



1 *Review*

2 **Functions of Cytochrome c Oxidase Assembly Factors**

3 **Shane A. Watson and Gavin P. McStay ***

4 Department of Biological Sciences, Staffordshire University, Science Centre, Leek Road, Stoke-on-Trent, ST4
5 2DF, United Kingdom; w032424h@student.staffs.ac.uk

6 * Correspondence: gavin.mcstay@staffs.ac.uk; Tel.: +44-01782-295741

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8
9 **Abstract:** Cytochrome *c* oxidase is the terminal complex of eukaryotic oxidative phosphorylation
10 in mitochondria. This process couples the reduction of electron carriers during metabolism to
11 reduction of molecular oxygen to water and translocation of protons from the internal mitochondrial
12 matrix to the inter-membrane space. The electrochemical gradient formed is used to generate
13 chemical energy in the form of adenosine triphosphate to power vital cellular processes.
14 Cytochrome *c* oxidase and most oxidative phosphorylation complexes are the product of the nuclear
15 and mitochondrial genomes. This poses a **series of** topological and temporal steps that must be
16 completed to ensure efficient assembly of the functional enzyme. Many assembly factors have
17 evolved to perform these steps for insertion of protein into the inner mitochondrial membrane,
18 maturation of the polypeptide, incorporation of co-factors and prosthetic groups and to regulate this
19 process. Much of the information about each of these assembly factors has been gleaned from use of
20 the single cell eukaryote *Saccharomyces cerevisiae* and also mutations responsible for human disease.
21 This review will focus on the assembly factors of cytochrome *c* oxidase to highlight some of the
22 outstanding questions in the assembly of this vital enzyme complex.

23 **Keywords:** mitochondria; cytochrome *c* oxidase; electron transport chain; oxidative
24 phosphorylation
25

26 **1. Introduction**

27 Energy generation is a fundamental process that supports all forms of life on earth. The
28 universal energy currency in life is adenosine triphosphate (ATP) which captures energy from
29 bioenergetic and metabolic processes powered by substrate-level and oxidative phosphorylation. In
30 eukaryotes a major portion of ATP is generated through mitochondria, a double-membrane
31 organelle derived from a symbiotic relationship at the origin of multicellular life [1]. Mitochondria
32 harbour a series of multi-subunit complexes that perform electron transfer and proton translocation
33 from the internal mitochondrial matrix space to the inter-membrane space (IMS) through the inner
34 mitochondrial (IMM). This generates an electrochemical gradient across the IMM where proton
35 concentration is higher in the IMS than the matrix. The accumulated protons can re-enter the matrix
36 through ATP synthase - a **proton transporter** that couples the proton gradient to the synthesis of
37 ATP. The electron transport complexes are an ordered series of multi-subunit complexes that accept
38 electrons from carriers such as nicotinamide adenine dinucleotide (NAD⁺/NADH) and flavin
39 adenine dinucleotide (FAD/FADH₂) produced through metabolic pathways such as glycolysis, citric

40 acid cycle and β -oxidation. NADH is oxidised by NADH dehydrogenase enzymes, such as
41 **multi-subunit** Complex I in **many** eukaryotes or **single subunit** Ndi1p in *Saccharomyces cerevisiae*. **The**
42 first step in Complex I sees the electrons **passing** through a series of co-factors and prosthetic groups
43 such as iron-sulphur (Fe-S) clusters and flavin mononucleotide (FMN). The electrons are passed to
44 the membrane soluble lipid based redox carrier co-enzyme Q (ubiquinone/ubiquinol). **In addition,**
45 FAD/FADH₂ is reduced by succinate dehydrogenase of the citric acid cycle which also acts as
46 complex II of the **electron transport chain** (ETC). Complex II contains Fe-S clusters and haem
47 prosthetic groups to again pass electrons to Co-enzyme Q. Reduced Co-enzyme Q (ubiquinol) is
48 oxidised by complex III (co-enzyme Q:cytochrome *c* oxidoreductase) using Fe-S and haem groups to
49 reduce cytochrome *c*, the next mobile electron carrier in the ETC. Cytochrome *c* localises to the IMS
50 face of the IMM to shuttle electrons from complex III **to** complex IV using a haem prosthetic group.
51 Complex IV (cytochrome *c* oxidase) is the final complex of the ETC catalysing the reduction of
52 molecular oxygen, the terminal electron acceptor to form water via copper and haem groups. The
53 process of electron transfer is coupled to proton translocation from the matrix to the IMS and is
54 mediated by complexes I, III and IV to generate the electrochemical gradient.

55
56 The ETC complexes are multi-subunit assemblies with protein subunits derived from both
57 nuclear and mitochondrial gene products. To ensure efficient assembly of complexes co-ordinated
58 post-translational processing and protein-protein interactions are required. This is accomplished by
59 a step-wise biogenesis pathway that requires the function of specific chaperones. As oxidative
60 phosphorylation (OXPHOS) is an evolutionarily conserved process in all eukaryotes much
61 understanding of the assembly process can be gleaned from the study from all eukaryotic organisms
62 where mitochondria exist. This is true for single cell eukaryotes such as the budding yeast
63 *Saccharomyces cerevisiae* and photosynthetic alga *Chlamydomonas reinhardtii* to multicellular higher
64 eukaryotes such as human and mouse. The combination of genetic manipulation of eukaryotic
65 model organisms and naturally occurring mutations in human disease has provided **much**
66 information we have at the moment about how assembly of the ETC occurs. The molecular details of
67 the most studied mammalian organisms and yeast OXPHOS complex structure and assembly have
68 very many similarities but also some differences. These differences are in the number of structural
69 subunits forming complexes as well as the post-translational processing required for correct
70 assembly. Also, multicellular eukaryotes express tissue specific subunits that provide unique
71 functions to the complexes. Budding yeast has been a powerful model system to understand
72 assembly of OXPHOS complexes as they are facultative anaerobes, meaning they can sustain energy
73 requirements in the absence of functional OXPHOS if grown on a carbon source, like glucose, that
74 does not require the functions of OXPHOS. When grown on carbon sources such as ethanol,
75 glycerol and lactate, that require functional OXPHOS, any defects in assembly or function of the ETC
76 or OXPHOS results in a lack of growth. This has enabled a large number of genes to be identified
77 that are responsible for ETC and OXPHOS assembly and function through powerful genetic and
78 phenotypic analysis [2]. Recently, more homologues have been identified in higher eukaryotes
79 demonstrating the conservation of the assembly of the complex as well as the enzymatic function
80 [3].

82 **2. Cytochrome *c* oxidase structure and function**

83 In order to understand the assembly pathway of multi-subunit complexes it is important to put
84 this in the context of the fully assembled and functional complex. Each subunit has a defined three
85 dimensional organisation and interacts with certain subunits, both required for full function.
86 Mammalian and yeast cytochrome *c* oxidase (COX) are composed of 3 subunits derived from
87 mitochondrial DNA and between 8 and 11 subunits derived from nuclear genes. The mitochondrial
88 encoded subunits Cox1p and Cox2p contain prosthetic groups and co-factors required for electron
89 transfer including haem groups in Cox1p and copper ions in Cox1p and Cox2p. These two subunits
90 are also responsible for the translocation of protons from the matrix to the IMS through hydrophilic
91 channels. The post-translational associations of prosthetic groups and co-factors as well as
92 proteolytic processing and membrane insertion are accomplished by a number of chaperones, some
93 specific to COX and others shared with other OXPHOS complexes. All of these chaperones are
94 encoded by the nuclear genome and for the most part functional homologs are conserved from
95 budding yeast to higher mammals with some exceptions. The functions of many of these
96 chaperones have been determined and are conserved however there are still some genes without
97 assigned functions or functional homologs [4]. Determination of gene function and functional
98 homologs will allow for a more complete understanding of the process of COX biogenesis.

99
100 Defects in OXPHOS are responsible for an array of genetic disorders that impact on tissues and
101 organs with high metabolic demands or dependency on mitochondrial metabolic pathways.
102 Specifically for COX there are a number of mutations in assembly factor and structural genes that
103 cause forms of Leigh Syndrome, mitochondrial Complex IV deficiency and rare syndromes that
104 result in neurological disorders along with impacts on the heart [5], liver [6], kidney [7] and
105 digestive system [8]. The neurological disorders differ in their onset severity depending on the
106 nature of the mutation. For example, encephalopathies display clinical features due to specific
107 mutations in all OXPHOS complexes as well as other mitochondrial genes involved in mitochondrial
108 DNA maintenance, e.g., DNA polymerase γ [9]. Variation in severity is also observed by certain
109 combinations of clinical features, for example, encephalopathies presenting with tubulopathy and
110 hepatopathy, caused by mutations in the Complex III gene BCS1L [10]. Specific mutations associated
111 with these diseases are individually rare, but when combined with other diseases that cause defects
112 in OXPHOS occur at approximately 1 in 5,000 births, representing one of the highest incidences of
113 inherited metabolic diseases affecting humans. Through recent advances in diagnosis of
114 mitochondrial disease and investigation of the molecular basis of the disease insights into the
115 functions of assembly factors is improving [11].

116
117 In humans and other higher mammals, most assembly factors and structural subunits have an
118 orthologue in budding yeast. The human COX enzyme is composed of 14 subunits, which is 3 more
119 than budding yeast. As with most of the nuclear encoded structural subunits these are single
120 trans-membrane spanning polypeptides that surround the core catalytic subunits and do not
121 interfere with conserved interfaces and interactions [12]. Recently other subunits have been
122 identified as associated with purified COX including the hypoxia inducible gene Rcf1p/HIGD2A
123 which acts as a link between Complex III to support supercomplex formation especially under
124 anaerobic conditions when Cox5bp is expressed [13,14]. The structure of mammalian COX has been
125 known since the 1980s and recently the 14th subunit was identified. NDUFA4 was originally

126 attributed to Complex I, but biochemical and genetic studies revealed deficiencies in COX activity
127 and an equal stoichiometry with other COX structural subunits without impact on Complex I
128 activity [15–17]. NDUFA4 has been identified in purified COX containing supercomplex structures
129 determined by X-ray crystallography at the interface of COX dimers [18]. This is further supported
130 by the presence of a homologue in budding yeast, MIN8/YPR010C-A, identified through proteomics
131 [19]. *S. cerevisiae* do not express a multi-subunit Complex I NADH dehydrogenase, fully supporting
132 another role for this gene. It is annotated as a mitochondrial gene of unknown function. Further
133 work is required to understand the function of this gene as this has not been identified as a gene
134 required for respiratory deficiency in previous studies. The function of these extra subunits is subject
135 to speculation. *S. cerevisiae* COX can retain function in the absence of the Cox8p and Cox13p subunits
136 indicating that not all structural subunits are required for activity [20]. COX8A is the human
137 homologue of yeast Cox8p, and is the smallest subunit of human COX. In contrast to *S. cerevisiae*, a
138 mutation in this subunit is responsible for neurological disorder due to loss of COX function [21]. *S.*
139 *cerevisiae* COX13 has two homologues in humans - COX6A1 and COX6A2 - tissue specific isoforms
140 in the liver and striated muscle respectively. Mutations in the isoform COX6A1 have been shown to
141 be the cause of Charcot-Marie tooth disease, a neuropathological disorder [22,23]. Mutations in the
142 second isoform COX6A2 can lead to muscle specific Complex IV deficiency [24]. These discrepancies
143 indicate differences in the essential nature of these peripheral subunits. The 3 human specific
144 subunits in human COX are COX6C, COX7C and NDUFA4. Mutations in NDUFA4 are associated
145 with Leigh Disease [25], while mutations in the two other subunits COX6C and COX7C have not
146 been associated with disease. Even though there are differences between the phenotypes of yeast
147 and humans, this should be taken with some caution as some homologous subunits do not have the
148 same common origin [26].

149

150 3. Cytochrome *c* oxidase assembly factors

151 Biogenesis of multi-subunit complexes requires specific maturation steps for subunits to
152 ensure correct localisation and topology, post-translational modifications and interactions with
153 other subunits. These biogenesis pathways also require quality control points to verify each
154 step has been correctly performed but also to determine whether downstream steps can occur.
155 Assembly factors for COX can be broadly grouped by function including transcription and
156 translational regulation, membrane insertion, proteolysis, co-factor and prosthetic association
157 or as yet undefined function. The process of COX assembly ensures appropriate association of
158 correctly matured subunits with incorporated co-factors and prosthetic groups when in
159 adequate abundance. This prevents accumulation of mid-assembly intermediates that can cause
160 potentially detrimental effects due to excess reactive oxygen species (ROS) or overloading of
161 protein quality control systems. Due to the number of subunits that need to associate in the
162 IMM and the number of maturation steps required there are many assembly factors to ensure
163 each of these steps are performed correctly. The assembly pathway still needs further
164 elucidation to assign functions to specific assembly factors and how certain assembly
165 intermediates interact but general functions are beginning to be understood (Table 1).

166 **Table 1.** Assembly factors required for maturation of *Saccharomyces cerevisiae* and human COX.
167 The name of the gene represents it either being conserved in both organisms, only found in budding yeast
168 (gene name/_) or only found in human (_/gene name).

Mitochondrial subunit	Transcription/ mRNA processing	Translation	Proteolytic processing/ protease	Membrane insertion	Copper association	Haem association	Chaperone	Unknown
COX1	Cox24	Mss51p/ _TACO1	Oma1	Oxa1 Mba1/_	Cox11 Cox17 Cox19 Cox23 Cmc1 Cmc2	Coa2 Cox10 Cox15 Shy1/SURF1	Coa1/MITRAC15 Coa3/MITRAC12 Cox14 Mdj1 Ssc1 _MITRAC7	
COX2	-	Pet111	Cox20 Imp1 Imp2 Som1	Oxa1 Cox18 Pnt1 Mss2	Cox16 Cox17 Cox19 Cox23 Coa6 Cmc1 Cmc2 Sco1 Sco2 _TMEM177	-	Cox20	
COX3	-	Pet54 Pet122 Pet494		-	-	-	Rcf1p/HIGD2A	
more genes	LRPPRC	-		-	-	-	-	
Unknown								_CEP89 _COA7 _COA8 Pet191/Coa5

169

170

171 A step-wise assembly pathway of the Cox1p, Cox2p and Cox3p assembly modules in budding
172 yeast has been determined. Several assembly intermediates and the kinetic relationship of Cox1,
173 Cox2 and Cox3 were identified using metabolic labeling to follow newly synthesized mitochondrial
174 gene products [2–6].

175 Cox1p is a 12 trans-membrane domain integral membrane protein localised to the IMM. COX1
176 mRNA is translated by ribosomes that are associated with the membrane insertase Oxa1p with the
177 assistance of Mba1p. Oxa1p is the insertase responsible for the insertion of Cox2p and Cox3p into the
178 IMM also, as well as subunits for other OXPHOS complexes. Cox1p amino and carboxy termini are
179 both located in the IMS indicating a co-translational insertion process. The control of Cox1p IMM
180 insertion has not been studied specifically but trans-membrane domains are typically comprised of
181 aliphatic and aromatic amino acids. Cox1p can be inserted into the IMM in a temperature sensitive
182 OXA1 mutant - indicating an alternative or less efficient insertion process may exist [7]. Cox3p is
183 also inserted via an Oxa1p dependent mechanism, and much like Cox1p can also be inserted when
184 OXA1 function is lost. Cox2p insertion is entirely dependent on Oxa1p as well as the associated
185 proteins Pnt1p, Mss2p and Cox18p [8,9]. Cox2p also requires processing through a Cox20p
186 chaperone dependent mechanism involving the inner membrane peptidase complex (composed of
187 Imp1/2p and Som1p) which removes the amino-terminal 15 amino acids after insertion of the
188 amino-terminus [10]. Once inserted into the membrane these core subunits must associate with
189 assembly factors and structural subunits to form assembly modules, incorporate co-factors and
prothetic groups, that then associate to form the assembled and functional COX enzyme. This

190 process is far from understood but in budding yeast has been interrogated using pulse-chase
191 labelling and pull-down assays of these assembly factors and structural subunits. This has provided
192 a kinetic pathways of assembly intermediate formation where specific assembly factors and
193 structural subunits associate with each of the core mitochondrial subunits.

194

195 **3.1 Cytochrome c oxidase subunit 1 assembly**

196 A step-wise assembly pathway of the Cox1p, Cox2p and Cox3p assembly modules in budding yeast
197 where the order of chaperone and structural subunit association with the mitochondrial gene
198 products was determined. Several assembly intermediates and the kinetic relationship of Cox1,
199 Cox2 and Cox3 were identified using metabolic labeling and **affinity purification** to follow newly
200 synthesized mitochondrial gene products [20,27–30].

201

202 Cox1p is a 12 trans-membrane domain integral membrane protein localised to the IMM. COX1
203 mRNA is translated by ribosomes that are associated with the membrane insertase Oxa1p **that is also**
204 **responsible for the insertion of Cox2p and Cox3p and other OXPHOS complexes in the IMM** [31–34].
205 Cox1p amino and carboxy termini are both located in the IMS indicating a co-translational insertion
206 process. The control of Cox1p IMM insertion has not been studied specifically but trans-membrane
207 domains are typically comprised of **hydrophobic** aliphatic and aromatic amino acids. Cox1p can be
208 inserted into the IMM in a temperature sensitive OXA1 mutant - indicating an alternative or less
209 efficient insertion process may exist [33]. Cox3p is also inserted via an Oxa1p dependent mechanism,
210 and much like Cox1p can also be inserted when OXA1 function is lost. Cox2p insertion is entirely
211 dependent on Oxa1p as well as the associated proteins Pnt1p, Mba1p, Mss2p and Cox18p [35–37].
212 Cox2p also requires processing through a Cox20p chaperone dependent mechanism involving the
213 inner membrane peptidase complex (composed of Imp1/2p and Som1p) which removes the
214 amino-terminal 15 amino acids after insertion of the amino-terminus [38]. Once inserted into the
215 membrane these core subunits must associate with assembly factors and structural subunits to form
216 assembly modules, incorporate co-factors and prosthetic groups, that then associate to form the
217 assembled and functional COX enzyme.

218

219 **The mammalian process of COX core subunit maturation tends to follow a similar process to**
220 **that of yeast - but with some subtle differences. Mammalian Oxa1l has been shown to have slightly**
221 **different activity towards mitochondrial encoded COX core subunits. Early experiments using RNA**
222 **interference of Oxa1l in HEK293T cells reduced expression of ATP synthase and Complex I subunits**
223 **with minimal impact on COX subunit expression or activity** [32]. More recently, a patient with a
224 **single point mutation in OXA1L displayed defects in ATP synthase and COX expression and**
225 **function that was also observed in *Drosophila melanogaster* and human osteosarcoma U2OS cells,**
226 **perhaps indicating tissue specific differences for protein insertion in the IMM. OXA1L was also**
227 **found to associate with Cox1, Cox2, and Cox3 using proteomic analysis of OXA1L**
228 **immunoprecipitates** [39]. Human Oxa1l was originally identified as it could functionally
229 **complement the deficiency of an OXA1 null budding yeast strain indicating a conservation of**
230 **function. However, this function may depend on the amino acid sequence or assembly process of**
231 **yeast subunits that may differ from human counterparts** [40].

232

233 Once inserted into the IMM human Cox1 follows a similar assembly pathway described above
234 as in *S. cerevisiae*. Cox1 assembles into the MITRAC complex, as assembly intermediate composed of
235 homologues to *S. cerevisiae* assembly factors. This complex was initially characterised by isolation of
236 MITRAC12 (COA3) to identify associated proteins which included Cox1, other COX subunits, Cox14
237 Cox15, Cox16, SURF1, MITRAC15 (Coa1), CMC1 and TIM21 [41]. TIM21 is a component of the
238 TIM23 IMM translocase complex and may provide a control link between COX biogenesis and
239 polypeptides imported from the cytosol. Further characterisation of the MITRAC complex identified
240 a metazoan specific assembly factor - MITRAC7 - that associated after structural subunits COX4i and
241 COX6C. MITRAC7 abundance provided a control point for Cox1 incorporation into fully assembled
242 COX. Decreased levels of MITRAC7 lead to the degradation of Cox1, while increased amounts
243 resulted in sequestration of Cox1 and inhibition of COX formation [42]. The complexity of these
244 assembly processes is demonstrated by cross-talk of assembly factors in more than one assembly
245 pathway, for example, MITRAC15 has been shown to regulate translation of the Complex I subunit
246 ND2 [42]

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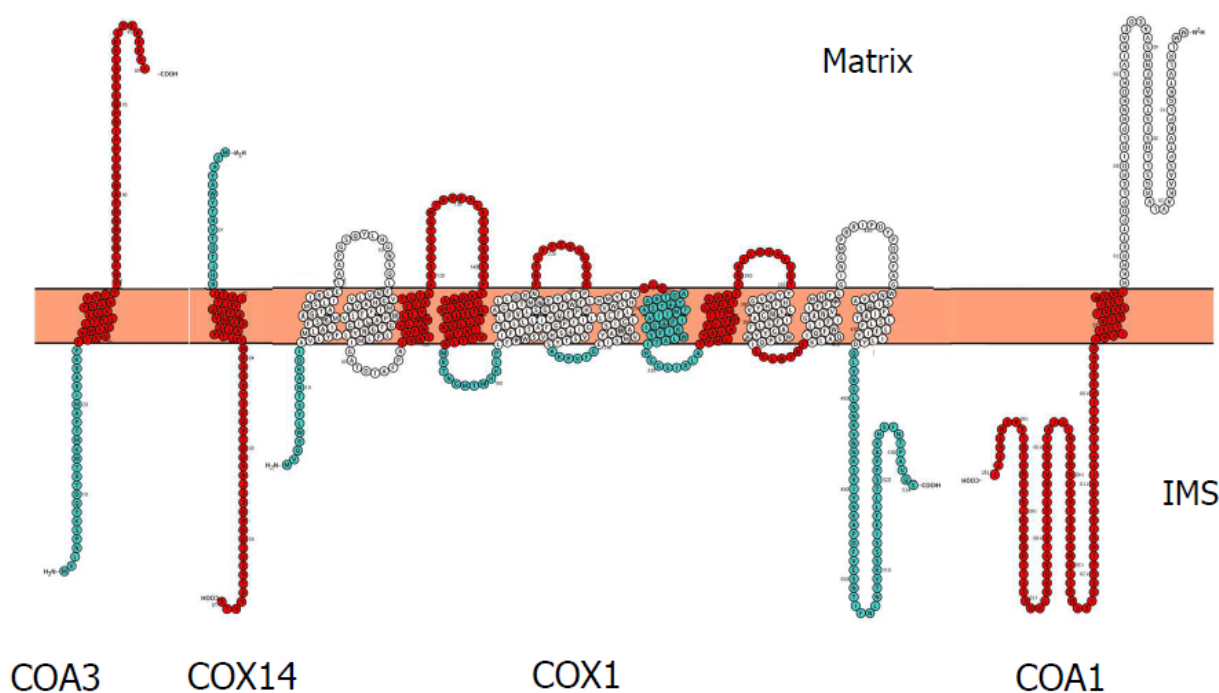
248 3.1 Cytochrome *c* oxidase subunit 1 assembly

249 As the largest subunit of COX, Cox1p undergoes the most maturation steps and is also subject
250 to various quality control checks as the mRNA is translated into the polypeptide that is inserted into
251 the IMM. The Cox1p polypeptide contains amino acid sequences that vary in hydrophobicity, with
252 those more hydrophobic residing in the IMM and connected to each other by hydrophilic stretches
253 of amino acids. As with all multi-span integral membrane proteins some of the hydrophilic stretches
254 need to be translocated from the matrix to the IMS through the hydrophobic IMM phospholipid
255 bilayer which uses specific membrane localised translocation complexes. The first assembly factors
256 that Cox1p initially associates with are Cox14p, Coa3p, and Coa1p [28,43]. These are small proteins
257 with a single trans-membrane spanning region with one of two membrane topologies. Biochemical
258 fractionation and protease resistance determined that the amino termini of Cox14p and Coa1p are
259 localized in the matrix and the carboxy-terminus is in the IMS while the amino-terminus of Coa3p is
260 localized to the IMS and the carboxy-terminus is localized in the matrix [43–46]. These proteins all
261 harbour canonical amino-terminal mitochondrial targeting sequences with a basic isoelectric point.
262 Coa3p has an unexpected topology where the basic amino-terminus is exposed to the IMS [43] -
263 perhaps indicating a more complex insertion process using matrix to IMS membrane insertion found
264 in mitochondrial encoded genes mediated by Oxa1p. The three regions of these proteins in the
265 matrix, IMM and IMS have different isoelectric points, perhaps indicating different functions (Table
266 2). Cox1p also has different regions that have different isoelectric points perhaps enabling
267 interactions governed by domain charge (Figure 1). Complementary charges may assist the insertion
268 of domains with a charge that may impact on efficiency of membrane insertion. Coa3p has a highly
269 basic trans-membrane domain that could neutralise acidic regions of Cox1p in trans-membrane
270 domains 3, 4 or 9 or IMS loop 5. Coa1p and Cox14p have acidic regions that could assist in
271 translocation across the IMM such as the amino-terminus or loops 2, 3, or 4 or the carboxy terminus
272 (Figure 1).

273 **Table 2.** Calculated theoretical isoelectric points of matrix and IMS localised domains of Cox14p,
 274 Coa1p, and Coa3p using “ExPASy compute pI/MW” tool. Red and light blue indicate pI of domain in
 275 >1 pH unit lower or higher than overall protein pI.

Protein	Matrix	IMS	Trans-membrane	Total
Cox14p	9.69 N	4.58 C	5.52	7.51
Coa1p	10.94 N	5.66 C	5.52	10.12
Coa3p	5.48 C	11.07 N	8.43	9.83

276 The interaction between these 3 assembly factors and Cox1p has not been resolved to
 277 understand whether all three associate simultaneously or whether there is an ordered association. It
 278 can be speculated that these assembly factors are involved in insertion of Cox1p trans-membrane
 279 domains into the IMM or chaperone these domains as they are released from Oxa1p into the IMM
 280 through complementary amino acid side chain interactions. In the absence of COX14, COA1 or
 281 COA3, Cox1p tends to form aggregates in the IMM with Cox2p, Var1p, Cob1p that are not
 282 incorporated into functional COX [47]. Further investigation of these interactions at the amino acid
 283 level by mutagenesis will lead to understanding of the Cox1p-assembly factor interactions. COX14
 284 was initially suggested to be a negative regulator of Cox1p translation as deletion allowed for
 285 expression/stabilisation of Cox1p when other assembly factors or structural subunits are absent [44].
 286 Cox14p is part of an assembly intermediate composed of Coa3p, Mss51p, and Ssc1p that acts as a
 287 regulator of Cox1p translation. Mss51p acts as a translational activator of COX1 mRNA. Mss51p
 288 remains associated with this complex if the downstream assembly process is interrupted by gene
 289 mutation or deletion or co-factor/prosthetic group absence. This ensures assembly is halted prior to
 290 association with other structural subunits that may result in defective and potentially harmful
 291 intermediates [43]. As an added layer of regulation, Mss51p also requires direct binding to haem to
 292 activate Cox1p translation [48]. This complex regulatory mechanism ensures sufficient abundance of
 293 prosthetic groups and assembly factors and structural subunits for complete COX assembly.



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Figure 1. Representation of the topologies and isoelectric point of Cox1p, Cox14p, Coa1p, and Coa3p. Domains coloured red and blue are >1 pH unit lower or higher than pI of the entire protein.

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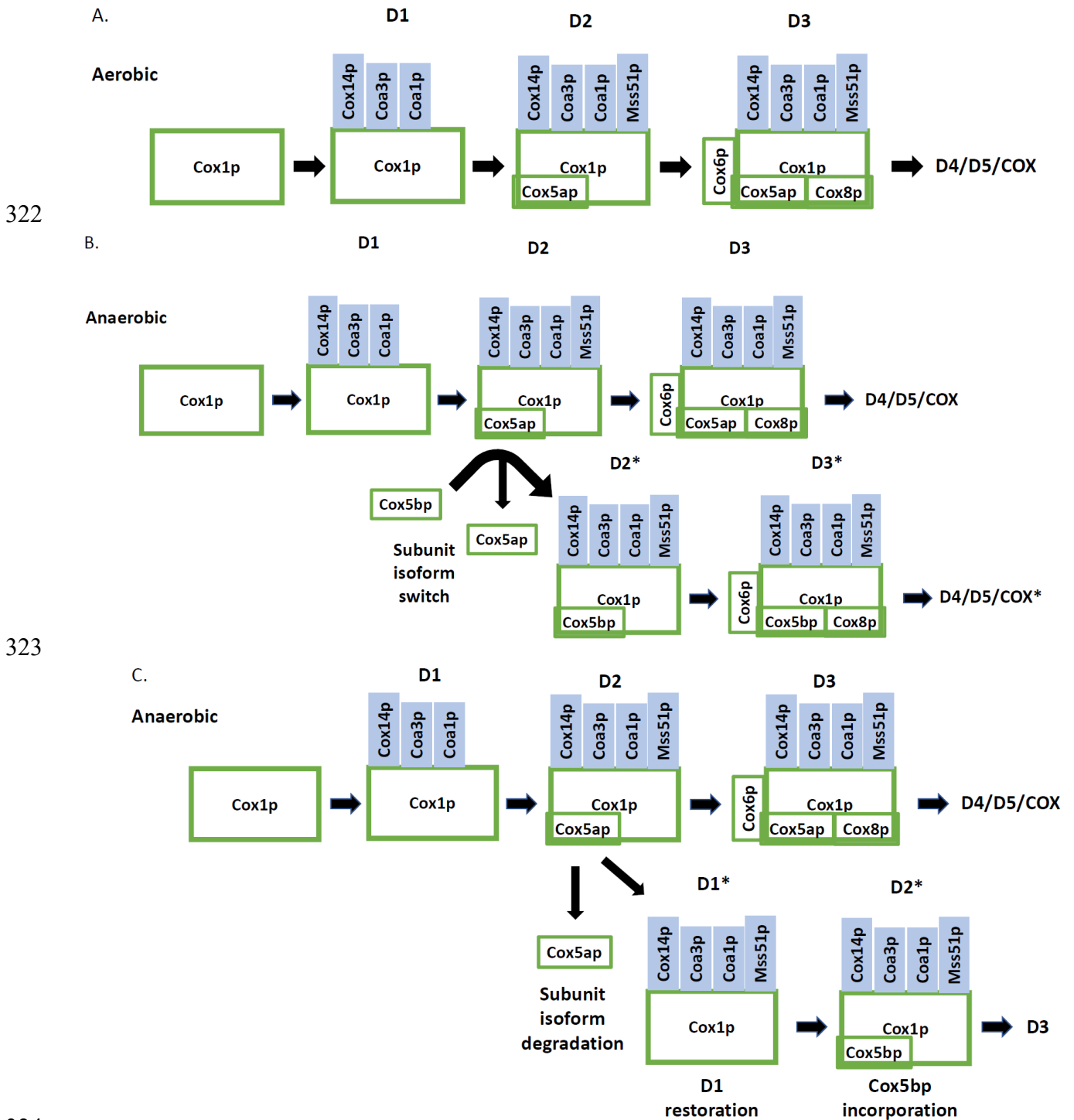
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When Mss51p associates with the early Cox1p assembly intermediate the first structural subunit associates - in this case Cox5ap or Cox5bp [20]. The COX5A and COX5B isoforms are reciprocally expressed in aerobic and anaerobic conditions. The human orthologues of COX5A/B are COX4i/ii which are expressed in a similar way. The proposed reason for these isoforms is to allow for efficient transfer under different oxygen concentrations. Under low oxygen conditions in human cells there is an increase in ROS and the different isoforms allow for efficient transfer under these conditions [49]. Under a transition from aerobic to anaerobic conditions the mechanisms of the transition from one isoform to another differs in budding yeast and human. In humans the conserved mitochondrial protease Lon is responsible for degradation of the subunit to be removed, while expression of the other subunit is increased by enhanced transcription [49]. Human airways and placenta express COX4ii preferentially over COX4i indicating a specialised requirement in these tissues for COX activity. Expression in these tissues is due to the transcription factors CHCHD2, CXXC5 and RBPJ [50]. CHCHD2 and CXXC5 are not well characterised proteins, but RBPJ is a crucial transcriptional mediator in the Notch signalling pathway [51]. In budding yeast, COX5A/B are reciprocally expressed by oxygen concentration. Haem synthesis occurs only in aerobic conditions which activates the Hap2/3/4/5p transcriptional complex to express COX5A. Haem also activates Hap1p which activates the transcription repressor Rox1p - this results in repression of COX5B [52]. The kinetic pathway occurring to exchange subunits most likely depends on biogenesis of new COX rather than exchange of subunits, however this has not been demonstrated experimentally. With Cox5 isoforms being the first structural subunits to associate with assembling Cox1p proposes the notion that this complex could enable subunit isoform exchange or subunit degradation followed by association of the other subunit (Figure 2). Regulation of COX activity in humans and budding yeast also involves the hypoxia regulated genes RCF and human homologues

320 HIGD1A/HIGD2A that regulate COX assembly and incorporation of hypoxia sensitive subunits
 321 along with the formation of budding yeast and human supercomplexes [14].



324
 325 **Figure 2.** Hypothetical mechanisms for Cox5a/bp incorporation into COX during transition from aerobic to
 326 anaerobic growth conditions. A. Cox1p assembly under aerobic conditions. B. Subunit Cox5a/bp switch using a
 327 hypothetical subunit isoform switch mechanism. C. Hypothetical subunit degradation mechanism where
 328 Cox5ap is degraded to reform the earlier assembly intermediate which can then accept newly expressed
 329 Cox5bp. D1, D2, D3, D4 and D5 represent discrete Cox1p containing assembly intermediates. The asterisks (*)
 330 represent hypothetical assembly intermediates with altered subunits from the earlier assembly intermediate not
 331 marked with the asterisk.

332

333 The remaining assembly factor associating with Cox1p is Shy1p. This is a highly conserved
334 gene found in prokaryotes and higher eukaryotic multicellular organisms demonstrating a
335 fundamental process in the assembly process. Evidence supports Shy1 involvement in the
336 incorporation of haem into the Cox1p assembly module along with Coa2p [46,53]. Shy1p interacts
337 with the haem A synthase Cox15p supporting the role in haem A incorporation [54]. Shy1p exists in
338 a Cox1p intermediate containing the structural subunits Cox6p and Cox8p [20]. **A specific group on**
339 **COX specific haem handling proteins are required for synthesis and modification of haem for**
340 **incorporation into Cox1p. Cox10p farnesylates haem B to form haem and Cox15p modifies haem O**
341 **to form haem A [55,56]. Pet117p is responsible for stabilising Cox15p in a functional oligomeric form**
342 **[57].** The assembly pathway also involves the general mitochondrial chaperone Ssc1p and
343 co-chaperone Mdj1p that may integrate the Cox1p specific assembly pathway to the general protein
344 folding environment of the mitochondria [43,46].

345

346 Copper incorporation into Cox1p requires the function of a series of chaperones. Copper is
347 delivered by Cox11p, an IMM localised protein that associates with the mitochondrial ribosome.
348 Free cellular copper is eventually bound by Cox17p involving the homologues Cox19p and Cox23p
349 in the IMM and IMS which transfers bound copper to Cox11p, which is then thought to add copper
350 to Cox1p to form the copper B centre [58–60]. When COX11 or COX17 are absent COX can not
351 assemble as the action of the Mss51p-Cox1p complex stalls COX1 translation causing a decrease in
352 Cox1p abundance. Similar to when other genes are deleted if COX14 is also deleted Cox1p
353 translation is restored, however, this does not restore full COX assembly [44]. This highlights the
354 important step of control of COX biogenesis at the point of Cox1p translation. The Cox17p
355 homologue, Cox19p is proposed to protect Cox11p from oxidation and retain copper binding
356 capability at redox sensitive cysteine residues [61]. In regards to the other Cox17p homologue,
357 Cox23p absence can be suppressed by a specific mutation I101F mutation in Cox1p. This residue is
358 located in the third trans-membrane domain, however the function of the protein is unknown.
359 Other copper chaperones are involved in Cox1 biogenesis such as Cmc1p and Cmc2p. These two
360 proteins are required for COX assembly and function together to incorporate copper into COX,
361 however, the exact role is not understood. Cmc1p and Cmc2p are conserved in higher mammals and
362 result in deficiency and are also involved in correct copper incorporation into the copper-requiring
363 enzyme superoxide dismutase-1 [62,63]. Human CMC1 is observed as part of early Cox1
364 intermediates containing Cox14 and Coa3. A metazoan specific assembly factor, MITRAC7,
365 associates with the MITRAC complex, composed of human orthologues of Coa1/MITRAC12,
366 Coa3/MITRAC5 and Cox14, along with structural subunits COX4i (yeast Cox5ap orthologue) and
367 COX6C (yeast Cox9p orthologue) indicating function as a late stage **Cox1** assembly factor [42].

368

369 Currently the exact intermediates that incorporate haem or copper into the binuclear centre of
370 Cox1p are not known. This has not been directly observed in purified intermediates which are low in
371 abundance. There is also still a lack of resolution of the whole assembly process of Cox1p and
372 whether proteins simultaneously associate or whether there are discrete steps that have not been
373 resolved by current experimental techniques.

374

375 3.2 Cytochrome *c* oxidase subunit 2 assembly

376 Cox2p is a core COX subunit encoded by mitochondrial DNA with two trans-membrane
377 spanning domains with a copper A site required for electron transfer. Cox2p requires a number of
378 accessory membrane insertion proteins for correct topology in the IMM described above where both
379 the amino and carboxy termini are located in the IMS. In humans, Cox20, the Cox2p membrane
380 insertion chaperone, associates with TMEM177, an IMM protein with homologues in vertebrates
381 [64]. Upon insertion into the IMM the copper co-factor is incorporated. There are several copper
382 chaperones involved in this process including those involved in copper association in Cox1p.
383 Copper is directed to Cox2p specific copper chaperones using Cox17p, a shared copper shuttle
384 protein with Cox1p. Cox17p delivers copper to Sco1p, a specific Cox2p copper chaperone. Sco1p is
385 an IMM localised protein that interacts directly with Cox17p and Cox2p, presumably delivering
386 copper from Cox17p to Cox2p [65]. Sco1p has a close relative, Sco2p, that also contains the highly
387 conserved copper binding domain found in many organisms that express COX [66]. Recently this
388 process of Cox2p copper incorporation has been further characterised in human cell culture models.
389 All of the components of this particular process are conserved and function in similar steps. More
390 recently, COX16 investigations point to a function in copper delivery to Cox2 [67,68] but is also
391 identified in Cox1 containing complexes in budding yeast and humans potentially demonstrating a
392 role for association between the assembly modules of Cox1 and Cox2 [30,68]. COA6 has been
393 recently identified as a thiol-reductase for copper metallochaperones, especially for Sco1 and Sco2, to
394 reduce disulphide bridges allowing required cysteine residues to remain reduced and interact with
395 copper [69]. The assembly factors Pet100, Pet117 and MR-1S are thought to associate once the Cox1
396 and Cox2 module form an assembly intermediate. MR-1S is a vertebrate specific protein [70]. MR-1S
397 is a short isoform of the myofibrillogenesis regulator (MR-1) that has roles in cellular proliferation
398 [71].

399 3.3 Cytochrome *c* oxidase subunit 3 assembly

400 Cox3 is a conserved mitochondrially encoded subunit with seven trans-membrane domains,
401 but does not contain any co-factor or prosthetic group. In budding yeast no assembly factors have
402 been identified in the biogenesis of the Cox3 assembly module, only structural subunits that interact
403 in the final assembled structure, Cox4p, Cox7p and Cox13p, along with Rcf1 which stabilises
404 associations with complex III for supercomplex formation and regulate activity during different
405 metabolic states [13,27]. This perhaps indicates that there is a co-ordination of assembly of complex
406 III and IV to ensure a stoichiometric production of ETC complexes ensuring efficiency of electron
407 transfer and minimising electron leak. Rcf1p and its homologue Rcf2p have been shown to regulate
408 COX activity and associate with COX supercomplexes under respiratory growth conditions [72]. The
409 lack of specific assembly factors seen in *S. cerevisiae* potentially means the structural subunits act as
410 chaperones for Cox3 insertion in the membrane and remain as part of the assembled active enzyme
411 COX. In humans the role of HIGD2A as an assembly factor of the Cox3 module has been proposed.
412 HIG2DA associates with Cox3 and other structural subunits in assembly intermediates and is also
413 found in COX containing supercomplexes [14]. In addition, HIGD2A depletion results in decreased
414 stabilisation of Cox3 and depletion from mitochondrial supercomplexes composed of Complex I, III

415 and IV [73]. HIGD1A, a paralogue of HIGD2A, appears to have more of a role in the assembly of
416 COX and Complex III supercomplexes but has been found to associate with COX4i and COX5A [14]
417

418 4. Mutations in cytochrome *c* oxidase assembly factors as cause of human disease

419 Understanding of COX assembly has also been captured from the study of patients suffering
420 from disease caused by mutations in these genes. Mutations in genes could result in the absence of
421 assembled COX but also mutation in critical residues for the activity of the enzyme. The inheritance
422 pattern of these diseases also contributes to the severity of the disease. This is why mitochondrial
423 diseases present as a heterogeneous spectrum of disorders that are difficult to diagnose and treat. A
424 number of mutations in assembly factors are the cause of these diseases [11]. The most common
425 cause of complex IV deficiency is through mutation of SURF1. There are at least 36 different
426 mutations in this gene that result in disease, these are located throughout the length of the gene and
427 result in missense and nonsense mutations. Some of these have been introduced into budding yeast
428 SHY1 at conserved sites and result in the accumulation of intermediates at different stages of Cox1p
429 module assembly. This indicates that Shy1p function can be sensed by the Mss51p checkpoint, but
430 has functions later in the assembly process [74]. The wide variety of disease causing SURF1
431 mutations, along with the evidence of a weak and spontaneously suppressible respiratory defect in
432 budding yeast, indicate that defects in SURF1 can be overcome by changes in a variety of other genes
433 in the Cox1 assembly pathway. A similar explanation may be behind the number of mutations
434 identified in the copper chaperones, COX10 and COX15 [75–77]. There are a number of homologues
435 in this pathway that if altered in expression could explain the disease. Also, as elevated copper can
436 suppress yeast mutations, alterations in cellular copper handling could explain how a mutation is
437 overcome to enable viability. Mutations in other genes are much less frequent and this is most likely
438 explained by a defect that can not be overcome by changes in gene expression. This also indicates
439 that during embryonic development there is an essential function lacking when a mutation in other
440 genes are present that can not be overcome and the embryo is not viable. **There are several examples**
441 **where genes harbouring mutations lead to COX deficiency but the role has not been fully**
442 **characterised. CEP89 was identified as the mutated gene in a patient with COX deficiency. This**
443 **mutation caused loss of COX activity and function [78]. The exact role of CEP89 in this process still**
444 **has to be determined. In contrast to *S. cerevisiae*, a point mutation in COX14 results in decreased**
445 **synthesis of COX1 with an expected loss of COX expression and activity potentially highlighting a**
446 **divergence in the assembly process [79]. Mutations in COA3, which functions at a similar point to**
447 **COX14, also result in a mitochondrial disease due to specific loss of COX expression [80]. Mutations**
448 **in COA5, the human homologue of Pet191p, results in infantile cardioencephalomyopathy, caused**
449 **by COX deficiency. Analysis of native complexes demonstrated a Cox1 containing assembly**
450 **intermediate that may represent MITRAC [81]. COA7 mutants result in COX deficiency causing**
451 **leukoencephalopathies and peripheral neuropathies [82,83] and this deficiency can be restored by**
452 **inhibiting cytosolic degradation of COA7 indicating these mutations delay COA7 import into**
453 **mitochondria which are still capable of contributing to COX assembly [84]. Mutations in PET100**
454 **also cause COX deficiency through a truncation and an import defect [85–87]. PET117 mutations**
455 **cause COX deficiency, most likely through its role in assisting haem synthesis coupling to**
456 **association into Cox1 [88]. In patients with COX10 mutations COX expression is decreased and Cox1**

457 exists in a sub-assembly that migrates similar to MITRAC [89,90]. COX15 mutations also show
458 similar deficiencies of COX however the assembly intermediates in these patients have not been
459 investigated [56,77,91–93]. Mutations in the copper handling proteins, SCO1 and SCO2, have also
460 been identified resulting in COX deficiency [94–99]. COA8, a less characterised protein identified as
461 having a role in programmed cell death, when mutated can also cause COX deficiency [100]
462

463 5. Summary

464 In summary, the assembly of COX is a conserved process that requires the essential function of
465 many assembly factors, somewhat unexpectedly more than the number of structural genes. This
466 indicates the complexity and importance of the process. Without a functional COX enzyme
467 mitochondria are not able to produce ATP which has devastating consequences in the context of
468 severely debilitating diseases that often lead to early death. Through further understanding of how
469 COX assembly occurs better points of diagnostic and therapeutic intervention will be developed to
470 improve the quality of life of patients suffering from these diseases, and families facing choices that
471 cause anxiety and distress.
472

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