalcones showed lower antimicrobial activity than hexyl to decyl
199 ferrocenyl chalcones (Table 1). The former group of compounds showed MIC values of 0.125 mg/ml
200 (\pm 0.000) for all organisms tested. MIC values at 0.125 mg/ml contained 12.5% v/v DMSO, which was
201 the threshold at which microbial growth was seen. The chalcones with longer alkyl chain lengths
202 (hexyl-decyl) also had lower MICs against Gram-positive bacteria than against Gram-negative
203 bacteria. MIC of these compounds with longer alkyl chains ranged from 0.008 mg/ml (\pm 0.000) and
204 0.063 mg/ml (\pm 0.000) for *S. aureus* NCIMB 8244, *E. faecalis* NCTC 12697, *K. kristinae* NCIMB 8884
205 and a non-resistant clinical isolate of *S. aureus*, while MICs against all Gram-negatives = 0.125 mg/ml
206 (\pm 0.000). MICs for the same longer alkyl chain ferrocenyl chalcones against resistant clinical isolates
207 range of *S. aureus* from 0.031 mg/ml (\pm 0.000) to 0.063 mg/ml (\pm 0.000). No growth was observed
208 with organisms that were treated with penicillin-G or oxytetracycline at an MIC of 0.125 mg/ml.

209 ***MTT assay***

210 The results of the MTT assay of non-resistant Gram-positive laboratory organisms demonstrated
211 that the percentage of actively respiring cells, in terms of formazan product observed (Figure 4)
212 decreased after exposure to chalcones at the MIC value. No viable cells (mean estimated percentage
213 of 0%) were seen for *S. aureus* NCIMB 8244 when exposed to hexyl and octyl, for *K. kristinae* NCIMB
214 8884 after exposure to hexyl and heptyl, and for *E. faecalis* NCTC 12697 when exposed to hexyl and
215 heptyl. The highest percentage was measured for *S. aureus* NCIMB 8244 after incubation with decyl
216 (4.241%).

217 In the MTT assay of resistant and non-resistant Gram-positive clinical isolates the percentage of
218 actively respiring cells, in terms of formazan product observed (Figure 5) also decreased after
219 exposure to chalcones at the MIC value. No viable cells (mean percentage of 0%) were seen for fully
220 sensitive *S. aureus* (RCH) when exposed to hexyl, heptyl and octyl, for *PEN-resistant S. aureus* (RCH)
221 when exposed to heptyl, octyl, nonyl and decyl, for *PEN/ERY/CLI-resistant S. aureus* (RCH) and *MRSA*
222 when exposed to hexyl, heptyl, nonyl and decyl. The highest percentage was determined for fully
223 sensitive *S. aureus* (RCH) after incubation with nonyl (2.242%).

224 There were no significant differences at $p=0.05$ between these chalcones (hexyl to decyl), in terms of
225 mean percentage (\pm SD) of actively respiring cells present, against *S. aureus* NCIMB 8244 ($p=0.107$),
226 *K. kristinae* NCIMB 8884 ($p=0.326$) and *E. faecalis* NCTC 12697 ($p=0.118$). Similarly, there were no
227 significant differences between these compounds against fully sensitive *S. aureus* (RCH) ($p=0.523$),
228 *penicillin-resistant S. aureus* (RCH) ($p=0.418$), *PEN-, ERY-, CLI-resistant S. aureus* (RCH) ($p=0.418$) and
229 a *MRSA* (RCH) ($p=0.418$).

230 **Bacterial SEM**

231 The SEM images (Figures 6A-F) revealed that exposure to decyl ferrocenyl chalcone resulted in
232 morphological changes to bacterial cells at MIC. The affected cells appeared fully lysed, while
233 unaffected cells maintained their spherical or spherical-like (oploid) appearance.

234 **Discussion**

235 In the broth microdilution assay, fresh DMSO was used as the solvent for the ferrocenyl chalcones.
236 The results showed that MIC values of the methyl to pentyl ferrocenyl chalcone compounds (0.125
237 mg/ml in 12.5% v/v DMSO) were within the reported values for penicillin (0.00015-0.128 mg/ml)
238 against *Staphylococci* [34]. In the same assay, the MIC values of all 10 compounds were 0.125 mg/ml
239 in 12.5% v/v DMSO against *Enterobacteriaceae*, which were within the values reported by Andrews
240 [34] for tetracycline (0.00025-0.128 mg/ml) against *Enterobacteriaceae*. These values were used
241 since oxytetracycline is an analogue of tetracycline. However, growth inhibition may also have
242 resulted from exposure of the organisms to DMSO. DMSO has been shown to have an inhibitory
243 effect at percentages equal to and/or greater than 12.5% v/v [36]. This was confirmed in a
244 simultaneous study but not reported in the current paper. The chalcone MIC values for *S. aureus*
245 NCIMB 8244 began to decrease as alkyl chain length increased. This was especially seen with hexyl
246 to nonyl (0.063 mg/ml) and decyl (0.031 mg/ml). Except for hexyl against clinically isolated *S. aureus*
247 (fully sensitive) (RCH), sensitivity was also seen for hexyl to decyl against *penicillin-resistant S. aureus*
248 (RCH), *penicillin-, erythromycin-, clindamycin-resistant S. aureus* clinical isolates (RCH) (0.031 mg/ml
249 to 0.063 mg/ml). No growth was observed with organisms that were treated with approximately
250 0.125 mg/ml of known control antibiotics, which were within the reported MIC range of penicillin
251 and tetracycline [34].

252 The MIC values reported in Table 1 varied between each organism and between each chalcone.
253 When used against *S. pyogenes* NCIMB 8884, which was later confirmed to be *K. kristinae*, all
254 chalcones with longer alkyl chains showed MIC values of 0.016 mg/ml except for hexyl (0.031
255 mg/ml) and heptyl (0.008 mg/ml). When used against *E. faecalis* NCTC 12697, hexyl to decyl
256 ferrocenyl chalcones showed MIC values of 0.063 mg/ml. These values were also within the
257 expected range (0.0005-0.128 mg/ml) for *Enterococci* [34]. Although antimicrobial activity was seen
258 with hexyl to decyl against *K. pneumoniae* (IH), *E. coli* (RCH), *E. coli* NCIMB 9483 and *Salmonella*
259 "*Manchester*" NCTC 7372 (0.125 mg/ml), it may have resulted from sensitivity to 12.5% v/v DMSO.

260 The overall trend appeared to be that the chalcones had a greater inhibitory effect on Gram-positive
261 bacteria than on Gram-negative bacteria. The difference in MIC values with respect to the Gram-
262 negative and Gram-positive organisms may be because of increasing alkyl chain length. One
263 explanation why the Gram reaction may have been a factor was that the compounds may have
264 passed across the thick hydrophilic peptidoglycan layer of Gram-positive bacteria because of the
265 amphipathic DMSO [37]. The long chains may have become trapped in the cell membrane allowing
266 the attached ferrocenyl groups, which were relatively smaller than the alkyl chains, to enter the
267 cytoplasm. Since Gram-negative bacteria have outer envelopes with membrane transporter proteins
268 such as porins, followed by thin peptidoglycan layers and cell membranes in their cellular envelopes,

269 entry into these cells may have been more difficult. These porins would have allowed hydrophilic
270 compounds to enter, while hydrophobic compounds may have diffused across the lipid bilayer of the
271 outer envelope [38]. However, because of the fluidity of the outer lipid bilayer of Gram-negative
272 bacteria, the long alkyl chains of the ferrocenyl chalcones may have become trapped in the outer
273 envelope and would have been unable to cross the peptidoglycan layer and cell membrane into the
274 cells. Another reason why the difference between Gram-negative and Gram-positive bacteria may
275 have been important was that organisms such as *E. coli* had become used to living in enriched
276 media, which promoted vigorous growth [39].

277 The MIC values of the ferrocenyl chalcones against the organisms used in this study corresponded
278 with the percentage of actively respiring cells in terms of the formazan product seen. This suggests
279 that the metabolic process used to convert MTT, as discussed by Riss *et al.* [30], was not active at the
280 concentrations of chalcones present in the cells. Therefore, little or no formazan product was
281 detected at 570 nm on the microplate reader. In the MTT assay involving Gram-negative bacteria,
282 growth inhibition, which was seen at 0.125 mg/ml, may also have resulted from exposure of the
283 organisms to DMSO. This implies that the percentage of viable cells that were involved in MTT
284 metabolism to formazan were very low at the assessed MIC.

285 When compared to MTT screening of ferrocenyl chalcone antimicrobial activity against
286 *Mycobacterium tuberculosis*, the MIC values in this study lay within the reported range (0.016-0.128
287 mg/ml) [29], except for *K. kristinae* where a lower MIC (heptyl chalcone) was used. In the microplate
288 assays, the overall trend showed that the chalcones had a greater inhibitory effect on Gram-
289 positives than on Gram-negatives. The trend also indicated that there were no significant differences
290 between the chalcones with longer alkyl chains (hexyl to decyl) in terms of mean percentage (\pm SD) of
291 actively respiring cells present against *S. aureus* NCIMB 8244, *K. kristinae* NCIMB 8884 and *E. faecalis*
292 NCTC 12697. Thus, the compounds were equally effective at inhibiting respiration in bacterial cells.
293 Similarly, the chalcones were equally effective at respiration inhibition for fully sensitive *S. aureus*
294 (RCH), penicillin-resistant *S. aureus* (RCH), PEN-, ERY-, CLI-resistant *S. aureus* (RCH) and a MRSA
295 (RCH). Increased chain length may have allowed the ferrocene groups to enter the cytoplasm of
296 Gram-positive organisms. Ferrocene groups have been proposed to be inhibitors of cellular
297 respiration, in which the ferrocene groups act as uncouplers [20]. Since Gram-negative organisms
298 possess outer envelopes, thin peptidoglycan layers with increased periplasmic space and cell
299 membranes in their cell envelopes, entry into these cells may be more difficult. Another possibility
300 was that the cell membrane of Gram-positive bacteria was compromised such that the electron
301 transport chain cannot function [28]. Cell viability, as indicated by MTT metabolism to formazan,
302 decreased in Gram-positives when compared to Gram-negatives. Therefore, a possible mechanism
303 of action of the chalcones with longer alkyl chain lengths may have been inhibition of cellular
304 respiration.

305 Visible effects of possible inhibition of cellular respiration were seen in micrographs of bacterial
306 samples (Figures 6B, 6D & 6F) at MIC, where the cells exhibited lysis when treated with decyl
307 ferrocenyl chalcone. In contrast, untreated *S. aureus* NCIMB 8244, *K. kristinae* NCIMB 8884 and *E.*
308 *faecalis* NCTC 12697 appeared unaffected externally (Figure 6A, 6C & 6E). This potential mode of
309 action was proposed based on observations of similar cellular damage caused by respiration

310 inhibitors when *S. aureus* ATCC 25923 was exposed to graphene films on three types of conductors
311 [40] and when *S. aureus* RSK01009 were exposed to essential oil terpenes [41].

312 This spread is in part caused by the misuse of antibiotics and the unavailability of new drugs. This is
313 the first report to demonstrate that ferrocenyl chalcones, which can be structurally altered by
314 synthetic methods, possess significant antimicrobial activity against non-resistant lab organisms and
315 resistant and non-resistant clinical isolates. This study also indicates that activity was potentially
316 characterised by interference of bacterial respiration. The findings of this study reveal that these
317 novel ferrocenyl chalcone compounds are potential antimicrobial agents against clinical bacterial
318 isolates requiring further investigation.

319 In order to progress the possible use of ferrocenyl chalcones alkyl iodide chains as promising
320 alternative antimicrobial drugs, future research into these current chemicals, which includes their
321 effects against biofilms and mammalian cells. Further assays involving the efficacy of the compounds
322 against biofilms, such as a comparable study reported by Kunthalert *et al.* [42], and cytotoxicity
323 against mammalian cells, such as a similar study reported by Kowalski *et al.* [43] are needed to
324 strengthen the profile of the ferrocenyl chalcone compounds.

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329 Royal Hospital NHS Foundation Trust).

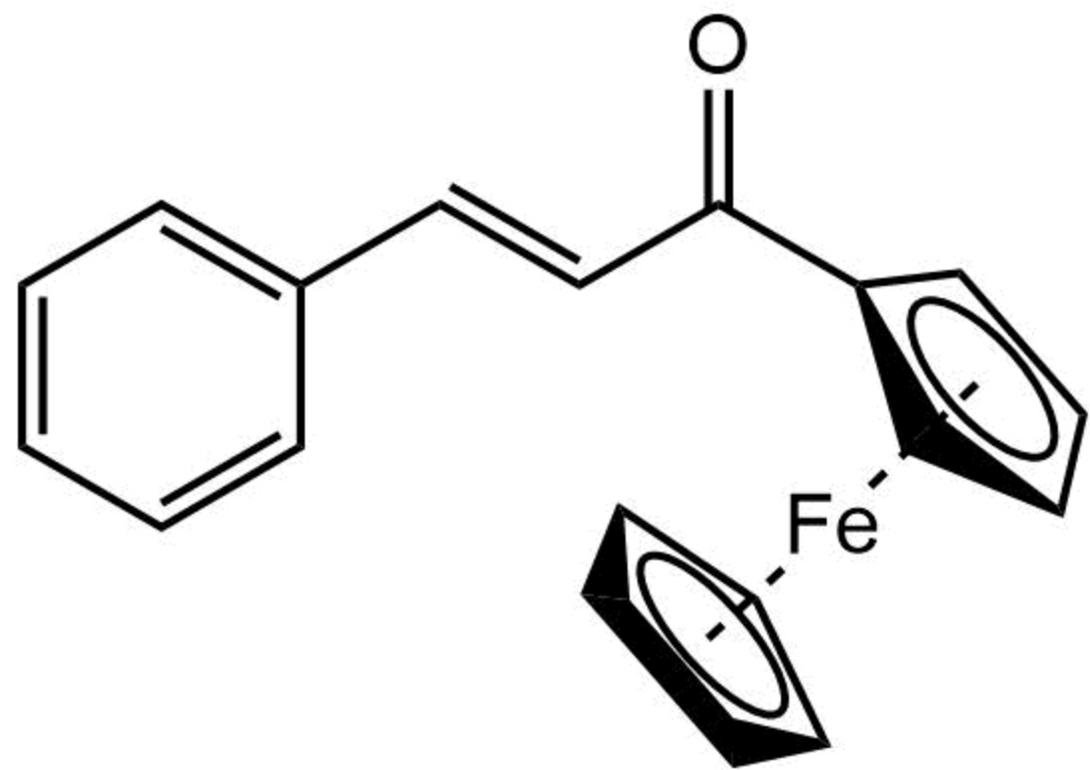
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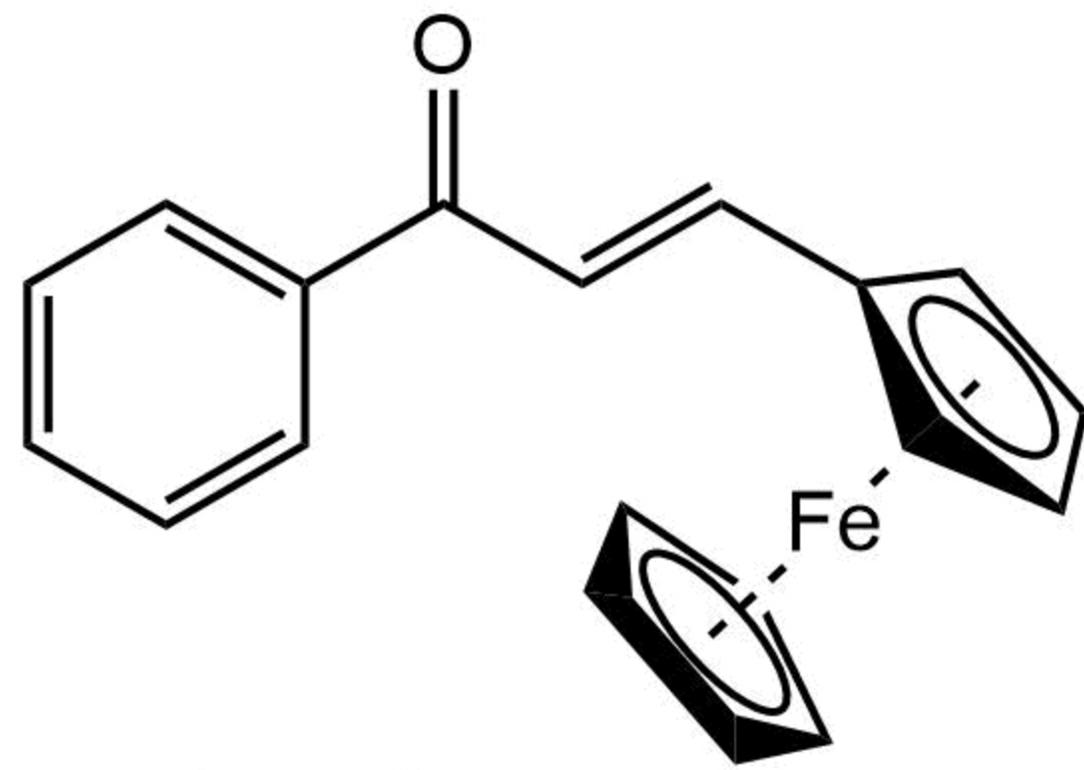
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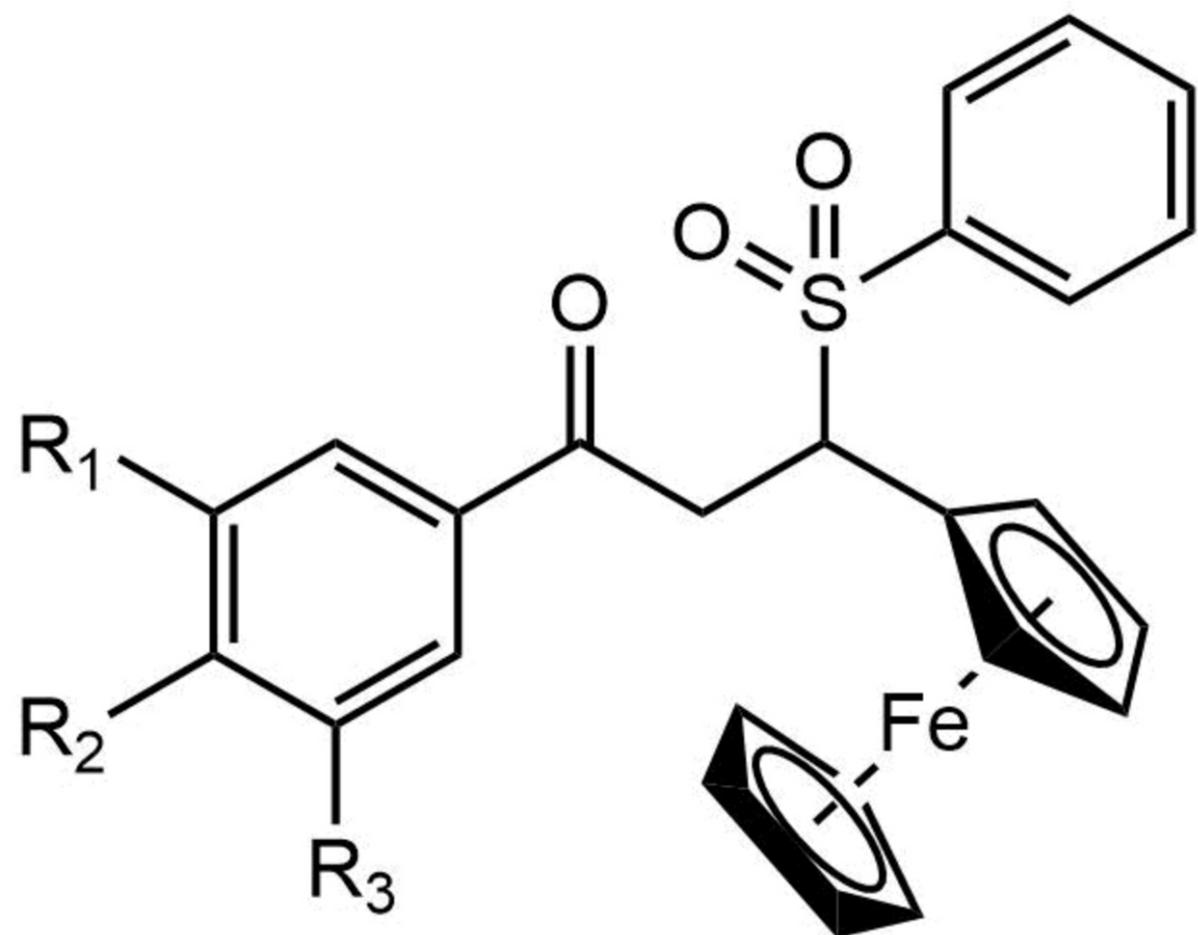


Type 1

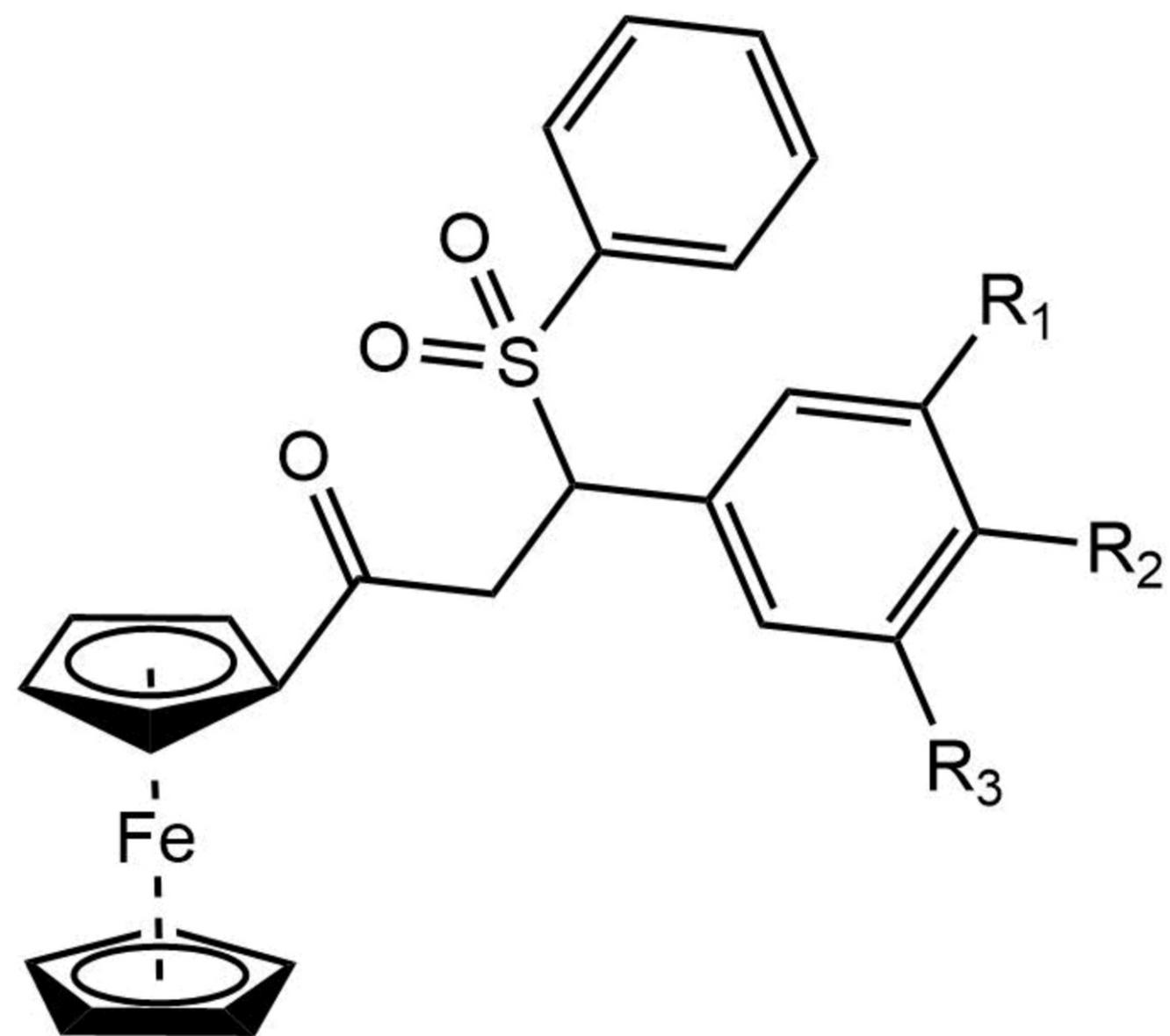


Type 2

Figure 1 - General structure of Type 1 and Type 2 ferrocenyl chalcones [20]



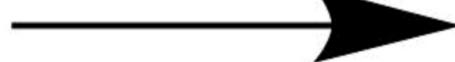
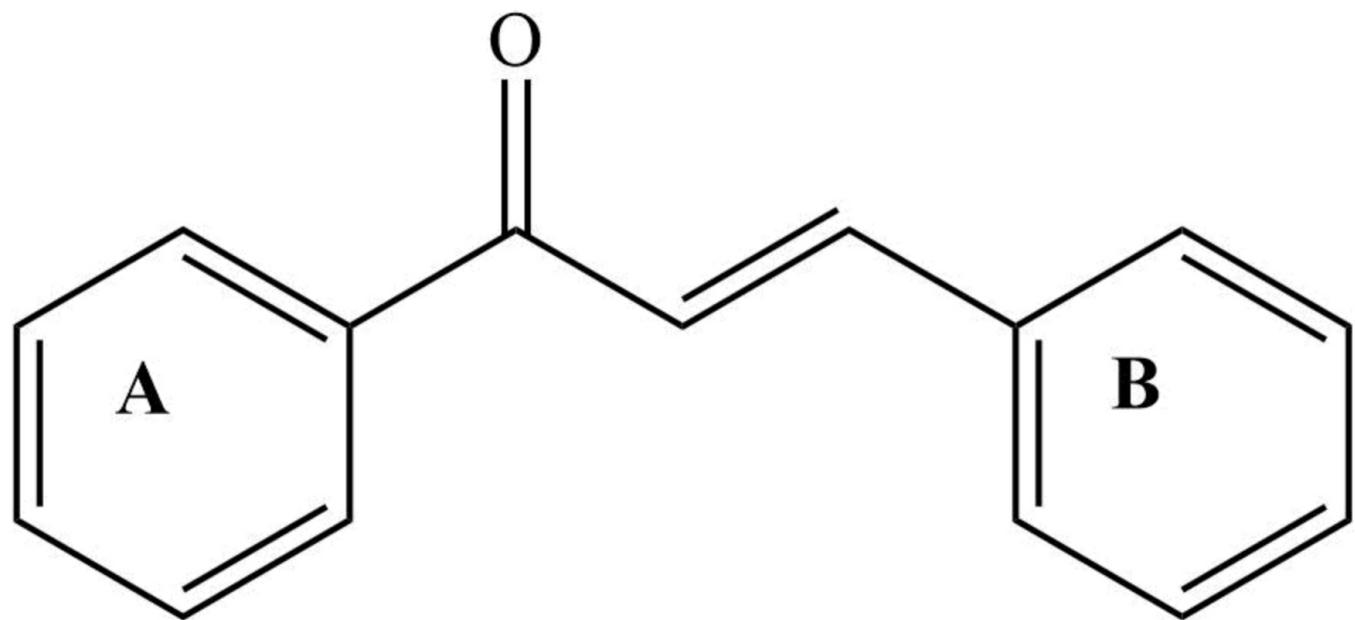
3-ferrocenyl-1-phenyl
chalcone based sulfone



1-ferrocenyl-3-phenyl chalcone
based sulfone

Figure 2 - General structures of ferrocenyl chalcone-based sulfones [26].

Chalcone



Substituted ferrocenyl chalcone

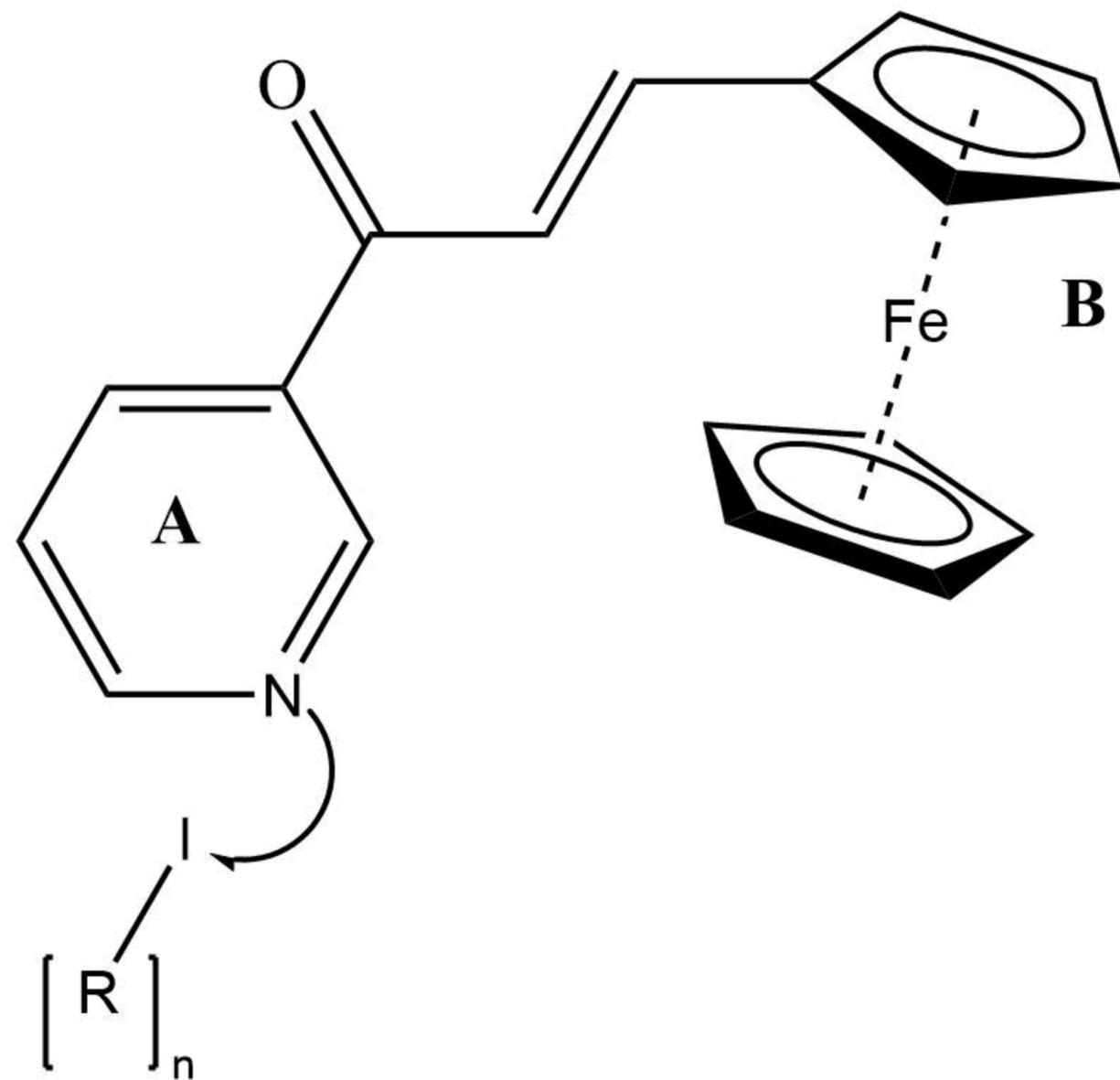
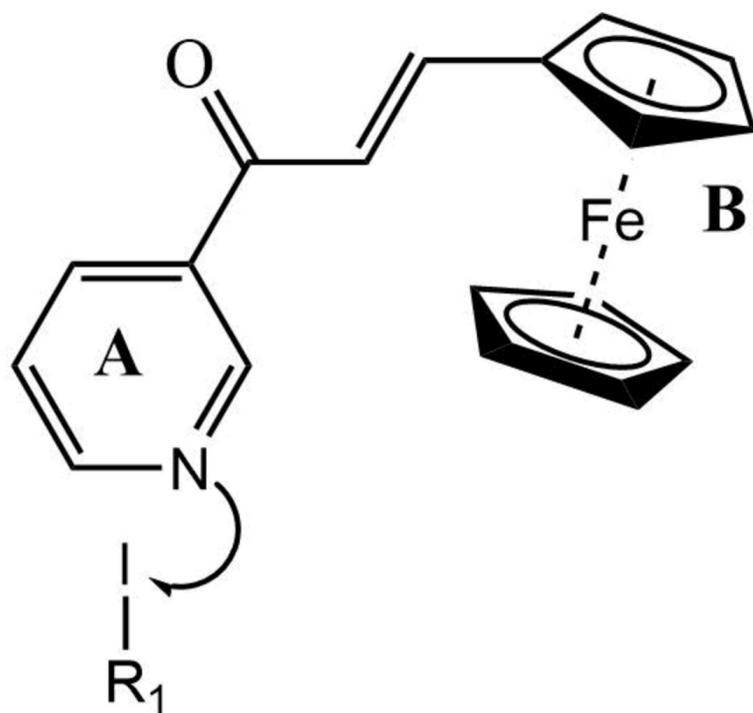


Figure 3 - Diagram of basic structure of chalcone followed by nitrogen substitution and alkyl iodide addition in ring A and a ferrocenyl group substitution on ring B (drawn by E. Henry, 2014).



Ferrocenyl chalcone derivative

Attached R group	Name of final compound
—CH ₃	Methyl
—C ₂ H ₅	Ethyl
—C ₃ H ₇	Propyl
—C ₄ H ₉	Butyl
—C ₅ H ₁₁	Pentyl
—C ₆ H ₁₃	Hexyl
—C ₇ H ₁₅	Heptyl
—C ₈ H ₁₇	Octyl
—C ₉ H ₁₉	Nonyl
—C ₁₀ H ₂₁	Decyl

Chart 1 - Structures of the ferrocenyl chalcones used in the current study.

Table 1– Minimum Inhibitory Concentration values (mg/ml) of methyl to decyl ferrocenyl chalcone compounds against non-resistant and resistant lab isolates and clinical isolates. RCH = Royal Chesterfield Hospital; IH = Ian Hopkins; PEN= penicillin; ERY = erythromycin; CLI = clindamycin; MRSA = methicillin-resistant *S. aureus*.

Organism	Mean (± 0.000 , n=6) MIC (mg/ml)									
	Methyl	Ethyl	Propyl	Butyl	Pentyl	Hexyl	Heptyl	Octyl	Nonyl	Decyl
<i>S. aureus</i> NCIMB 8244	0.125	0.125	0.125	0.125	0.125	0.063	0.063	0.063	0.063	0.031
<i>K. kristinae</i> NCIMB 8884	0.125	0.125	0.125	0.125	0.125	0.031	0.008	0.016	0.016	0.016
<i>E. faecalis</i> NCTC 12697	0.125	0.125	0.125	0.125	0.125	0.063	0.063	0.063	0.063	0.063
<i>S. aureus</i> Fully Sens. (RCH)	-	-	-	-	-	0.125	0.063	0.063	0.063	0.063
<i>PEN-resistant S. aureus</i> (RCH)	-	-	-	-	-	0.063	0.031	0.063	0.063	0.063
<i>PEN-, ERY-, CLI-resistant</i> (RCH)	-	-	-	-	-	0.063	0.031	0.063	0.063	0.063
<i>MRSA</i> (RCH)	-	-	-	-	-	0.063	0.063	0.063	0.063	0.063
<i>E. coli</i> NCIMB 9483	0.125	0.125	0.125	0.125	0.125	0.125	0.125	0.125	0.125	0.125
<i>K. pneumoniae</i> (IH)	0.125	0.125	0.125	0.125	0.125	0.125	0.125	0.125	0.125	0.125
<i>Salmonella "Manchester"</i> NCTC 7372	0.125	0.125	0.125	0.125	0.125	0.125	0.125	0.125	0.125	0.125
<i>E. coli</i> Fully Sens. (RCH)	-	-	-	-	-	0.125	0.125	0.125	0.125	0.125

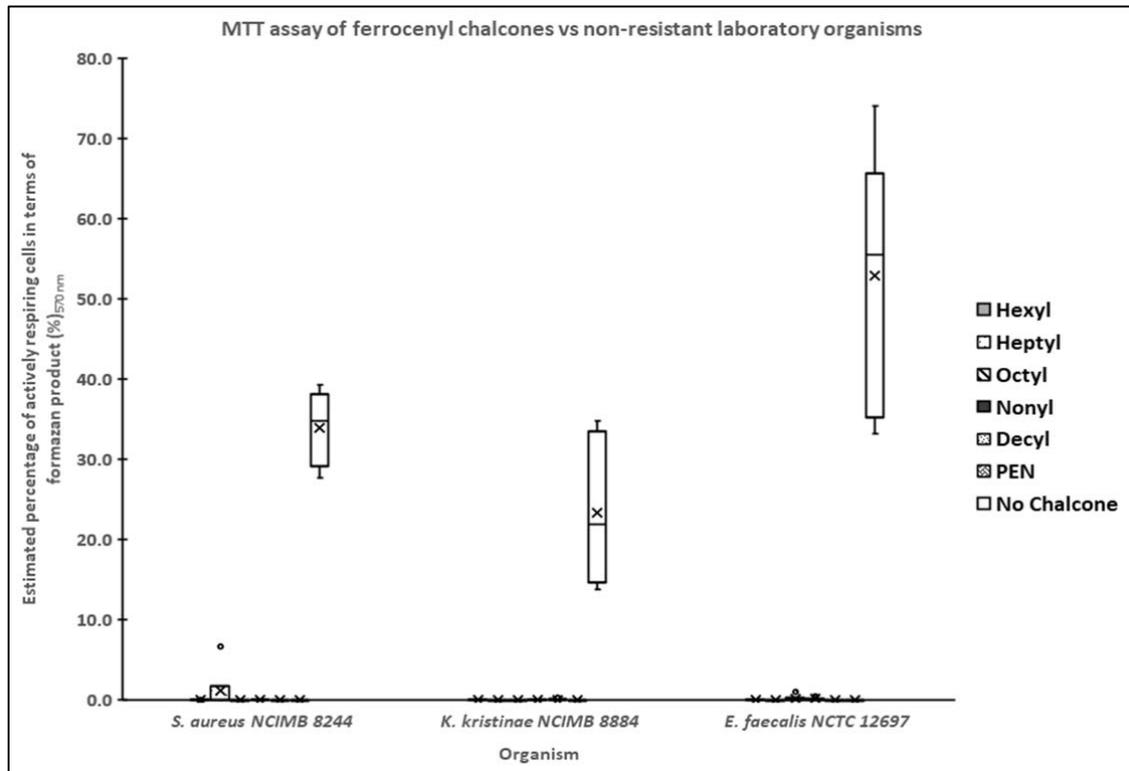


Figure 4 - Estimated percentage of actively respiring non-resistant lab bacterial cells when treated with ferrocenyl chalcone at MIC. Box plots represent the lower and upper quartiles with the medians shown as black lines. Whiskers represent the minimum and maximum percentages and each X represents the mean values.

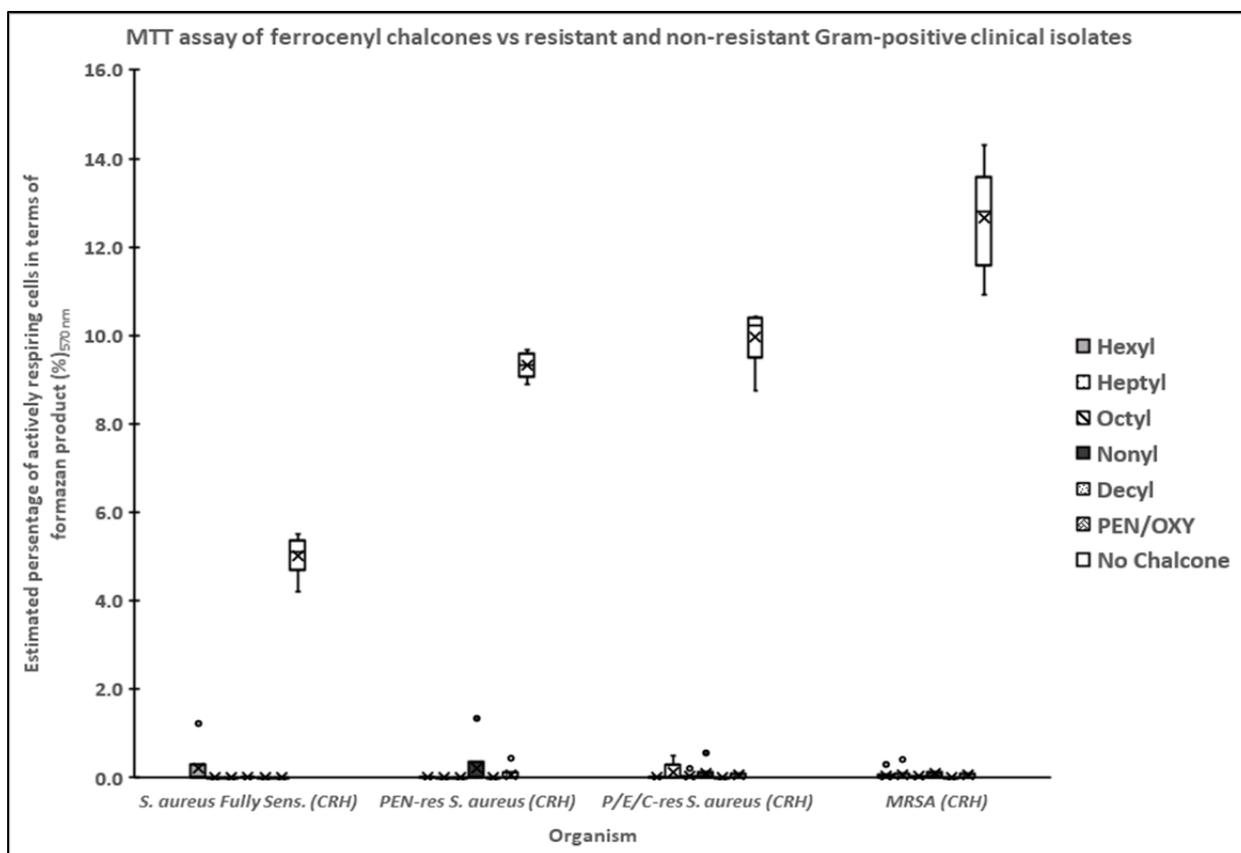


Figure 5 - Estimated percentage of actively respiring resistant and non-resistant clinically isolated bacterial cells when treated with ferrocenyl chalcone at MIC. Box plots represent the lower and upper quartiles with the medians shown as black lines. Whiskers represent the minimum and maximum percentages and each X represents the mean values.

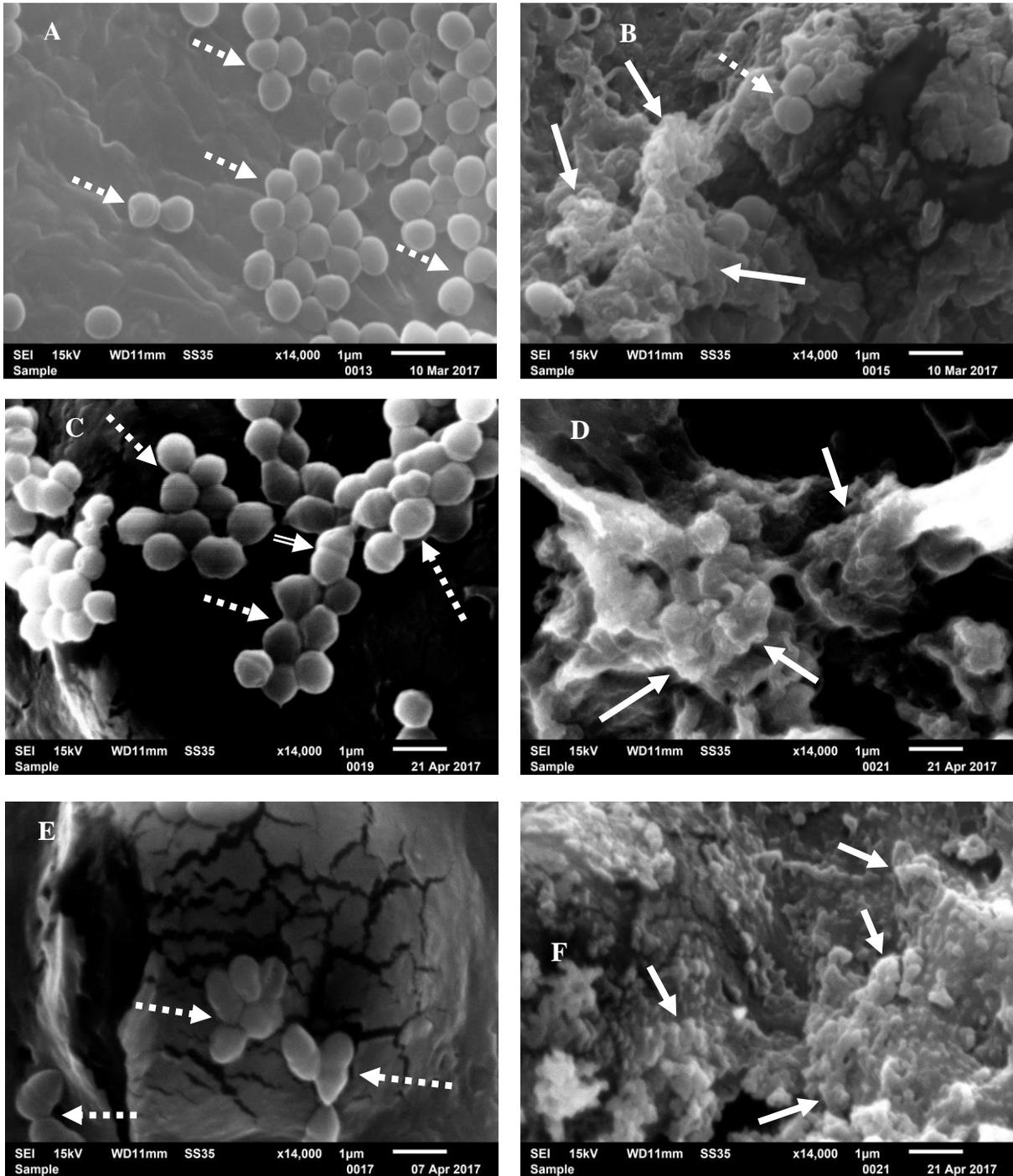


Figure 6 – SEM images: A) untreated *S. aureus* NCIMB 8244 (dotted arrows indicate some of the cells with spherical appearance); B) treated *S. aureus* NCIMB 8244 (dotted arrow indicates an unaffected cell and solid arrows indicate some of the fully lysed cells at MIC 0.031 mg/ml); C) untreated *K. kristinae* NCIMB 8244 (dotted arrows indicate some of the cells with spherical appearance and double-lined arrow indicates a dividing cell); D) treated *K. kristinae* NCIMB 8884 (solid arrows indicate some of the fully lysed cells at MIC 0.016 mg/ml); E) untreated *E. faecalis* NCTC 12697 (dotted arrows indicate some of the cells with normal appearance); F) treated *E. faecalis* NCTC 12697 (solid arrows indicate some of the severely damaged cells at MIC 0.063 mg/ml).