

1      *“Selecting the right tool for the job” a narrative overview of experimental methods used to*  
2                    *measure or estimate active and passive drag in competitive swimming.*

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19     **Abstract**

20     Free-swimming performance depends strongly on the ability to minimise resistive drag. Therefore,  
21     estimating resistive drag (passive or active) may be important to understand how free-swimming  
22     performance can be improved. The purpose of this narrative overview was to describe and discuss  
23     experimental methods of measuring or estimating active and passive drag relevant to competitive  
24     swimming. Studies were identified using a mixed-model approach comprising a search of SCOPUS and  
25     Web of Science data bases, follow-up of relevant studies cited in manuscripts from the primary search,  
26     and additional studies identified by the co-authors based on their niche areas of fluid dynamics  
27     expertise. The utility and limitations of the methods of measuring active and passive drag were critically  
28     discussed with reference to primary research domains in this field, ‘swimmer morphology’ and  
29     ‘technique analysis’. This review and subsequent discussions provide implications for researchers when  
30     selecting an appropriate method to measure resistive forces (active or passive) relevant to improving  
31     performance in free-swimming.

32     **Keywords:** Swimming, Kinematics, Kinetics, Force Measurement

### 33 Introduction

34 Competitive swimming is a highly popular sport worldwide. With the difference between winning and  
35 losing in the current era, sometimes being as little as one-hundredth of a second, researchers seek to  
36 understand how small improvements in performance can be achieved by swimmers. A swimming race  
37 consists of start, free-swimming, turn(s) and finish phase (Guimaraes & Hay, 1985). The ability to reduce  
38 time spent in each of these phases is a primary goal for most research investigations in competitive  
39 swimming. Free-swimming is the phase in which most time is spent during a race. Besides free-  
40 swimming, pure gliding phases are also relevant to the total race time, normally included in start and  
41 turn phase times for race analysis purposes. Therefore, improvements in free-swimming, as well as in  
42 gliding actions, will have a major bearing on overall performance (Cossor and Mason, 2001; Veiga et al.,  
43 2013).

44 Performance during the free-swimming phase depends on propulsive and resistive forces (Toussaint,  
45 2000; Toussaint et al., 2002). Propulsion is generated by active movements of the swimmer to move  
46 through the water. However, as a consequence of the propelling actions and physiological supporting  
47 movements, such as breathing, resistive forces are encountered (active drag) that differ from the forces  
48 acting when in a passive gliding position (passive drag) (Benjanuvatra et al., 2001; Havriluk, 2005;  
49 Toussaint & Truijens, 2005; Chatard et al., 1990). Hydrodynamic drag comprises three components of  
50 drag force associated with dynamic swimming motion. These components are known as wave drag (or  
51 'wave making drag'), form drag (sometimes referred to as 'pressure drag' or 'frontal drag'), and friction  
52 drag (sometimes referred to as 'surface drag' or 'skin friction') (Benjanuvatra et al., 2001; Kolmogorov  
53 et al., 1997; Zamparo et al., 2009). It is generally accepted that friction drag has a linear relationship  
54 with swimming velocity, that pressure drag has the most relevance at low and mid swimming velocities  
55 and **the** relationship is usually expressed as a square of swimming velocity, and that wave drag exerts  
56 its main contribution at high velocities with a cubic relationship (Lyttle et al., 1999, Pendergast et al.,  
57 2005). These components of drag depend on several factors including the transient pressure points  
58 associated with the water flow, the shape of the body and the manner in which the shape of the  
59 immersed body changes during a swimming stroke cycle, the smoothness of the body, and length of

60 the body surface in contact with the water. Consequently, a swimmer may be able to reduce drag forces  
61 (passive or active) by changing body geometry during gliding, stroking and underwater body  
62 movements such as the kick and pull (Papic et al., 2021). This can be done by using special swimsuits,  
63 nutritional manipulation, strength training and by improving swimming technique (Marinho et al.,  
64 2009).

65 When investigating resistive forces in swimming, and factors that influence resistive force, researchers  
66 often have a difficult task of deciding which experimental approach they should utilise [numerical  
67 solutions are out of scope of this overview due to complexity and operational difficulties to a swimmer's  
68 specific customized forces estimation, particularly for active conditions. Numerical methods include  
69 Computational Fluid Dynamics (CFD) (Marinho et al., 2009; von Loebbecke et al., 2009) and Smoothed  
70 Particle Hydrodynamics – SPH – (Cohen et al., 2009)]. Methods are selected based on one or more of  
71 the following hydrodynamic drag research domains: (i) body segment and/or anthropometric  
72 variable(s) being assessed (swimmer morphology); (ii) swimming technique and (iii) apparel to be  
73 studied, as well as on (iv) the accuracy and validity of the method, (v) the practicality and availability of  
74 equipment or (vi) the feasibility of the experimental design. Unfortunately, due to the inexistence of a  
75 'ground truth' method, the validity and accuracy of experimental methods are difficult to establish. A  
76 possible future solution for this issue may rely on comparing experimental data with realistic animated  
77 numerical simulations (Costa et al., 2015).

78 Experimental methods can be categorised into passive drag and active drag measurements. In general,  
79 active drag methods are preferred as they consider free-swimming actions. However, if the research  
80 question to be answered is related to the gliding phases after starts and turns, passive drag assessing  
81 methods may be preferable. Interestingly, Chatard et al. (1990) showed that passive drag may  
82 discriminate between swimmers of different proficiency levels, despite most of the swimming event  
83 being performed with active swimming actions. Zamparo et al. (2009) proposed a method of estimating  
84 active drag based on the product of the swimming velocity of interest and the speed specific passive  
85 drag ( $Dp/v^2$ ), multiplied by the ratio between active and passive frontal areas. Despite considerable  
86 covariance between active and passive drag outcomes, there is a lack of consensus on optimal methods

87 of assessing drag force which are relevant to free-swimming performance. Therefore, the aim of this  
88 review was to outline current experimental methods used to assess active and passive drag in  
89 swimming and to explore the utility and limitations of these methods, stratified by relevant swimming  
90 hydrodynamic drag research domains. Greater understanding of the trade-off between a method's  
91 utility and limitations will provide further clarity on the appropriateness of experimental tools used to  
92 investigate active and passive drag within these domains.

## 93 **Methods**

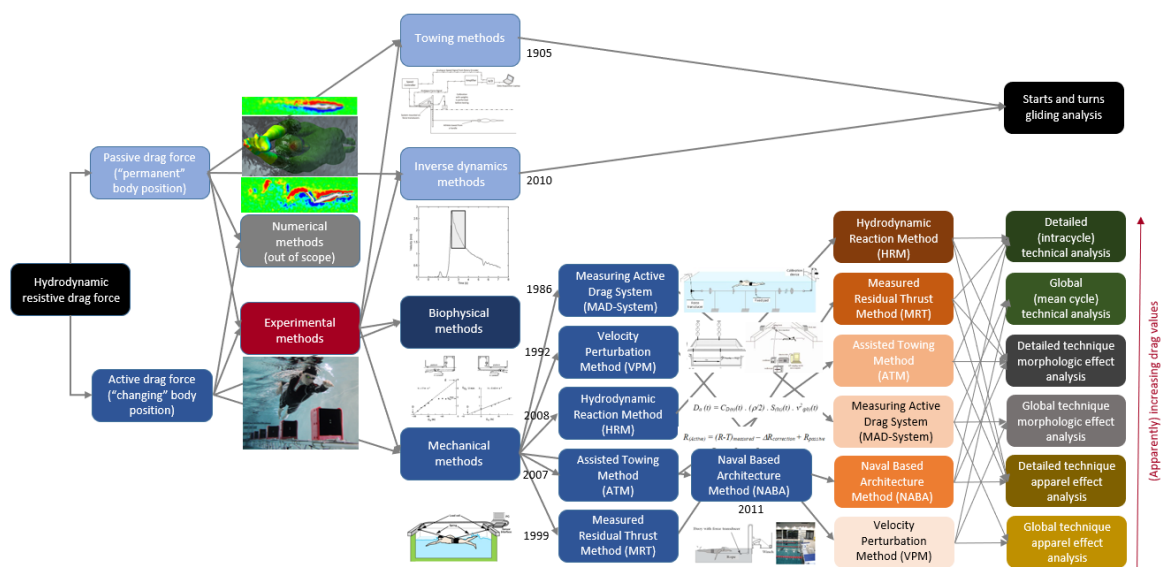
94 A mixed model of three search methods was applied to identify papers relevant to the discussion of  
95 methods used to assess active and passive drag in human swimming. Firstly, a primary search of SCOPUS  
96 and Web of Science data bases was conducted using the following search terms: swimming AND active  
97 drag, passive drag, hydrodynamic, resistive forces, morphology, and swimming technique. Abstracts  
98 were read to assess eligibility prior to conducting a full review of each manuscript. Second, additional  
99 manuscripts were identified from citations in relevant manuscripts that were selected for full review in  
100 the initial search. Third, relevant studies that were not captured in the previous steps were identified  
101 by the contributing authors based on expertise in their niche research areas of active and passive drag.  
102 Given that the review was designed to present information about experimental tools used to assess  
103 active and passive drag, studies were included if they presented information about those methods in a  
104 way that was clear and rigorous. Studies were therefore included in the review if they met the following  
105 criteria: i) human study; ii) clear description of the experimental tool including product information and  
106 setup specifications; iii) description and rationale of the drag force outcome measure including  
107 biomechanical assumptions. Additionally, data that were relevant to the discussion on the utility and  
108 limitations experimental tools were extracted from studies, including: reliability analyses and drag force  
109 measurement differences between experimental methods. In view of the need to provide some  
110 background of historical progression of the development of the tools, there were no time constraints  
111 applied to the primary search. No other inclusion or exclusion criteria were applied. Consequently,  
112 relevant proceedings papers were also included.

113 Included studies were organised into an ‘overview of active drag methods’ followed by an ‘overview of  
 114 passive drag methods’. Those overviews facilitated a critical discussion of the implications for practice,  
 115 organised into research domains ‘swimmer morphology’ and ‘technique analysis’. Each section was  
 116 then divided to discuss the utilisation of passive and active drag methods in these areas.

117

118 **Results**

119 A synthesis of the methods of passive and active drag methods discussed on this narrative overview of  
 120 extant literature is presented in Figure 1.



121

122 Figure 1. Diagrammatic synthesis of the passive and active methods discussed. Chronology, possible  
 123 inconsistency of drag value results considering absolute values published and applications regarding  
 124 research questions are highlighted.

125 **Overview of Active Drag Methods**

126 Active drag is affected by changes in the body’s shape, particularly frontal surface area, and movement  
 127 of the body segments which are consequently influenced by, and simultaneously a cause of, inefficient  
 128 or uneconomical swimming techniques (Clarys, 1979; Zamparo et al., 2009). Kolmogorov et al. (1997)

129 provided evidence that the smaller active drag coefficient of elite swimmers than their sub-elite  
130 counterparts in some swimming strokes (female breaststroke, male butterfly and front crawl) was due  
131 to elite swimmers having higher stroke length to stroke rate ratios associated with higher technical  
132 proficiency. Therefore, swimming fast depends both on the athlete's body shape and dimensions as  
133 well as on the ability of a swimmer to reduce active drag through proficient stroke technique and  
134 apparel, which will assist in generating and maintaining a higher swim velocity.

135 A previous review on active drag assessment methods was published by Wilson and Thorp (2003). The  
136 early method of determining active drag in free-swimming was based on the relationship between the  
137 energy expenditure of swimming, the swim speed and body drag (di Prampero et al., 1974). It became  
138 known as a biophysical approach. Di Prampero et al. (1974) identified a linear relationship between  
139 added positive and negative (resistive) forces and oxygen consumption at constant submaximal swim  
140 velocities. An extrapolation of the regression line to "zero" added force was used to identify active drag  
141 at that particular constant velocity. This pioneering work was the catalyst for subsequent investigations  
142 of active drag which followed a very similar approach (Clarys, 1979). The biophysical method, despite  
143 very creative, is difficult to implement, imposing various external ecological constraints to the swimmer  
144 that surely hinder performance.

145 Experimental mechanical methods used to estimate active drag, in succession to the biophysical  
146 precursor, include the Measurement of Active Drag System (MAD-System) (Hollander et al., 1986), the  
147 Velocity Perturbation Method (VPM) (Kolmogorov & Duplishcheva, 1992; Xin-Feng et al., 2007), the  
148 Assisted Towing Method (ATM) (Alcock and Mason, 2007), the Naval Based Architecture method  
149 (NABA) (Webb et al., 2011), the Method of Instantaneous Hydrodynamic Reaction or Hydrodynamic  
150 Reaction Method (HRM) (Kolmogorov, 2008) and the Measured values of Residual Thrust (MRT) while  
151 swimming in a flume (Narita et al., 2017) developed from a similar method established by Takagi et al.,  
152 (1999). The MAD-System, which was engineered in 1986 by a group of Dutch scientists, requires the  
153 swimmer to pull on submerged pads instrumented with force transducers (Hollander et al., 1986).  
154 Whilst the MAD-System is the most frequently observed method in the extant literature, it is limited by  
155 measuring only the front crawl arm pull forces as the swimmer's legs are bound. Also, despite the  
156 similarity of electromyography patterns obtained during MAD-System and normal front crawl

157 swimming (Clarys et al., 1988), it is questionable whether the pulling on the paddles replicates the  
158 normal swimming action or restricts normal stroke mechanics (Sacilotto et al., 2014). Schreven et al.  
159 (2013) further assessed the reliability of the set inter-pad distances of the MAD-System based on  
160 anthropometric characteristics of a small sample of participants (n=11) swimming at sub-maximal  
161 speed and concluded that a fixed distance could be used. Whilst adding to the scope of studies utilising  
162 the MAD-System, it should be noted that the translatability of this finding to swimming in a competition  
163 setting requires further investigation.

164 The VPM method was first published in 1992 by Russian scientists (Kolmogorov & Duplishcheva, 1992),  
165 and revisited some years later by a Chinese research group with a similar conceptual approach (Xin-  
166 Feng et al., 2007). The VPM method estimates the active drag by calculating the difference in velocity  
167 between a free-swimming condition and a resisted swimming condition, whilst incorporating a known  
168 resistant force (the resistance of a hydrodynamic body or a resistive cable attached to the swimmer  
169 and towed behind them). The VPM relies on two assumptions: (i) the athlete swims with at a velocity  
170 that is constant between the stroke cycles, and (ii) the athlete produces equal power output efforts in  
171 both conditions. These assumptions rely on the swimmers' capability and compliance to reproduce  
172 equal power output in both the free-swim and resisted conditions and, in the absence of a 'ground-  
173 truth' method, its appropriateness cannot be evaluated. Researchers from the Australian Institute of  
174 Sport accepted the two assumptions and modified the testing protocol to calculate active drag using  
175 the mean velocities in free-swimming and assisted tow swim conditions (Alcock & Mason, 2007).  
176 Authors solved the Newtonian drag equation assuming that it varies with  $v^2$ , which means that there  
177 might be an error when the contribution of the wave drag to the total active drag is large because the  
178 wave drag is proportional to the cube of the velocity (Vennell et al., 2006). The ATM has been used to  
179 investigate intra-stroke velocity fluctuations, as athletes are able swim with close to normal stroke  
180 mechanics (Mason et al., 2011). In addition, the researchers captured and displayed instantaneous  
181 resultant force-time profiles across the entire stroke cycle, adding a new approach to the assessment  
182 of this technique (Mason et al., 2013; Mason et al., 2012; Mason et al., 2011; Sacilotto et al., 2013;  
183 Sacilotto et al., 2015). Such force-time profiles are presented in conjunction with front and side video  
184 images of the swimmer to assess technique inefficiencies and enable coaches and swimmers to refine

185 technique with the aid of immediate feedback to reduce active drag. Based on the extant literature, the  
186 ATM has been applied to assess the impact of technique on active drag only in front crawl and  
187 backstroke and so there are no data indicating its potential efficacy with respect to estimating active  
188 drag in butterfly and breaststroke swimming.

189 Kolmogorov (2008) modified the VPM method to allow for active drag assessment at submaximal  
190 speeds and to accommodate intracyclic active drag variations due to changes in body geometry that  
191 are associated with free-swimming intersegmental movements. Kolmogorov published this method for  
192 the first time in the year of 2008 in the Russian Journal of Biomechanics, without specifically naming it,  
193 and without further application in the international scientific scene, with the exception of recent work  
194 published by Kolmogorov, Vorontsov and Vilas-Boas (2021). According to the original text, this method  
195 can be named as “Hydrodynamic Reaction Method” (HRM), and is based on the Equation 1:

$$196 \quad D_a(t) = C_{D(n)}(t) \cdot (\rho/2) \cdot S_{(bs)}(t) \cdot v_{(pb)}^2(t) \quad \text{(Equation 1)}$$

197  
198  
199 Where:  $D_a(t)$  refers to instantaneous frontal component of active drag, or pressure drag,  $C_{D(n)}(t)$  is the  
200 instantaneous value of the dimensionless coefficient of the frontal component of the active drag force,  
201 corresponding to the unsteady mode of motion of the body,  $\rho$  is the density of water ( $\text{kg}\cdot\text{m}^{-3}$ ),  $S_{(bs)}(t)$  is  
202 the instantaneous value of the characteristic hydrodynamic size of the subject’s body ( $\text{m}^2$ ) and  $v_{(pb)}(t)$   
203 stands for the instantaneous value of the velocity of a point on the surface of the human body (trunk)  
204 when swimming ( $\text{m}\cdot\text{s}^{-1}$ ).

205 The HRM method consists of solving the drag equation for Newtonian fluids in relevant instants of the  
206 stroking cycle of each swimming technique while considering the intra-cyclic speed fluctuation profile.  
207 To determine  $v_{(pb)}(t)$ , a Doppler speedometer was used; to assess  $S_{(bs)}(t)$ , a three-dimensional kinematic  
208 analysis of the movement of all the main segments of the human body was used, based on underwater  
209 and surface video records; ( $S_{(bs)}(t)$  was defined as 2/3 of the immersed volume of the subject’s body at  
210 a given time instant ( $\text{m}^2$ ), not including the projected areas of the body segments which, at a given  
211 moment of the biomechanical cycle, are the movers and have a negative velocity with respect to the  
212 direction of translational motion of the body’s center of mass); to evaluate  $C_{D(n)}(t)$ , a method of full-  
213 scale hydrodynamic tests of a swimmer and respective special anthropomorphic models in conditions



214 of stationary and non-stationary movement was implemented in a water tunnel. A total discretization  
215 of the stroke cycle into 16 to 25 transient positions (discreteness of 0.06 s) was conducted based on  
216 the cycle duration.

217 The VPM method was used as a criterion to validate the Naval Based Architecture method (NABA  
218 presented by Webb et al (2011)). The NABA method is a conceptually similar approach to the ATM.  
219 Swimmers swim at maximal velocity, and are then towed at velocities 5, 10, and 15% higher than  
220 maximum, assuming that stroke rate increases proportionally to velocity in towing conditions. Towed  
221 passive drag is also assessed. The NABA method relies on the Equation 2 to estimate active drag:

$$222 \quad R_{(Active)} = (R-T)_{measured} - \Delta R_{correction} + R_{passive} \quad (\text{Equation 2})$$

223  $R_{(active)}$  stands for active drag,  $(R-T)_{measured}$  for the measured resultant force between active drag and  
224 thrust (the tension at the towing cable),  $\Delta R_{correction}$  for the correction value of passive drag back to actual  
225 swimming velocity, and  $R_{passive}$  for towed passive drag, or naked hull drag.

226 Results of VPM and NABA were similar. In both methods, an equal power assumption for the different  
227 testing conditions was accepted.

228 Using a swimming flume, a group of scientists from Japan have developed a method to estimate active  
229 drag in swimming using the Measured values of Residual Thrust (MRT-Method) (Narita et al., 2017;  
230 Gonjo et al., 2020), which was developed from a method originally proposed by Takagi et al. (1999).  
231 The relationship between propulsive and resistive forces in swimming was used to design this new  
232 method of active drag analysis. This method was developed to provide an alternative to the VPM and  
233 ATM designs, which require maximal swimming velocities to derive hydrodynamic resistance. The  
234 difference between hydrodynamic resistance and propulsive force was denoted as residual thrust,  
235 which was obtained in a flume at nine flow velocities (while the swimmer maintains the same motion).  
236 The residual thrust data obtained from the multiple trials (y) are plotted against the flow velocity (x),  
237 and active drag force can be mathematically computed by extrapolating the flow velocity–residual  
238 thrust curve and obtaining the y-intercept (the residual thrust at zero flow velocity corresponds to the  
239 active drag). Previous limitations of restrictive stroke analysis (the arm-only front crawl restriction) and

240 velocity (applicable for only the maximum effort swimming) in other methods used to assess active drag  
241 in swimming are not considered a restriction within the MRT-method. In other words, theoretically this  
242 method can be applied to any swimming strokes at a wide range of swimming intensities. Therefore,  
243 the assessment of the MRT-method's reliability and validity are of interest in research groups world-  
244 wide (Gonjo et al., 2020; Narita et al., 2018a, 2018b). Particularly, the assumption that swimmers can  
245 reproduce the same motion regardless of the environmental change (i.e. flow velocity and the special  
246 condition of swimming in a flume) should be further explored.

247

### 248 *Overview of Passive Drag Methods*

249 Methods of obtaining passive drag include those utilising towing or fixing devices (considering pool or  
250 flume conditions) and inverse dynamic methods to assess resistive force of the swimmer's body in the  
251 absence of active swimming actions. Scurati et al. (2019) performed a thorough systematic review of  
252 passive drag assessment methods. The pioneer work in the field was conducted by DuBois-Reymond  
253 (1905), where swimmers were towed from a rowing boat and hydrodynamic resistance was measured  
254 through a dynamometer. Amar (1920) observed, for the first time in swimmers, that passive drag is  
255 related to the towing velocity squared. Some years later, Karpovich (1933) developed the  
256 'Resistograph', a device using an electrical motor to accurately control the towing velocity, and  
257 characterized the '*K*' factor of the relationship  $D = Kv^2$ . More recently, a strain gauge was attached to  
258 a special towing structure (Clarys, 1978, 1979; Clarys and Jiskoot, 1975), or to a segment of the towing  
259 rope (Chatard et al., 1990) to assess the force required to tow the swimmer at a constant velocity in a  
260 passive condition. The relevance of passive drag for discriminating between competitive levels of  
261 swimmers was shown by Chatard et al. (1990) and a discussion on how passive and active drag are  
262 related was initiated by Clarys (1978, 1979), and further continued by Takagi et al (1999) and Zamparo  
263 et al. (2009). Passive drag force of swimmers in the streamlined body position was more recently  
264 analysed using a uniaxial load cell consisting of four strain gauges attached to the towing rope (Lyttle  
265 et al., 2000). Lyttle and colleagues (2000) suggested that this method had small coefficients of variation  
266 (CV) in drag force values ( $\leq 2.7\%$ ) and was considered reliable for determining hydrodynamic resistance

267 of swimmers. The CV values reported may be explained by difficulties in controlling body position during  
268 the towing process, which may suggest that increased attention be paid to inverse dynamics  
269 approaches. A limitation of passive towing methods where the load cell is positioned in front of the  
270 swimmer's body is that it can affect fluid flow characteristics before reaching the swimmer due to its  
271 proximity to the towing handle.

272 The force required to tow a swimmer can also be determined by an inbuilt dynamometer in the towing  
273 device (Tor et al., 2015). An advantage of the force-measuring device positioned out of the pool,  
274 compared with a load cell attached to the towing rope, is that it does not influence flow characteristics  
275 near the swimmer. Regardless of whether the towing protocol uses a load cell or dynamometer to  
276 determine hydrodynamic resistance, passive towing experimental studies use an adjustable pulley  
277 system fixed to the pool wall. The pulley system can be submerged to a desired depth allowing for  
278 towing to be parallel to the water surface. As a result, the effect of the depth, morphology, posture,  
279 and velocity on passive drag can assess passive drag at the glide depths attained during the start and  
280 turn. For example, passive towing at a range of depths revealed a significant increase in hydrodynamic  
281 resistance near the surface of the water, due to the wave making processes (Jiskoot and Clarys, 1975;  
282 Lyttle et al., 1998; Tor et al., 2015; Vennell et al., 2006). The effect of raising the head was also analysed  
283 as one of the first studies of the effects of posture on drag force (Clarys and Jiskoot, 1975). The body  
284 position of the swimmer during passive drag analysis methods is predominately performed in the  
285 streamlined body position. Consequently, hydrodynamic outcomes from these studies have  
286 implications for the underwater phases of the start and turn when the streamlined body position is  
287 used to minimise hydrodynamic resistance.

288 Inverse dynamics analysis of 2D video or speedometer data to derive resistive force outcomes of the  
289 streamlined body is an alternative to direct force measurement. Hydrodynamic resistance of swimmers  
290 during the glide phase can be derived using the gliding velocity decay method (Barbosa et al., 2015a;  
291 Barbosa et al., 2015b; Vilas-Boas et al., 2010). In the study of Barbosa et al. (2015b), swimmers  
292 performed a maximal underwater wall push off and horizontal glide in the streamlined body position,  
293 without initiating upper or lower limb swimming actions. Instantaneous acceleration of the hip was  
294 derived from kinematic data. Passive drag ( $D_p$ ) was calculated based on Newtonian physics (Equation

295 3), using the swimmer's body mass ( $m$ , kg), estimated added fluid mass ( $m_a$ , kg), and acceleration over  
296 the duration of interest ( $m \cdot s^{-2}$ ), corresponding to a pure gliding phase.

$$297 \quad D_p = (m + m_a) \cdot a \quad \text{(Equation 3)}$$

298 Passive drag values derived from the gliding velocity decay method can then be used to compute the  
299 drag coefficient ( $C_d$ ) using passive drag force ( $D_p$ ), fluid density ( $\rho$ ,  $kg/m^3$ ), Frontal Surface Area ( $A$ ,  $m^2$ )  
300 and mean velocity ( $v$ ,  $m/s$ ) (Equation 4) (Barbosa et al., 2015b; Vilas-Boas et al., 2010).

$$301 \quad C_d = \frac{D_p \cdot 2}{\rho \cdot A \cdot v^2} \quad \text{(Equation 4)}$$

302 Both direct force measurement methods and inverse dynamic methods of passive drag analysis can be  
303 used to compute the drag coefficient. The coefficient indicates how effective the swimmer is at  
304 minimising passive drag and is influenced by body shape, surface characteristics, and posture in the  
305 water (Naemi et al., 2010). Consequently, the drag coefficient can be used to assess the effect of  
306 morphological characteristics of bodies of different size and mass, on hydrodynamic resistance  
307 (Alexander, 1990). Webb et al. (2015) compared the towing and the inverse dynamics methods and  
308 found that they show similar sensitiveness to changes in garment and hair removal, but higher drag  
309 coefficients were found for the towing method, attributed to difficulties in controlling gliding position,  
310 which may suggest some advantages of the inverse dynamics approach.

311 A method of calculating glide distance, irrespective of initial velocity, was proposed to measure the  
312 efficiency of swimmers' gliding (Starling et al., 1995). This was conducted before the inverse dynamic  
313 approaches described above. Glide distance (m) was calculated as the area under the velocity-time  
314 curve, for a normalised initial velocity, to compare glide efficiency within- and between-swimmers.

315 In a similar line of reasoning, Naemi and Sanders (2008) developed the Hydro-kinematic method in  
316 which the deceleration pattern of a swimmer during an underwater glide was used to calculate a glide  
317 efficiency parameter that was inversely proportional to hydrodynamic resistance and directly  
318 proportional to inertia. In this method, a displacement function was first derived from the equation of  
319 motion of the body during a horizontal rectilinear glide (Naemi & Sanders, 2008). This function was  
320 then fitted to the position-time data of the body during a rectilinear horizontal glide enabled glide  
321 efficiency to be quantified as the 'glide factor' (Naemi & Sanders, 2008). The glide efficiency accounts

322 for both the inertial (the added mass of water in addition to the effect of the swimmer's own body  
323 mass) and the resistive characteristics of a body.

324

## 325 **Discussion and Implications**

### 326 *Utility and limitations of passive drag methods*

327 Hydrodynamic resistance outcomes derived from passive drag methods have been found to have  
328 significant relationships with anthropometric and morphological characteristics in several studies  
329 (Chatard et al., 1990; Clarys, 1979; Cortesi et al., 2020; Kjendlie & Stallman, 2008; Naemi et al., 2012;  
330 Pendergast et al., 2005; Zamparo et al., 2009). Passive drag measures obtained from towing methods  
331 provide consistent hydrodynamic outcomes with little effort to collect data (Scurati et al., 2019). To  
332 evaluate the effect of morphology on hydrodynamic resistance, passive resistive force and  
333 morphological outcomes are often derived independent of one another (Clarys, 1979). For instance,  
334 analysis of anthropometric, morphological and composition (fat and fat-free mass) characteristics of  
335 the body can be performed on swimmers adopting a static posture on land, mimicking a glide posture  
336 (Cortesi et al., 2020). Cortesi et al. (2020) determined passive drag using an experimental passive towing  
337 technique among sixty young competitive swimmers. Results from this participant sample indicated  
338 that passive drag was strongly correlated with body mass, biacromial- and bi-iliac-breadth, streamline  
339 chest circumference and breadth, which was suggested to be useful for talent identification in  
340 swimming with reference to the gliding performance. The relationship between morphological  
341 outcomes and passive drag can then be evaluated statistically to provide insight into optimal drag  
342 profiles and talent identification protocols.

343 The postures adopted by the swimmers during passive towing assessment simulate postures used in  
344 glide phases of competitive swimming and therefore these methods may be well suited to analysis of  
345 the glide phases of competitive swimming. However, one limitation of passive towing methods is that  
346 the effect of body inertia on hydrodynamic resistance cannot be directly assessed (Naemi et al., 2010),  
347 especially if added mass is not determined and, inclusively, if only estimated through a percentage of  
348 body mass. Unlike the passive towing test in which the added-mass effect is negligible, during real-  
349 world conditions glide velocity never stays constant and we have a deceleration throughout this phase.

350 Hence the added mass becomes important and contributes to performance in conjunction with the  
351 resistive force that hinders performance. Additionally, a point of contact to the towing wire at the  
352 swimmer's hands or waist during passive towing may affect posture and alignment of the body.  
353 Changes in posture and body shape characteristics can affect the path of fluid flow and subsequent  
354 hydrodynamic resistance. For example, changes in head position from head up and head-down in an  
355 otherwise streamlined position reduced drag by 4-5.2% (Cortesi & Gatta, 2015). Other small postural  
356 changes in the streamlined body have been found to alter hydrodynamic resistance by up to 10%  
357 (Vennell et al., 2006). Nevertheless, passive towing may be effective for assessing consequences of  
358 broad anthropometrics changes in the morphology over a competitive season (Barbosa et al., 2015b),  
359 talent potential, and the effects of apparel design on drag (Webb et al., 2015).

360 Phases of deceleration in swimming are useful for determining hydrodynamic resistance of the body,  
361 as the net force is equal to hydrodynamic resistance in the absence of propulsive swimming actions.  
362 During the period when there are no propulsive actions, for example in the glide phase of breaststroke,  
363 or during a 'catch up' period in front crawl swimming without kicking, kinematic analysis may be used  
364 to assess the deceleration and compute measures of hydrodynamic resistance based on principles of  
365 inverse dynamics. These methods are advantageous for determining passive drag of swimmers as the  
366 effect of body inertia is considered, compared with other experimental methods of estimating passive  
367 drag. These experimental procedures allow swimmers to perform in an unconstrained manner  
368 resembling real swimming situations, for example in the glide (Thow et al., 2012). Therefore, kinematic  
369 analysis methods can enable assessment of the effect of technique and postures on hydrodynamic  
370 resistance.

371 Limitations of video-based analysis procedures ought to be considered when deriving kinematic  
372 measures of passive drag. For instance, accuracy of estimating glide distance from the velocity-time  
373 curve (Starling et al., 1995) is reliant on the derived initial velocity value from the video data, which is  
374 dependent upon camera specifications and susceptibility to propagation of digitising error when  
375 deriving velocity data. The Hydro-Kinematic method was developed to improve accuracy of passive drag  
376 analysis by calculating glide efficiency (glide factor) and initial velocity using a curve fitting mathematical  
377 operation (Naemi & Sanders, 2008). Because the function is fitted to the whole data set, errors normally

378 associated with differentiation are avoided while also avoiding the constraints on posture associated  
379 with direct velocity measurement techniques in which the velocity of a fine cable attached to the  
380 swimmer is measured. Kinematic analysis methods, however, can be reliant on time consuming tracking  
381 operations of video data, such as manual digitisation. This problem may be overcome by using neural  
382 networks for automated digitisation of 2D glide video data. This has been shown recently to reduce  
383 data acquisition time without sacrificing accuracy in the derived glide variables (Papic et al., 2020).  
384 Automated video-tracking procedures can be implemented in applied settings to expedite glide  
385 efficiency outcomes using the Hydro-Kinematic Method to evaluate the effect of technique and posture  
386 on drag during swimming deceleration phases (start, turn, breaststroke). This approach can be used to  
387 provide quantitative performance feedback to swimmers and coaches when seeking to minimise drag  
388 (Papic et al., 2021; Thow et al., 2012)

389

#### 390 *Utility and limitations of active drag methods*

391 Analysis of active drag force using the VPM (Kolmogorov & Duplishcheva, 1992), the ATM (Alcock &  
392 Mason, 2007) and the NABA method (Webb et al., 2011) enable determination of hydrodynamic  
393 resistance during maximal velocity free-swimming and all assume equal power conditions.  
394 Alternatively, the MAD-System (Hollander et al., 1986), HRM (Kolmogorov, 2008) and MRT method  
395 (Takagi et al., 1999; Narita et al., 2017) enable hydrodynamic resistance to be determined at a wide  
396 range of velocities. Furthermore, the HRM and the MRT methods can be used to assess active drag in  
397 any strokes without limiting the locomotion type (kick-only, arm-only, or whole-body), whereas the  
398 MAD-System method can be used only for front crawl arm-only swimming. Active drag methods can be  
399 used to assess the effect of anthropometric and morphological characteristics, and the effect of  
400 swimsuits and stroke technique on hydrodynamic resistance during active swimming conditions.  
401 However, specific limitations to evaluating each of these factors ought to be considered by researchers  
402 when selecting an appropriate method.

403 Some authors have demonstrated that active drag might be approximately 1.5 times greater than  
404 passive drag (Gatta et al., 2015), whilst others found it two or more times higher (Clarys, 1978, 1979;

405 di Prampero et al., 1974; Takagi et al., 1999; van der Vaart et al., 1987) and, finally, other studies  
406 concluded that both provide similar results (Hollander et al., 1986; Kolmogorov & Duplishcheva, 1992;  
407 Toussaint et al., 1988). Indeed, inconsistencies in estimated drag force values exist when comparing  
408 each active drag method. Active drag values using the MAD-System were 55% less ( $p = 0.002$ ) than  
409 those calculated using the ATM (Formosa et al., 2012). The ATM was found to produce greater active  
410 drag values than the VPM (Mason et al., 2013) and the NABA showed similar results to VPM at  
411 supramaximal velocities (Webb et al., 2011). The MAD-System also revealed significantly greater mean  
412 active drag values (66.9N) than the VPM (53.2N) (Toussaint et al., 2004). The MRT method was found  
413 to have greater active drag values than the MAD-System (Narita et al., 2018a). For the HRM method  
414 there are no direct comparisons with other solutions, but published data point to higher values (~80 to  
415 90 N for front crawl Olympic champions). Differences between active drag experimental methods may  
416 be due to different technique and postural manipulations, as well as to the theoretical assumptions  
417 imposed when estimating hydrodynamic resistance. As the eminent physicist Carlo Rovelli stated in his  
418 remarkable book “L’ordine del tempo” (Milano, Adelphi Edizione, 2017), “assessing a physical variable  
419 is not an innocuous operation: it is interacting”; consequently, further research is needed to clarify this  
420 apparent cascade of active drag inflation: from VPM and NABA to HRM, passing successively through  
421 the MAD-System, ATM and MRT.

422 The MAD-System requires swimmers to contact underwater pads at set distances, which may promote  
423 anticipatory movements and alter natural stroke length and mechanics (Sacilotto et al., 2014). Despite  
424 the evidence on EMG similarity between MAD swimming and free front crawl (Clarys et al., 1988), the  
425 hand contact with a rigid object in the MAD-System may also alter regular stroke mechanics, compared  
426 with displacing water during regular stroke cycles. As Narita et al. (2018a) hypothesised, the propulsive  
427 force generation is likely more efficient when in contact with fixed pads than when the hand moves  
428 through water. Additionally, the use of a pull buoy during MAD-System data collection eliminates the  
429 effect of kicking on axial rotation of the body (Andersen & Sanders, 2018; Yanai, 2001) and,  
430 consequently, the potentially beneficial or adverse effects on hydrodynamic resistance.

431 The VPM, NABA, ATM and MRT methods all involve physical attachment of a cable to the waist of a  
432 swimmer. Assistive or resistive forces at the waist may alter posture and alignment of the hips and



433 lower limbs with respect to the thorax, influencing the angle of the trunk. The angle of the trunk with  
434 respect to the external horizontal axis - trunk incline -, affects hydrodynamic drag (Zamparo et al.,  
435 2009). Postural effects on active drag force can also be detected when comparing different swimming  
436 strokes with similar transverse cross-sectional area. Gonjo et al. (2020) reported that the mean  
437 underwater body volume during one stroke cycle is greater in backstroke than in front crawl by 3.5-  
438 4.5%, and active drag is also 25% greater in backstroke at the same velocity (1.2 m/s). It was  
439 hypothesised that differences in posture and upper limb kinematics between the two strokes affect  
440 underwater body volume, and consequently, hydrodynamic resistance (Gonjo et al., 2020).

441 A limitation of some methods of active drag analysis, as in the MAD-System, is the assumption that the  
442 swimming velocity is constant and therefore the mean active drag is equal to the mean propulsive force.  
443 In fact, swimming velocity within a stroke cycle is not constant and so drag force is not constant  
444 throughout the cycle. This problem is amplified when one considers that the relationship between drag  
445 force and velocity is not linear and so the average velocity cannot be expected to be accurately related  
446 to the average force. The more recent methods, however, seem to avoid this issue, allowing for  
447 considering intracyclic speed variations. Furthermore, planimetric frontal surface area (FSA) calculation  
448 on land (Toussaint et al., 1988) or by numerical estimation (Kjendlie & Stallman, 2008) has been used  
449 for drag calculations in most methods. HRM and MRT methods seem to be exceptions. A recent study  
450 investigated the effect of FSA and velocity variation on active drag, at key events during the front crawl  
451 stroke cycle (Morais et al., 2020). Morais et al. (2020) found that active drag values were greater when  
452 using instantaneous measures of FSA and velocity throughout the stroke cycle, than when applying the  
453 common non-variation assumption. This finding is critical to our understanding of the effect of body  
454 shape on hydrodynamic resistance, as postural changes in free-swimming influence the magnitude of  
455 hydrodynamic resistance.

#### 456 *Implications for free-swimming technique analysis*

457 Numerous studies have been conducted with the aim of improving swimming technique either through  
458 kinematic analysis, intervention studies, or kinetic analysis. Although research into accurately  
459 measuring kinetic variables in free-swimming is still in its infancy, investigations have progressed from  
460 investigating active drag utilising mean values (Hollander et al., 1986; Kolmogorov & Duplishcheva,

461 1992; Sacilotto et al., 2012). In recent years increased attention has been accorded to generating active  
462 drag force-time profiles with the aim of utilising them as objective assessments of free-swimming  
463 performance (Kolmogorov, 2008; Mason et al., 2013; Sacilotto et al., 2013; Sacilotto et al., 2015).  
464 Sacilotto et al. (2014) attempted to use correlations between coach ratings of technique and ATM  
465 force-time profiles. However, between-coach ratings of technique were inconsistent, and therefore, no  
466 inferences could be drawn from the profiles. Further attempts were made using the ATM to successfully  
467 group elite and sub-elite swimmers by only their force-time profiles utilising functional components  
468 analysis (Sacilotto et al., 2015). The ATM force-time profiles enabled identification of elite and sub-elite  
469 groups as it was revealed that the elite group has a more pronounced positive double peak profile than  
470 the sub-elite, however, future work investigating technique analysis should focus on determining what  
471 technique attributes result in these double peaks (Sacilotto et al., 2015).

472 Morais et al. (2020) compared active drag calculations between a single frontal surface area land-based  
473 measure (non-variation) versus using frontal surface area measures obtained at key-events during the  
474 stroke cycle of front-crawl swimming (variation) and compared mechanical power variables computed  
475 based on these two approaches. Active drag based on a variation approach was measured in each key  
476 event of the front crawl according to the law of linear motion. The output data suggested that frontal  
477 surface area and velocity changed during the front-crawl arm-pull. This variation had a significant effect  
478 on the active drag, mechanical power, and total power input variables measurement. This resulted in  
479 higher values in a variation approach than a non-variation approach, due to frontal surface area being  
480 higher in a variation condition in specific phases of the stroke cycle. Hence, researchers and  
481 practitioners should be aware that there could be considerable error when measuring such variables  
482 based on an assumption of non-variation of the frontal surface area and velocity, and wherever possible  
483 should consider selecting methods that include instantaneous measures of these outcomes.

484

## 485 **Conclusion**

486 This review provides readers and researchers with a range of opportunities and considerations when  
487 conducting future research in active and passive drag in relation to athlete morphology, apparel, and  
488 technique analysis. Passive towing drag assessments may be of high relevance for training due to its

489 relationship with gliding, morphology, and apparel influence, as well as with active drag. Nevertheless,  
490 inverse dynamics solutions may be preferable as these could applied directly to provide feedback on  
491 technique. Results provided by different active drag assessment methods are inconsistent, particularly  
492 when compared to passive drag. However, direct comparisons are scarce and require future attention.  
493 Some of the methods are limited to maximal velocity analysis, or to mean cycle results, and others are  
494 limited to front crawl arm swimming alone. Finally, some methods allow for intracycle variation analysis,  
495 which can be used to provide technical feedback and specific morphologic and apparel effects analyses.  
496 However, future research is required to guarantee robustness, validity, and reliability. As a guide,  
497 researchers should continue to consider the accessibility, feasibility, and limitations of using each  
498 method outlined in this review. We recommend researchers select a method that closely matches their  
499 research question and the phase or swimming task for the greatest translatability of findings to  
500 competitive free-swimming.

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